

FINITE ELEMENT BASED THERMOMECHANICAL FATIGUE ANALYSIS
OF SOLDER JOINTS IN ELECTRONIC PACKAGES

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HASAN SAĞDIÇ

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Approval of the thesis:

submitted by **HASAN SAĞDIÇ** in partial fulfillment of the requirements for the degree of **Master of Science in Mechanical Engineering, Middle East Technical University** by,

Prof. Dr. Naci Emre Altun
Dean, **Graduate School of Natural and Applied Sciences**

Prof. Dr. Mehmet Ali Sahir Arıkan
Head of the Department, **Mechanical Engineering**

Prof. Dr. Suat Kadiođlu
Supervisor, **Mechanical Engineering, METU**

Examining Committee Members:

Prof. Dr. Haluk Darendeliler
Mechanical Engineering, METU

Prof. Dr. Fevzi Suat Kadiođlu
Mechanical Engineering, METU

Assist. Prof. Dr. Orkun Özşahin
Mechanical Engineering, METU

Assist. Prof. Dr. Gökhan Osman Özgen
Mechanical Engineering, METU

Assoc. Prof. Dr. Hamit Tekin
Mechanical Engineering, UTAA

Date: 07.06.2024

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Name Last Name: Hasan Sađdıç

Signature:

ABSTRACT

FINITE ELEMENT BASED THERMOMECHANICAL FATIGUE ANALYSIS OF SOLDER JOINTS IN ELECTRONIC PACKAGES

Sađdıç, Hasan
Master of Science, Mechanical Engineering
Supervisor: Prof. Dr. Suat Kadiođlu

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Due to the materials with different thermal expansion coefficients in electronic packages, failures occur with temperature changes. The location of the failure is observed in the solder balls used as joining materials for different components and failure type is crack formation. In this study, thermomechanical simulation and fatigue lifetime calculations of a 144-connection ball grid array (BGA) electronic package made of Sn3.0Ag0.5Cu (SAC305) were performed. For lifetime prediction model Engelmaier modified Coffin-Manson method was used. In order to find the geometric configuration of the electronic package with the longest lifetime, a response surface optimization study was performed, including parameters such as under bump metallization (UBM) thicknesses, solder ball diameter and height. Two separate optimization studies were performed, one using the Anand viscoplastic (AV) material model for SAC305 and the other using the Elastoplastic (EP) material model. As a result of the optimization study with the AV material model, a geometric configuration with a 140% increase in lifetime value compared to the original geometry was found, and a configuration with a 163% increase was found with the EP material model. Finally, when the lifetime results of the best configurations from each material model are compared, it is found that the AV material model produces more conservative lifetime results than EP.

Keywords: TMF, Solder ball, RSO

ÖZ

ELEKTRONİK PAKETLERDE BULUNAN LEHİM TOPÇUKLARININ SONLU ELEMANLAR YÖNTEMİ İLE YORULMA ANALİZLERİ

Sağdıç, Hasan
Yüksek Lisans, Makina Mühendisliği
Tez Yöneticisi: Prof. Dr. Suat Kadioğlu

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Farklı termal genişleme katsayılarına sahip olan malzemelerden oluşan elektronik paketlerde sıcaklık değişikliklerine bağlı olarak hasar durumları gözükmemektedir. Hasar, birleştirici malzeme olarak kullanılan lehim topçuklarında sıcaklık çevrimlerine bağlı olarak çatlak oluşumu olarak görülmektedir. Bu çalışmada Sn3.0Ag0.5Cu (SAC305) malzemedan oluşan 144 bağlantılı BGA ye sahip elektronik paketin termomekanik yorulma simülasyonları yapılmış ve ömür hesapları gerçekleştirilmiştir. Ömür hesaplarında Engelmaier Coffin-Manson metodu kullanılmıştır. Elektronik paketin en uzun ömre sahip geometrik konfigürasyonunu bulmak için içerisinde lehim topçuk altı kalınlıklarının, lehim topçuk çap ve yükseklik gibi parametrelerinin de olduğu yanıt yüzey optimizasyon çalışması gerçekleştirilmiştir. İki ayrı optimizasyon çalışması gerçekleştirilmiş, birinde SAC305 için Anand viscoplastic (AV) malzeme modeli diğerinde ise Elastoplastik (EP) malzeme modeli kullanılmıştır. AV malzeme modeli ile yapılan optimizasyon çalışması sonucu orijinal geometriye göre ömür değeri %140 artan bir geometrik konfigürasyon, EP malzeme modeli yapılan da ise ömür değeri %163 artan bir konfigürasyon bulunmuştur. Son olarak, en iyi konfigürasyonların ömür sonuçları karşılaştırıldığında AV malzeme modelinin EP ye göre daha düşük ömür sonuçları ürettiği görülmüştür.

Anahtar Kelimeler: TMF, Solder Ball, RSO

To my dear mom and brother

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LIST OF ABBREVIATIONS

ABBREVIATIONS

Abbreviation	Definition
BGA	Ball Grid Array
PBGA	Plastic Ball Grid Array
SAC	Sn-Ag-Cu
SAC305	Sn3.0Ag0.5Cu
UBM	Under Bump Metallization
IC	Integrated Circuit
SMT	Surface Mount Technology
PWB	Printed Wiring Board
CTE	Coefficient of Thermal Expansion
TMF	Thermomechanical Fatigue
PCB	Printed Circuit Board
FPC	Flexible Printed Circuit Board
FE	Finite Element
FEA	Finite Element Analysis
AV	Anand Viscoplastic
EP	Elasto-Plastic
EPC	Elasto-Plastic Creep
CM	Coffin-Manson
ECM	Engelmair modified Coffin-Manson
EPS	Equivalent Plastic Strain
LCF	Low Cycle Fatigue
LPM	Life Prediction Models
CAD	Computer Aided Design
VM	Von-Misses

DOE	Design of Experiment
RS	Response Surface
RSO	Response Surface Optimization
OSF	Optimal Space Filling
NPR	Non-parametric Regression
MOGA	Multi-Objective Genetic Algorithm
WB	Workbench

LIST OF SYMBOLS

SYMBOLS

Symbol	Definition
MPa	Mega Pascal
GPa	Giga Pascal
K	Kelvin
s	Second
ppm	Particle per Million
°C	Degree Celsius
J	Joule
Si	Silicon
Mo	Molybdenum
Cu	Copper
Ti	Titanium
Ni	Nickel
Pd	Palladium
Cr	Chromium
W	Tungsten
Au	Gold
μm	Micrometer
mm	Millimeter

CHAPTER 1

INTRODUCTION

1.1 Electronic Packages

Electronic devices are used in defense, aerospace and everyday commercial products. In order for these devices to fulfill their tasks, they contain electronic packages to perform logical operations. Fig. 1 shows an example of a cross-sectional drawing of an electronic package. Electronic packages generally consist of the following components

- Integrated circuit chip to perform logical calculations
- The substrate that carries the chip and other components and is used to integrate them into an upper system
- Element to provide electronic and mechanical connection between chip and substrate
- And components that thermally, mechanically, electronically and chemically improve the efficiency of the package (underfill, under bump metallization, solder mask etc.)

Over the years, there has been a need for electronic packages to be smaller, lighter and denser systems. For this reason, surface mount technology has been adopted and widely used in the construction of electronic packages. In SMT structure, the chip is joined to the substrate component in the electronic package using one of its surfaces entirely. This ensures a uniform contact area on the entire surface and reduces the planar dimensions of the electronic package since no external lead is needed.

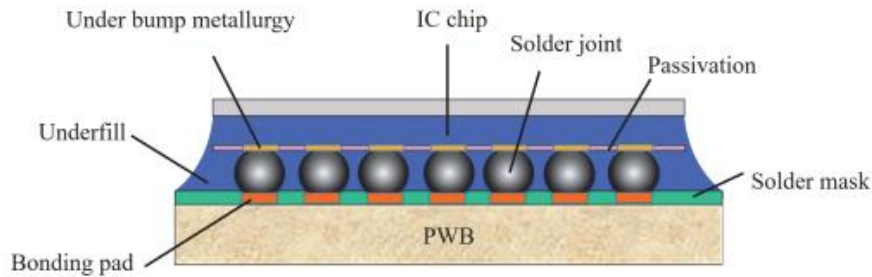


Figure 1. Generic image of electronic package with BGA connection [1]

One type of junction used in SMT is the ball grid array. In this type, solder balls are used as an array between the IC chip and the substrate material (Fig. 1). This type of junction is widely used and as a result of developments, it appears to be advantageous to use. Advantages include the following [1]

- Efficient use of the surface of the substrate
- Improvement in electronic and thermal performance. Solder balls have low electronic resistance and high thermal conductivity and good heat dissipation due to the large number of connection paths
- Manufacturing yield increases as a result of improved solderability. BGAs provide a better level of solderability as well as wide spacing between joints
- Low total package thickness
- Excellent reworkability with larger pad sizes

In July 2006, the Restriction of Hazardous Substances directive restricted the use of lead-containing soldering materials. This has opened research and application areas for lead-free solder materials. When lead-free solder materials are examined, it is seen that Sn-Ag-Cu based materials have promising characteristics to replace lead-based materials [2].

In its simplest form, the solder bump interconnection in electronic packages consists of a multi-layer UBM, solder bump and metallic bond pads [3]. Fig. 2 shows a representative drawing of this form. It shows where the UBM layers, bond pads and solder ball are located in relation to each other.

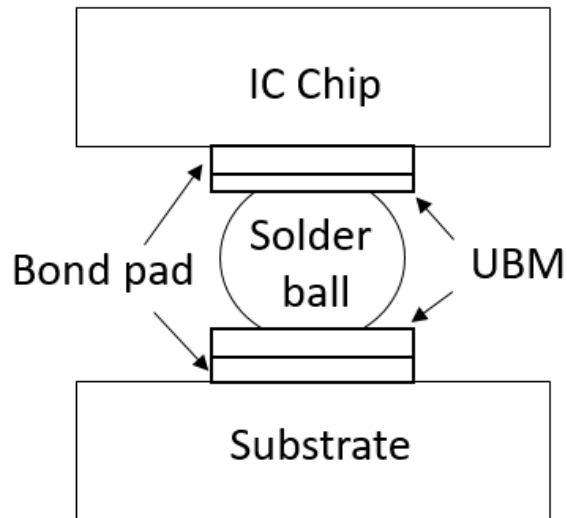


Figure 2. Representative drawing of one solder ball BGA electronic package

The main purpose of the UBM layer is to join metal bond pads, solder bumps and metallic materials. In addition to electrical and mechanical connection, UBM solder provides wettable characteristics, diffusion resistance and the ability to join different metallic materials. Table 1 shows briefly the materials used in UBM and their functions.

Table 1. Functions of UBM and associated used metal types

UBM Layer	Function	Metals Used
Adhesion & diffusion barrier	Joining of bond pad metal with passivation layer Prevent diffusion interactions of bond pad and solder materials	Cr, Ti, TiW, Ni, Pd, Mo
Solder wettable layer	Improves ability of melted solder to create a reliable joint	Cu, Ni, Pd
Oxidation barrier layer	Prevents UBM structure from oxidation	Au

1.2 Thermal Problem with Operation of Electronic Packages

Electronic packages are exposed to temperature changes due to their usage environment and this creates problems in the reliability of the packages. Each component of the electronic package has a different coefficient of thermal expansion value. With temperature changes, each component expands at different levels and this creates stresses in each component.

Solder balls are subjected to stress as they are the layer that connects components with these different expansion coefficients (Fig. 1,2). When the temperature change is cyclic, crack formation and propagation in solder balls is observed. Fig. 3 shows the formation and propagation of cracks in the solder ball due to temperature cycles as shown in scanning electron microscopy images [4]. These results show that thermomechanical fatigue studies are important in improving the reliability of solder balls.

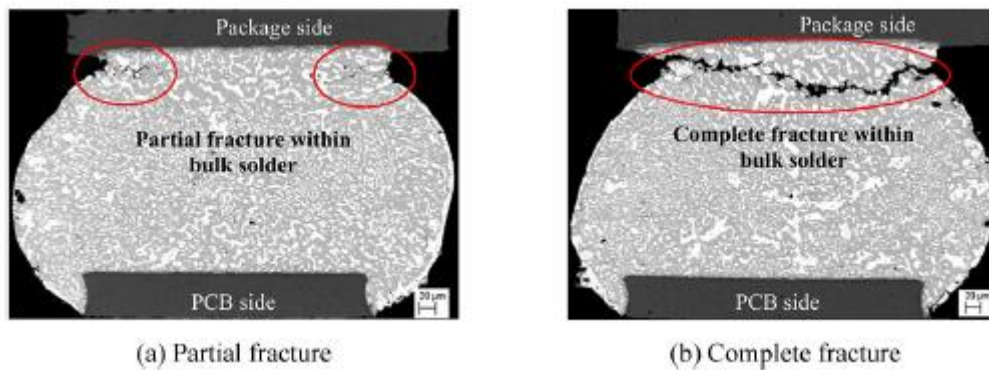


Figure 3. Result of thermal cycles (a) Formation of crack and (b) propagation of crack causing failure in the solder ball [4]

1.3 Scope of This Thesis

It is known that temperature is the effect that most shortens the life of solder balls in electronic packages. However, it is often not possible to change the operating temperature of electronic packages to eliminate this effect. Therefore, if it is desired to increase the lifetime of the solder balls, one of the solutions is to adjust the

dimensions of the components in the electronic package to reduce the stress/strain accumulation on it.

In this thesis, a life prediction and life improvement study of an electronic package with 144 connection 12x12 BGA (Fig. 15,16) was performed. The optimization study was performed using 9 different parameters including values of UBM thicknesses and solder ball diameter and height. It was aimed to find a geometric configuration that improves the lifetime compared to the original size structure. The response surface optimization module in ANSYS was used as the optimization tool.

In the thesis, the finite element method was used as the basic progress tool and TMF analysis of the structure of interest was performed in ANSYS 2023R2 program. SAC305 is used as the solder material. Preliminary analysis showed that the components with the lowest lifetime appeared to be the solder balls. Therefore, the main objective of the optimization was to extend the life of the most critical solder ball.

Within the scope of the thesis study, two different optimization studies were carried out. For SAC305 material, AV material model was used in the first one and EP material model was used in the second one.

Finally, it was observed that there is no such optimization study in the literature for the dimensions and material combination of the electronic package of interest. Therefore, this study is considered to be original and contributes to the literature.

1.4 Structure of This Thesis

This thesis contains 6 chapters. Chapter 1 presents the purpose and general scope of the study.

Chapter 2 presents the results of the literature survey related to the thesis topic. The historical development of the tools and models used in the thesis is presented and the reasons why they are used are explained.

In Chapter 3, in order to verify the capabilities of finite element simulation, a similar study in the literature was repeated and a benchmark study was performed.

In Chapter 4, the finite element model studies using the AV material model for SAC305 are presented. As a result of the response surface optimization, the geometric configuration with the highest solder ball lifetime was found.

Chapter 5 contains the repetition of the work done in Chapter 4. Here the EP material model was used for SAC305.

In Chapter 6, the results of the studies and the level of success achieved are presented. A dimensional comparison of the best geometric configurations obtained in Chapter 4 and Chapter 5 is presented and it is seen how much life improvement is achieved by optimization with each material model.

CHAPTER 2

LITERATURE REVIEW

With the developments in microelectronics, solder balls started to take place in electronic packages. After practical applications and observations, it was realized that the temperature excursions create problems on solder balls. Within the scope of this thesis, a literature survey on the problems caused by temperature excursions and proposed solutions has been conducted and presented.

2.1 Observed Problems in Solder Balls due to Temperature Effect

Electronic packages are structures that experience temperature changes in the components inside them due to their nature of generating heat while operating and the ambient temperatures at which they must operate.

Since the components that make up the electronic package have different CTEs, the components tend to experience different amounts of elongation with temperature changes. Due to the non-uniformity of these elongations, significant stresses arise at the interfaces between the components. In [5], stress generation on solder layers with temperature variations in multi-layer electronic packages consisting of different components was demonstrated. FE simulations were used to find the stress values. The related study is useful in terms of showing that the stresses which occur due to temperature changes are not specific to solder ball type connections, it affects all type of connections.

Repeated cycles of the stress generated due to temperature changes are the most damaging conditions for the reliability of the packages. Qiang Guo et. al. [6] showed that the main cause of failure among different types of loading in electronic packages is the temperature effect with 55%.

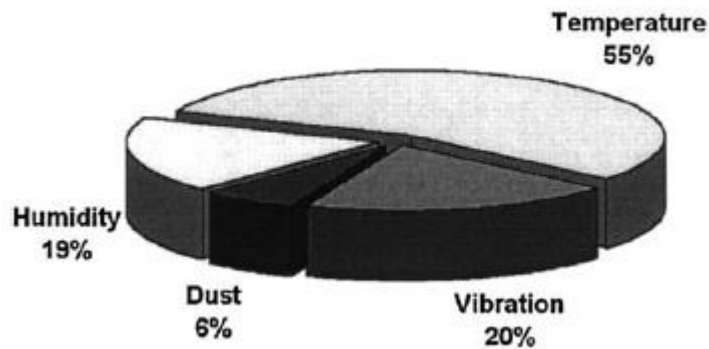


Figure 4. Main cause for failure of electronic packages [6]

Temperature cycles cause crack formation and propagation due to thermomechanical stress at solder interfaces. H. Xiao et al. [7] conducted a test study with different materials and SAC interface solders and demonstrated the crack formation and propagation in the solder material with the application of temperature cycles to the structure.

Similarly, in electronic packages with the type of joints using solder balls, it is seen that the solder interface is the component that receives the most critical damage and fails in the earliest with temperature cycles. C. Huang [8] performed thermal cycling tests on an electronic package using Sn3.0Ag0.5Cu solder balls. Thermal cycles were applied to electronic packages which were pre-applied different aging processes. Experimentally, a study was carried out to show if and where cracks would occur for different aging and temperature cycle times. In addition, F.X. Che and John H.L. [9] showed in an experimental study that the first failure occurs in the solder balls due to temperature cycles. In the study supported by experimental findings and FE simulation, no major problems were observed in the other components of the structure, while cracks formed in the solder balls. They propagated and caused the structure to fail. Similarly, H. Tohmyoh et. Al [10] performed thermal cycling tests of electronic packages consisting of Si chip, printed circuit board and solder balls with SAC305 material in between. In his tests, he used different Si chip thicknesses and temperature ranges and showed that the solder balls failed in each test. Table 2 summarizes the fatigue life of the solder ball test specimens. Cracks formed in the

solder balls with temperature cycles, propagated and caused the structure to become inoperable (Fig. 5).

Table 2. Thermomechanical fatigue life of the test specimens in cycles [10]

Chip Thickness (mm)	Applied Temperature Range (°C)		
	140	165	190
4	1920 ± 225	890 ± 98	420 ± 24
6	-	565 ± 75	-
7	787 ± 99	375 ± 45	229 ± 32

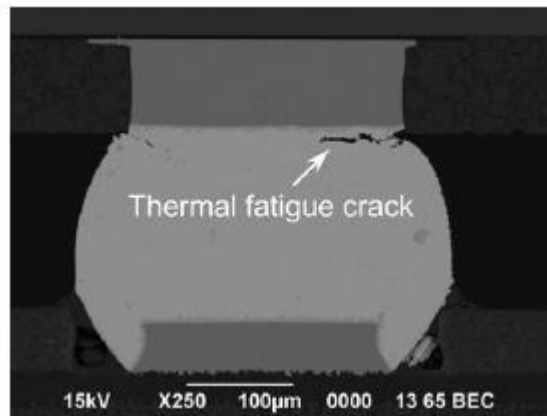


Figure 5. A failure site in a Pb-free solder bump joint after the thermal test [10]

2.2 Finite Element Analysis as a Proposed Improvement Tool

Engineers and researchers have studied the problems of solder balls in electronic packages caused by temperature cycling. The center and goal of those investigations have been to predict the lifetime of solder balls in each configuration. FE analysis and experimental methods have been used to estimate the lifetime information of solder balls.

Simple or complex structures can be modeled using the FE method and results can be obtained on the components with appropriate adjustments. In the literature, many studies have used FE analysis simulations to find the damage parameter values on

solder balls. The relevant damage parameter values are then used in the life prediction models and the thermal cycle life information of the solder balls can be extracted.

Studies have shown that SAC and soft metal (In, Pb, etc.) solder materials in electronic packages undergo plastic deformation with temperature cycles. These cycles generally have approximately 120-180°C temperature ranges and under these conditions solder balls have low lives ($<10^5$ cycles). It is therefore understood that solder balls exhibit low cycle fatigue behavior [11-14]. Therefore, the material models used for solder balls in FEA simulations are important.

2.3 Material Models in Thermomechanical Analysis of Solder Balls

Different material models have been used in different studies to find the lifetime of solder balls exposed to thermal cycling. It is seen that the damage parameter values on solder balls are extracted with FE simulations according to these material models.

When the literature is reviewed, it is seen that the Elasto-Plastic material model is used in some FE simulations. S.C. Yang et. al. [15] conducted an optimization study to find a different type of geometry for the joint as an alternative to the traditional solder ball geometry in order to increase the number of thermal cycle life. The author used the EP material model in his model. He validated the FE model with reference experiments and then performed the optimization study. In the optimization, the equivalent plastic strain value accumulated on the solder balls was used as the performance output parameter. After the optimization study, the solder geometry with the lowest EPS value in thermal cycles was determined. This study [15] is valuable in showing that experimental results can be captured, and optimization work can be done using the EP model.

In the literature, Elasto-Plastic Creep and AV material models are also used. F.X. Che and John H.L. [9] compared the life outputs of FE simulations using EP, EPC and AV material models with experimental data. The characteristic lifetime of the

electronic package was deduced from the experiments. It is shown that the FE simulation using the AV material model gives the closest lifetime result to the characteristic lifetime.

T. Hayashi et. al. [16] compared EPC and AV material models in his study. Tensile and stress relaxation tests were performed on a test clipping made of solder material. Then, both tests were modeled and simulated using FE method. The results of the FE simulations using both material models were compared with the test results. The results of the FE simulation using the AV material model were found to be consistent with the results of the tensile and stress relaxation tests in both transition and stationary regions. The EPC material model agreed with the stress relaxation test results in both transition and stationary regions in the FE simulation, but agreed with the tensile test results only in the transition region in the FE simulation. The author stated that the AV material model is a successful model in capturing the experimental results.

J-B. Libot et. al. [17] conducted an experimental and FE simulation study of an electronic package consisting of PCB and electronic components assembled with SAC305 solder balls. In the study, the solder balls in the electronic package were first tested with 4 different temperature profiles and the maximum shear stress-strain hysteresis loops were extracted. Then, FE simulations of the tests with AV material model were performed and 4 different shear stress-strain hysteresis loops were extracted. When the results are compared, it is observed that the simulation and test results were close for all 4 applications.

G.Z. Wang et. al. [18] compared the steady state creep test results of different solder materials found in the literature with the FE simulation results of the same test using the AV material model. After the comparison, the author stated that the results were close, and the AV material model can be used to find the stress and strain results of solder materials accurately.

In addition, different material models than those used above (Creep, Chaboche, Wiese, etc.) are available in the literature [11,12,19]. However, these material

models are seen in a limited number of studies and there is not enough data for their verification with experimental studies.

As a result of the literature survey, it is concluded that the AV and EP material models can successfully extract the results of TMF analysis studies of solder components in electronic packages. In addition, it is noteworthy that the number of studies in the literature with AV [4,9,12,14,16,17,18,20-27] and EP material models [9,10,28-31] are much more than the other material models. In the next topics, AV and EP material models are explained physically and mathematically.

2.3.1 Anand Viscoplastic Material Model

AV material model is firstly proposed by Anand and Brown [32] to describe the deformation behaviors of conventional metals which are formed under elevated temperatures. Researches have shown that AV model can be used for materials whose deformation characteristics are sensitive and susceptible to strain rate, temperature and strain hardening and softening.

AV model contains set of constitutive equations that includes flow and evolution equations to fully describe the plastic flow of the material. The plastic flow includes both rate-dependent creep and rate-independent plastic deformations. Additionally, the model uses an internal state variable which is named deformation resistance to represent material's isotropic resistance to large plastic flows. This internal variable is denoted by s , and has the same dimension with stress. There is also one basic feature for this model; it needs no explicit yielding condition so that loading and unloading criterion is not needed. The plastic flow is assumed to take place at any non-zero stress values, although the inelastic strain values are very small at low stress values.

As stated earlier, the internal state variable s represents averaged isotropic deformation resistance to macroscopic plastic flow of materials. This variable characterizes the isotropic strengthening mechanisms such as dislocation density,

solid solution strengthening, sub grain and grain size effects, etc. In AV model, variable s is proportional to the equivalent stress σ_e . That is [18].

$$\sigma_e = c \cdot s; \quad c < 1 \quad (2.3.1)$$

Equivalent stress σ_e is defined as

$$\sigma_e = \sqrt{\frac{1}{2}[(\sigma_{11} - \sigma_{22})^2 + (\sigma_{22} - \sigma_{33})^2 + (\sigma_{33} - \sigma_{11})^2 + 6(\sigma_{23}^2 + \sigma_{31}^2 + \sigma_{12}^2)]} \quad (2.3.2)$$

where σ_{ij} ($i, j = 1, 2, 3$), are the stress components of Cauchy stress tensor σ .

And, c is material parameter and function of strain rate and temperature, which is defined as

$$c = \frac{1}{\xi} \sinh^{-1} \left[\left(\frac{\dot{\epsilon}_p}{A} e^{Q/RT} \right)^m \right] \quad (2.3.3)$$

where $\dot{\epsilon}_p$ is the inelastic strain rate in 1/s, Q is the activation energy in kJ/mol, T is absolute temperature in K, R is the universal gas constant in J/(K·mol), A is the pre-exponential factor in 1/s, m is the strain rate sensitivity and ξ is the stress multiplier.

The Anand model employs following functional form of flow equation to accommodate the strain rate dependence on the stress. Combining the Eq. (2.3.1) and (2.3.2), flow equation can be found as

$$\dot{\epsilon}_p = A \exp \left(-\frac{Q}{RT} \right) \left[\sinh \left(\xi \frac{\sigma_e}{s} \right) \right]^{1/m} \quad (2.3.4)$$

Observe that deformation resistance variable enters the flow equation only as a ratio with equivalent stress. Also, temperature effect on the inelastic strain rate is incorporated via Arrhenius term. To fully use the flow equation to capture the inelastic strain rate under constant stress, internal variable s needs to be determined.

The evolution equation for the internal variable s is assumed to be of the form as

$$\dot{s} = h(\sigma, s, T) \dot{\epsilon}_p \quad (2.3.5)$$

where function $h(\sigma, s, T)$ is associated with and incorporate the effects of dynamic recovery and strain hardening. Anand has given a simple form of evolution equation of Eq. (2.3.5) as follows:

$$\dot{s} = \left\{ h_0 \left| 1 - \frac{s}{s^*} \right|^a \cdot \text{sign} \left(1 - \frac{s}{s^*} \right) \right\} \cdot \dot{\varepsilon}_p; \quad a > 1 \quad (2.3.6)$$

where

$$s^* = \hat{s} \left[\frac{\dot{\varepsilon}_p}{A} \exp \left(\frac{Q}{RT} \right) \right]^n \quad (2.3.7)$$

In Eq. (2.3.7), the value of s^* represents a saturation value of s associated with a set of applied temperature and strain rate. \hat{s} is a coefficient in MPa, h_0 is the hardening/softening coefficient in MPa, n is the strain rate sensitivity for the saturation value of deformation resistance, a is the strain rate sensitivity of hardening/softening, respectively.

2.3.2 Elasto-Plastic Material Model

In the Elasto-Plastic material model, the loaded material is modeled not only where it shows elastic deformation but also where it shows plastic deformation. In this model, the amount of deformation does not depend on the rate of loading but only on the amount of loading.

As an example, Fig. 6 shows the stress-strain graph of a material with elastoplastic behavior under loading. Here, the material shows plastic behavior after the stress on the material exceeds the elastic limit (yield point). At the point where it shows plastic behavior, the material has both elastic and plastic strain. In the plastic region, the elastic strain is recovered when the load on the material is released, but the plastic strain is permanent. Total strain can be defined as follows

$$\varepsilon = \varepsilon_e + \varepsilon_p \quad (2.3.8)$$

$$\varepsilon_e = \frac{\sigma}{E} \quad (2.3.9)$$

$$\varepsilon_p = \varepsilon - \varepsilon_e \quad (2.3.10)$$

where ε_e is elastic strain, ε_p is plastic strain and E is the elastic modulus.

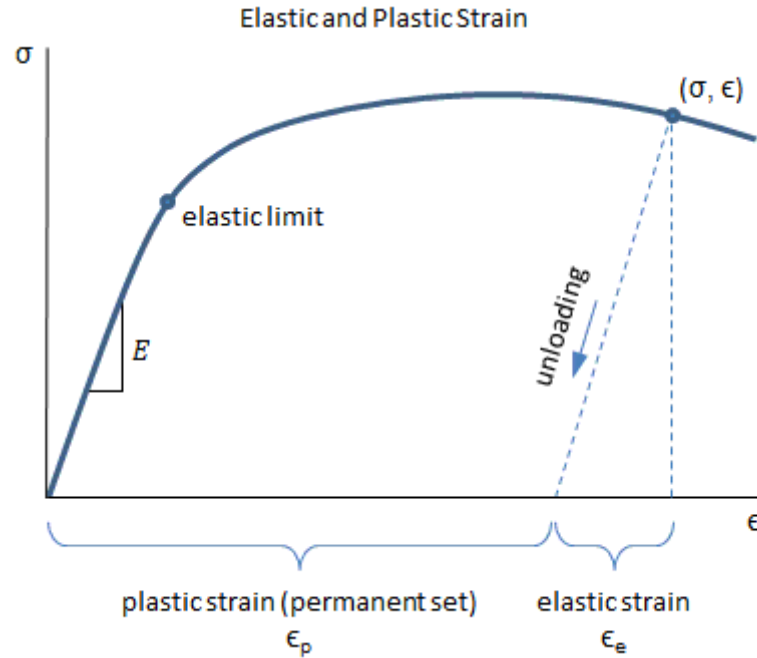


Figure 6. Typical stress strain curve for materials which shows Elasto-Plastic material behavior [33]

In this model, it is also necessary to define a criterion that shows how much the materials will yield under how much loading amount. Two different criteria can be used here. The first one is Von-Mises yield criterion and the second one is Tresca yield criterion.

According to Von-Mises yield criterion; yield occurs when the equivalent stress value (σ_e) specified in Eq. 2.3.2 is greater than or equal to the yield stress of the material in uniaxial tension (Y).

Tresca yield criterion is also called maximum shear stress theory. According to this criterion Eq. 2.3.11 condition is met, the material yields.

$$\max(|\sigma_1 - \sigma_2|, |\sigma_2 - \sigma_3|, |\sigma_3 - \sigma_1|) = Y \quad (2.3.11)$$

where σ_i ($i = 1,2,3$) principal stress components of Cauchy stress tensor σ .

In the Elasto-Plastic material model, it is also necessary to define the evolution of the yield surface due to deformation in the plastic region, these are called strain hardening laws. There are three different strain hardening laws. These are listed below.

- Isotropic Hardening
- Kinematic Hardening
- Mixed Hardening

In this thesis Von Mises Yield criterion and kinematic hardening is used.

2.4 Life Prediction Models

Another tool that should be used for life prediction of solder ball components due to thermomechanical loading is life prediction models [34]. Life prediction models are tools that extract life cycle information about solder materials using the material properties and the damage parameters resulting from calculations, e.g. plastic strain range, shear strain range etc.

X. Xu et. al. [23] performed a life prediction study based on FE simulation using accurate material and life prediction model. The system was modeled in FE environment and the solution was performed using AV material model for solder material. With the solution, the plastic strain range was found in the solder ball. Then, with the Engelmaier modified Coffin-Manson model used as a life prediction model, the life cycle prediction results of the most critical ball were calculated and revealed.

Following the literature review, it is noticeable that CM [10-14,21,29,30] and ECM [11,12,13,23,25] lifetime prediction models are the most commonly used tools for life estimation of solder balls due to thermomechanical loading. It is stated that ECM is the preferred model as it includes the temperature range and frequency of the applied temperature cycle in the lifetime calculations [12]. In the next section, ECM is explained.

2.4.1 Engelmaier Modified Coffin-Manson Life Prediction Model

The Engelmaier modified Coffin-Manson equation used in the determination of the life of solder balls due to temperature cycles is as follows [35]

$$N_f = \frac{1}{2} \left(\frac{\Delta\gamma}{2\epsilon'_f} \right)^{\frac{1}{c}} \quad (2.4.1)$$

Where N_f is the number of cycles to failure, ϵ'_f is the fatigue ductility coefficient which is a material parameter and $\Delta\gamma$ is the shear strain range which is calculated by Eq. (2.4.2)

$$\Delta\gamma = \sqrt{3}\Delta\epsilon \quad (2.4.2)$$

Where $\Delta\epsilon$ is equivalent strain range, which can be found from cyclic stress-strain hysteresis loops of solder balls and c is fatigue ductility exponent which can be calculated by Eq. (2.4.3)

$$c = -0.442 - 6 \times 10^{-4}T_m + 1.74 \times 10^{-2} \ln(1 + f) \quad (2.4.3)$$

where T_m is the mean temperature of the applied cyclic temperature range and f is the frequency of applied temperature profile.

ECM model can be considered as an extension of the CM model. It includes the average temperature in the temperature profile applied to the solder balls and frequency effects in the lifetime calculation. Basically, the damage parameter it uses is the strain range, so this is a strain-based method. In Fig. 7, generic hysteresis curve for viscoplastic materials under thermomechanical loading is illustrated [36] and representative total and plastic strain ranges could be seen.

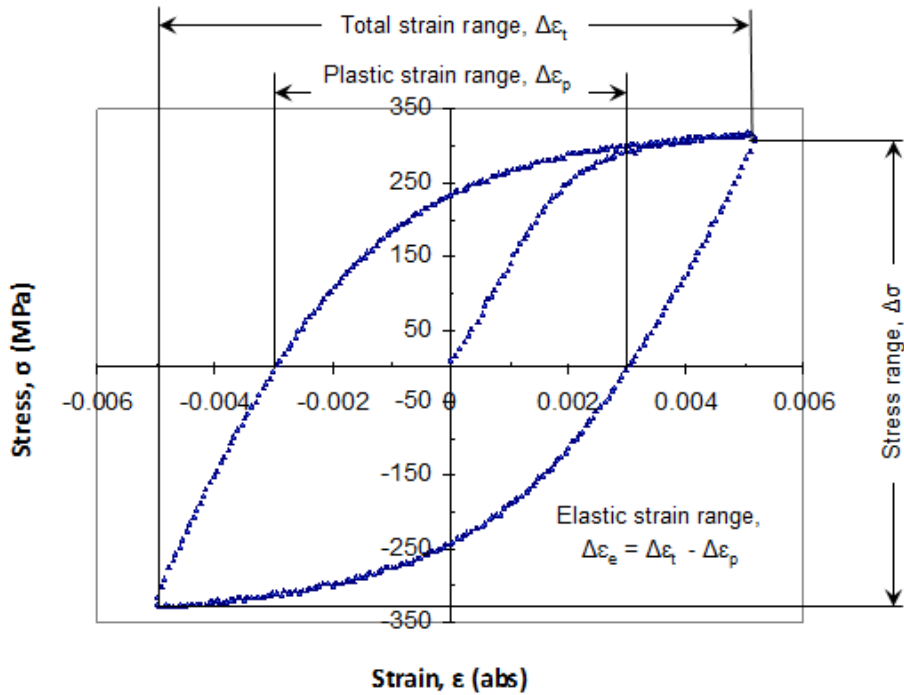


Figure 7. Typical stress-strain hysteresis loop of viscoplastic materials and total and plastic strain ranges [35]

2.5 Global-Local Modelling Approach for Finite Element Analysis

Within the scope of this thesis, the FE analysis of solder balls was evaluated with a global-local approach. Therefore, the existence and suitability of such a study was investigated in the literature.

Basically, global-local modeling approach in FE analysis is technique that helps analyzing relevant portion (local) of a larger model (global) with refined mesh and hence acquiring more accurate and detailed results within this portion of interest.

Global model is solved first with a coarser mesh to acquire displacement or temperature results. Afterwards, these results are mapped to local model at the cut boundary faces to analyze the response of the portion with denser mesh. It is most useful for obtaining accurate results while keeping the computation time as low as possible.

C. Chen et. al. [27] investigated the relationship of the global-local approach in the analysis of solder balls in FE environment. They performed their analysis according to the output value of inelastic strain energy density in solder balls. It is shown that the global-local analysis result changes only by 2.4% compared to the global model result with the same settings, but the analysis solution time is reduced by 75 times.

In addition, they stated that the aspect ratios of the solder ball and UBM structures, which are small compared to the global model dimensions, are close to 25 during mesh creation in the global model, while this value decreases to 5 in the local model. This would be useful to improve the accuracy of solutions with the local model.

In the literature, there are also optimization studies with the global-local approach. A. Deshpande [37] performed an optimization study to determine the optimal solder ball dimensions in terms of lifetime in a structure consisting of PCB, electronic package, and solder balls. In the study using global-local approach, the global model was solved and then the most critical solder ball was found and then that region was modeled locally. With the optimization in the local model, the dimensions that will have the lowest strain energy density under temperature variation were determined.

CHAPTER 3

FINITE ELEMENT MODEL VALIDATION

Within the scope of this thesis, TMF life prediction of solder balls in a specially designed electronic package has been carried out by FE simulations. Before starting the studies, the path was followed according to the conclusions learned from the literature survey.

Following the literature survey, conclusions given below were drawn:

- FE simulations is going to be used to find the lifetime of solder balls.
- In FE simulations, both AV and EP models will be used as material model of solder balls to extract the stress & strain results.
- Engelmaier modified Coffin-Manson fatigue life prediction model will be used to generate lifetime results.

When producing an electronics package, laying down the solder balls, post-processing and assembling the structures in the package are costly activities. Along with this consideration, [23] X. Xu et. al. stated the difficulty of doing experimental work in life determination studies of solder balls that are subjected to thermomechanical loading. For this reason, the optimization and finding the best solder ball geometry is carried out by FE simulations in this thesis.

Before simulating and optimizing the structure of interest, a benchmark study is required to validate our FE modeling and solution capabilities. When the literature is examined, it is seen that there is no experimental study that will include all the details necessary to make the mentioned verification. The missing details include some of the dimensions of the test sample, the material type information of some components, etc. It seems that the literature is incomplete in this respect.

Like the experimental studies, FE simulation-based studies also seem to lack sufficient information to validate our own model. For example, the material type and dimensions of the components in the FE model is missing in [12,20].

Although all the necessary information is not fully provided, information in [21] is nearly complete for benchmarking at a certain level. This study has been attempted to be repeated with the data provided and the lifetime on the most critical solder ball has been extracted.

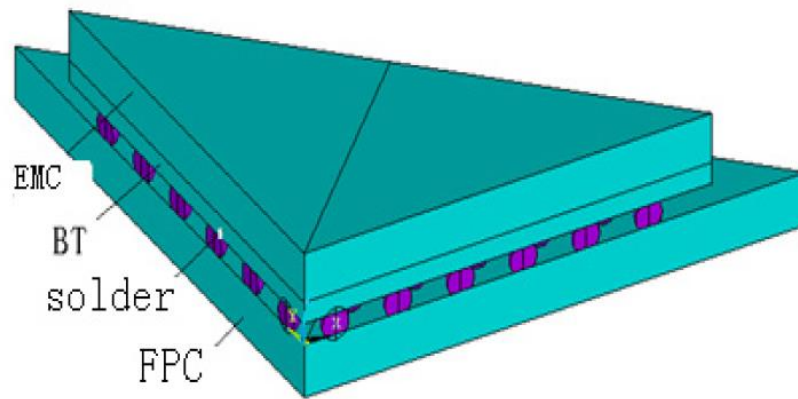
3.1 Benchmark Study

H. Chunyue [21] performed the lifetime analysis of solder balls in a 144-Pin plastic ball grid array structure integrated on printed circuit board with two different solder ball materials (63Sn37Pb, 96.5Sn3.5Ag). In this FE simulation-based study, AV was used as the material model and ECM was used as the life prediction model. Material properties for both materials are given in the related article. The boundary condition and applied temperature profile information required for the repetition of the simulations were defined, but the geometric dimensions of the modeled components were not fully defined. The missing dimensions were estimated by considering the figures and images in the article.

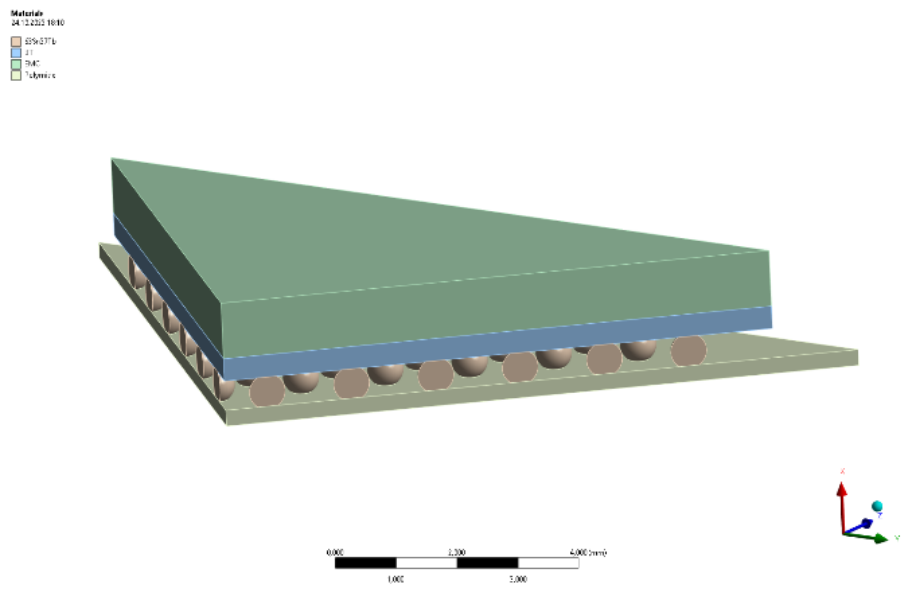
Separate reruns have been carried out for two different material types found in the related study. For this, an FE model was tried to be constructed and then the analysis results were obtained by changing the material assignment of the solder balls. These analysis results were then used in ECM to obtain the life results and compared with the values in the paper.

ANSYS 2023R2 has been used in FE simulations. The program has the AV material model in its embedded library. With the convenience provided by this program, there is no need to write a material model again and it can be directly applied to the solder balls in the analysis.

Hereafter, studied model in the article [21] and the constructed model are going to be called as Model A and Model B, respectively. Fig. 8 shows the computer aided design images of Model A and Model B.



(a)



(b)

Figure 8. Quarter model CAD images of Model A [21] (a) and Model B (current study) (b)

The Model B was first generated in accordance with Model A's given physical dimensions and then evolved into a quarter model to form the symmetry surfaces

specified in the article. In Table 3, original dimension comparison of the models can be seen. Some of the dimension in the Model A is not available (N/A), thus they are guessed according to given images in the article.

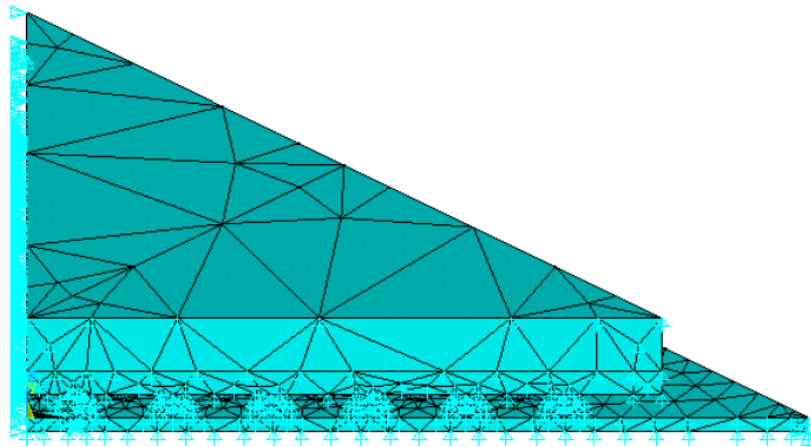
Table 3. Original dimensions of the Model A and Model B.

Dimension Name	Dimension Value (mm)	
	Model A	Model B
EMC thickness	N/A	0.95
EMC planar	13x13	13x13
BT thickness	N/A	0.38
BT planar	13x13	13x13
EMC+BT thickness	1.33	1.33
Solder ball diameter	0.6	0.6
Solder ball height	0.5	0.5
Solder ball pitch	1	1
FPC thickness	N/A	0.27
FPC planar	N/A	15x15

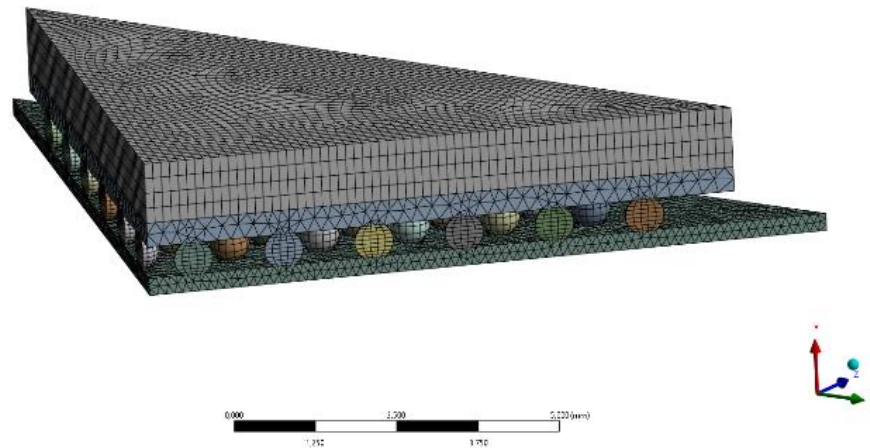
Fig. 9 shows the FE models of Model A and Model B. In Table 4, FE model property comparison can be seen. For simulations of Model A, ANSYS V11.0 is used [21].

Table 4. Finite element properties of the Model A and Model B.

	Model A	Model B
Element type for solder balls	VISCO107	SOLID 186
Element type for rest of the model	SOLID45	SOLID186 & SOLID 187
Total number of elements	253652	222148



(a)



(b)

Figure 9. Quarter finite element model of Model A (a) and Model B (b)

Contact and mesh interaction information of Model A are not given. Also, there is no image or figure to demonstrate mesh and contact configuration of the solder balls closely. In Model B, conformal mesh is used between components. Fig. 10 shows the conformal mesh transition.

Mesh
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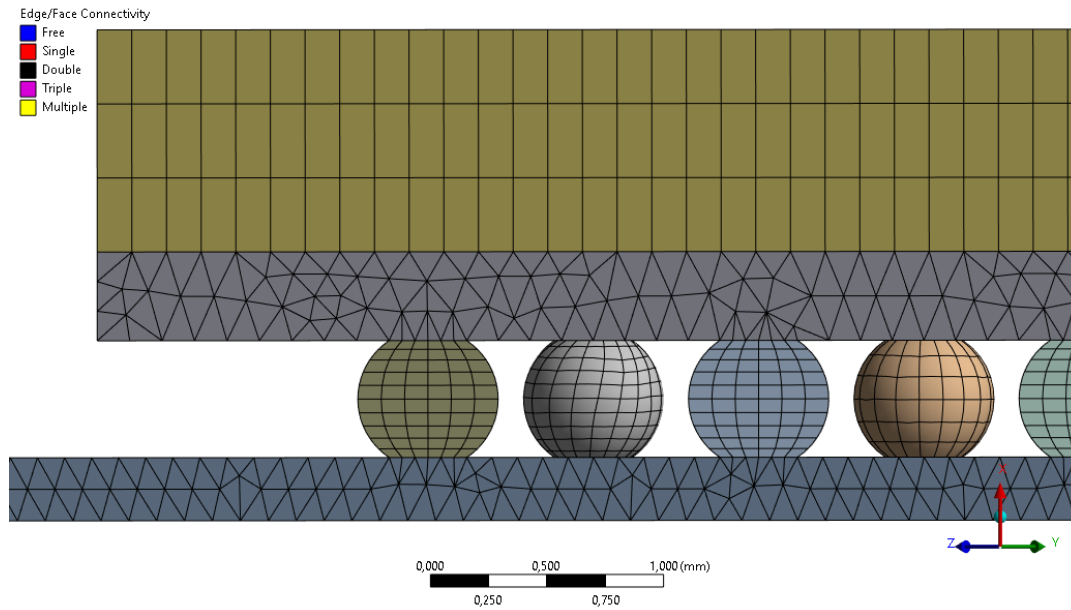
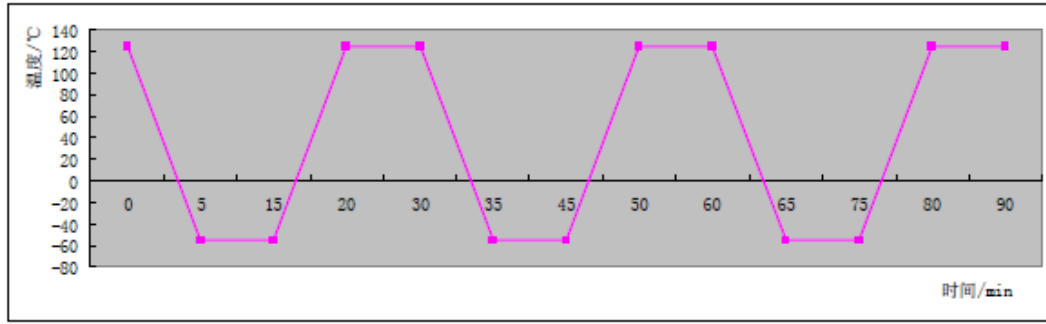


Figure 10. Side view of Model B's mesh model, the transition of mesh

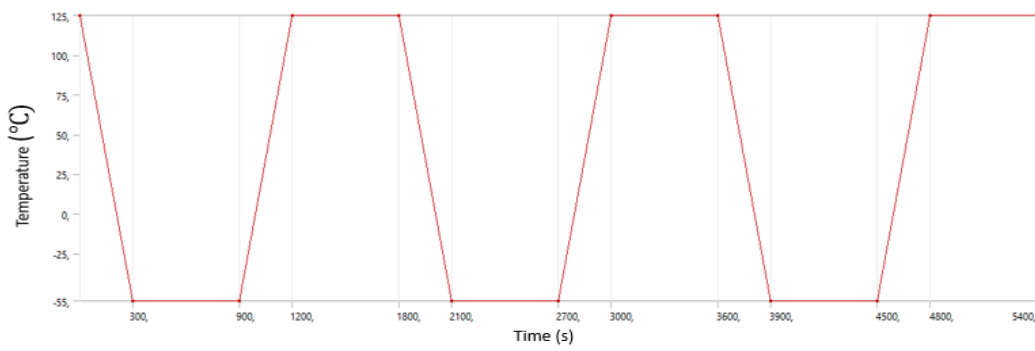
Fig. 11 shows the applied temperature profile in both models A and B. They are exactly the same. Temperature profile has higher extreme at 125 °C and lower extreme at -55 °C. Initial temperature for the bodies are selected as 125 °C for Model A and B so they are in stress-free state initially.

In [21] and other studies in the literature, it is seen that the material behavior reaches steady state after the first 3 cycles and there is no further change in the hysteresis loops and strain ranges [7,17,20] in the subsequent cycles. For this reason, 3 cycles are selected in the temperature applications to find the strain range values to be used in ECM. This approach saves a long numerical solution time.

Additionally, material properties specified in Model A is used exactly at Model B's simulations. In Model A, only solder balls are modeled with AV, other components are assumed to behave linear isotropic. In Tables 5 and 6, material properties of the components used in Model A [21] can be seen. Term t is temperature in °C.



(a)



(b)

Figure 11. Applied temperature cycle profiles of (a) Model A and (b) Model B

Table 5. Elastic and thermophysical material properties of Model A components

Material	Elastic Modulus (GPa)	Poisson's Ratio	Coefficient of Thermal Expansion (ppm/°C)
EMC	15.435	0.250	15.0
BT	17.8	0.390	15.0
FPC	3.724	0.335	15.0
67Sn37Pb	$(34474-152t)/1000$	0.35	21.0
96.5Sn3.5Ag	$(52708-67.14t-0.0587t^2)/1000$	0.4	$21.85+0.02039t$

Then, the FE simulation settings specified in the article [21] were similarly adjusted

- Symmetry planes are constrained with “frictionless support” which only allows them to make in-plane motions
- Stress free state is selected at 125 °C, it is starting temperature point of the simulations

and the calculations were performed. The relevant results were extracted from the solder ball that showed maximum strain throughout the cycles as stated in the article. Then, the maximum output values in this solder ball were again compared with the results of Model A. First, the solder ball material was chosen as 63Sn37Pb to compare the results.

Table 6. Anand viscoplasticity material properties for two different solder materials

Parameters	Solder Material	
	67Sn37Pb	96.5Sn3.5Ag
s_0 (MPa)	12.41	39.09
Q/R (K)	9400	8900
A (1/s)	4.0E6	2.23E4
ξ	1.5	6
m	0.303	0.182
h_0 (MPa)	1378.95	3321.15
\hat{s} (MPa)	13.79	73.81
n	0.07	0.018
a	1.3	1.82

As a reminder, Model A’s results are gathered from article [21]. Model B is constructed model and its results are generated.

In Fig. 12, location of critical solder ball of the Model B is shown. Red label with name Max in Fig. 11 shows the most critical point of Model B through the temperature cycles. Unfortunately, the most critical point in Model A is not shown in the article.

In Fig. 13 the time-dependent Von-Misses equivalent stress result at the most critical point is extracted and compared with the curve in the article. Fig. 13a and Fig. 13b show the results of Model A and Model B, respectively. It is seen that in Model A, maximum stress is around 44 MPa and in Model B, the maximum stress is around 41 MPa through the cycles. For both results, maximum stress is increasing with each cycle.

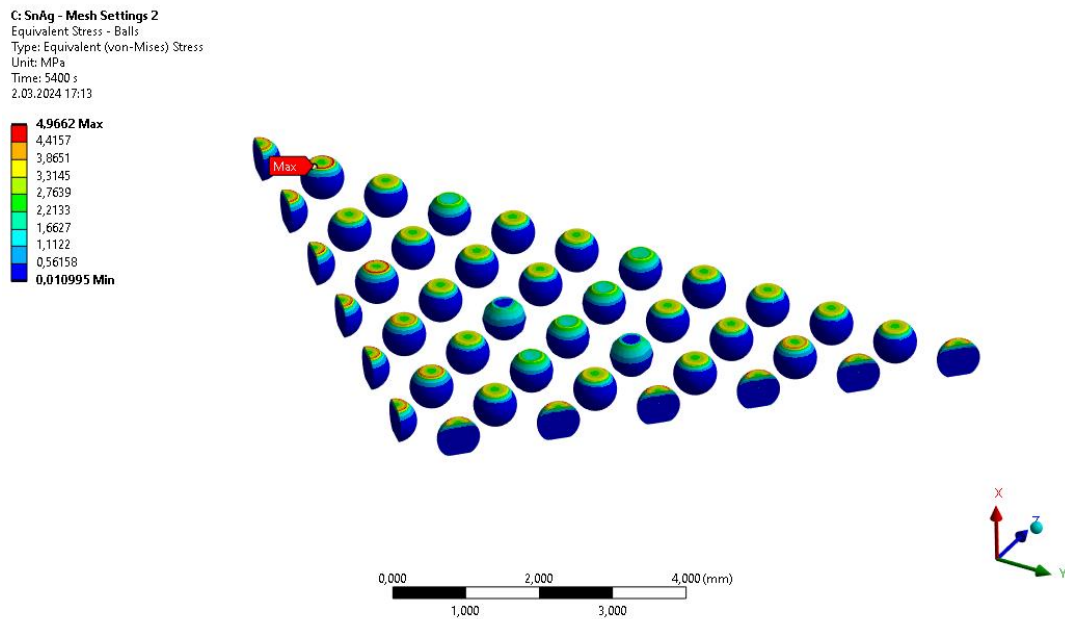
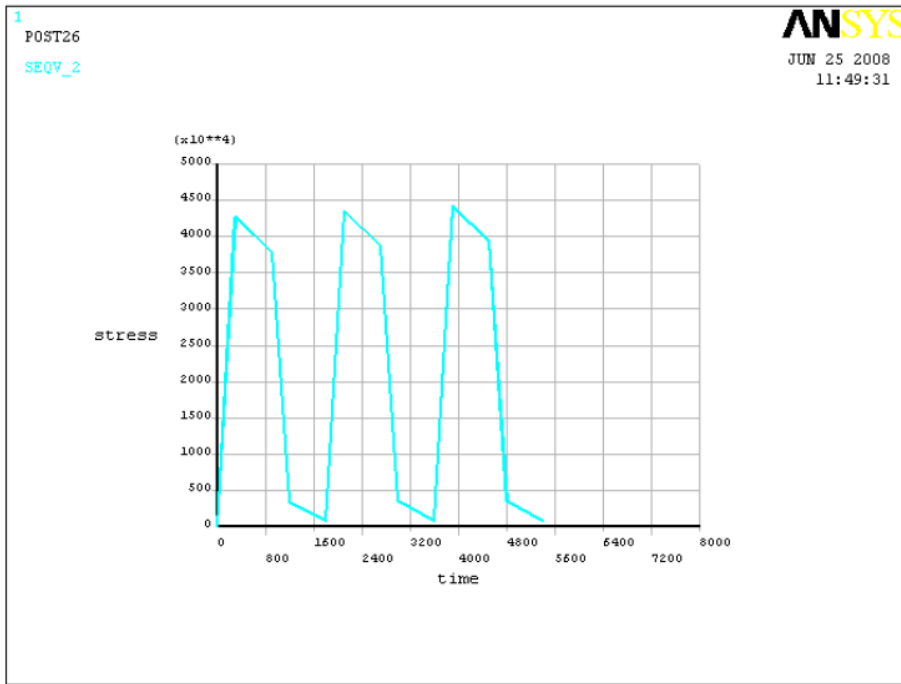
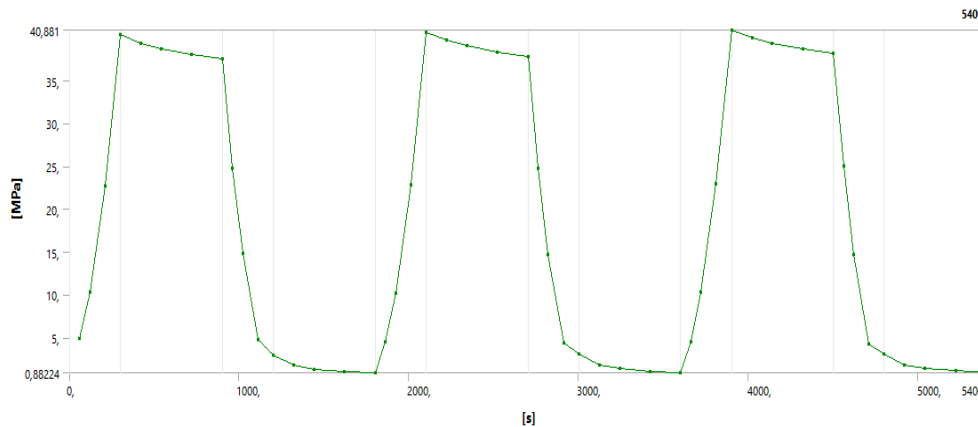


Figure 12. Critical solder ball location of Model B throughout the analysis



(a)

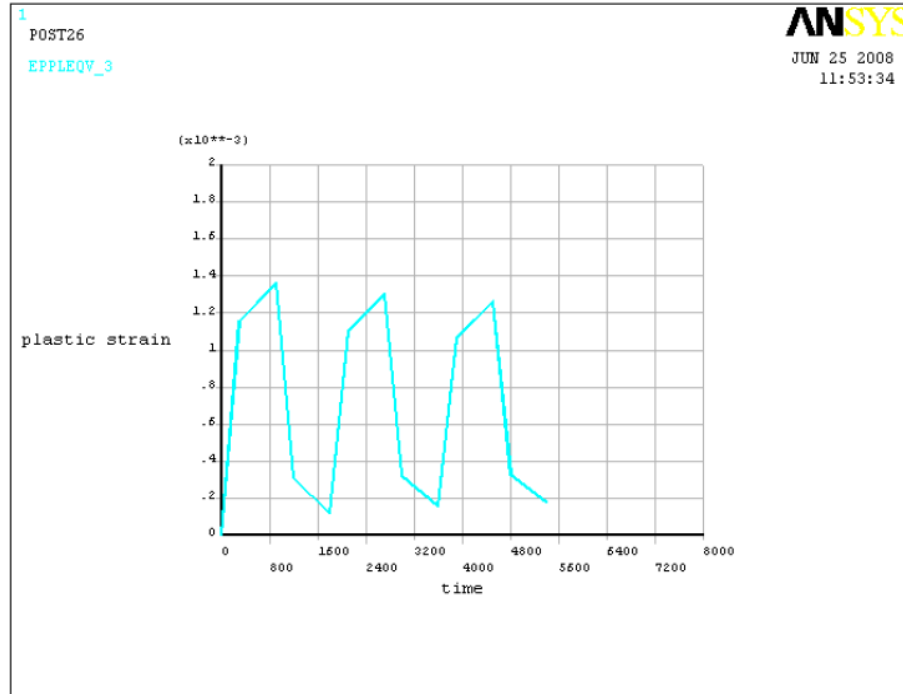


(b)

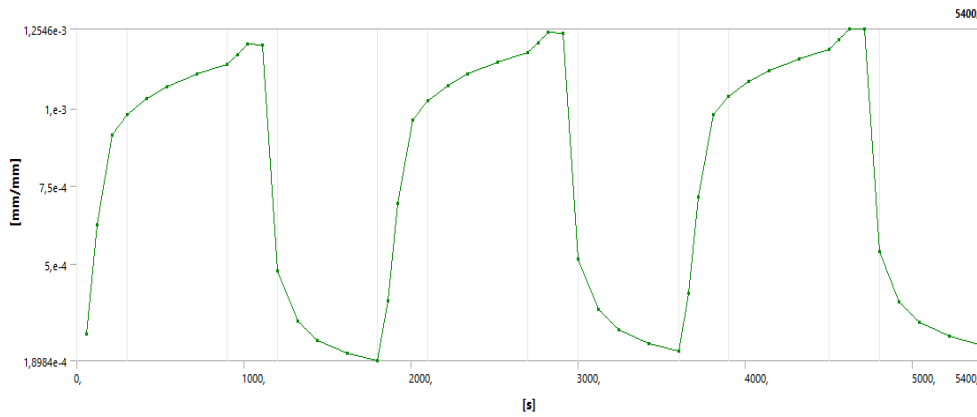
Figure 13. Equivalent stress at the critical point throughout the temperature cycles (63Sn37Pb); (a) Model A [21], (b) Model B

In Fig. 14, the time-dependent VM equivalent plastic strain result at the same critical point was extracted and compared with the curve in the article. Fig. 14a and Fig. 14b show the results of Model A and Model B, respectively. Maximum strains are 1.35E-

03 for Model A and 1.25E-03 for Model B. For Model A, maximum strain is decreasing for each cycle, however for Model B maximum strain is increasing.



(a)



(b)

Figure 14. Equivalent strain at the critical point throughout the temperature cycles (63Sn37Pb); (a) Model A, (b) Model B

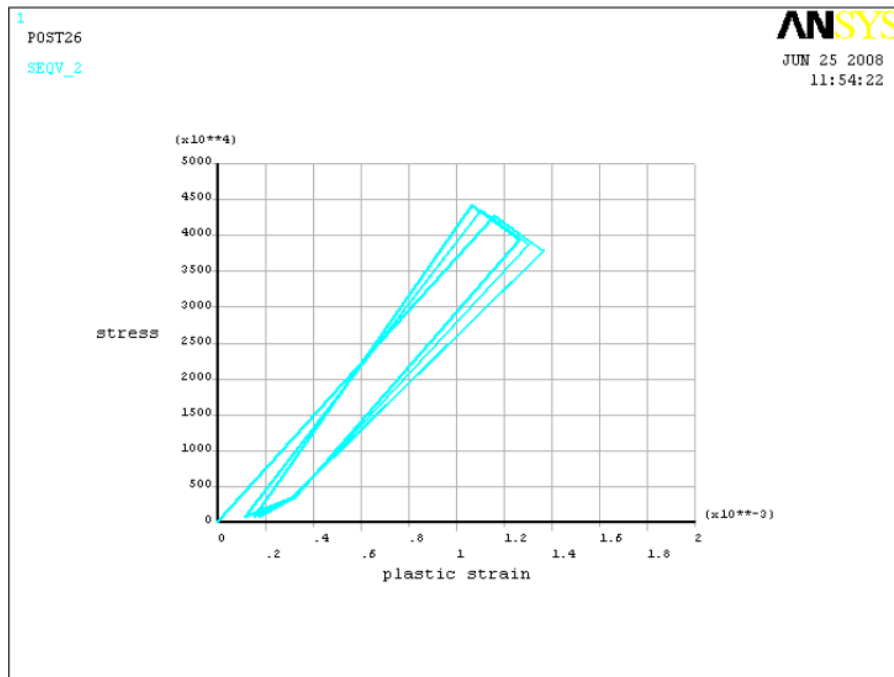
For material 63Sn37Pb after analyzing the results Fig. 13 and Fig. 14, it can be concluded that at low temperature dwell times, solder ball exhibits both creep and

stress relaxation behavior. Stress is decreasing and plastic strain is increasing. However, for high temperature dwell times it only exhibits stress relaxation. Stress is decreasing and strain is also decreasing.

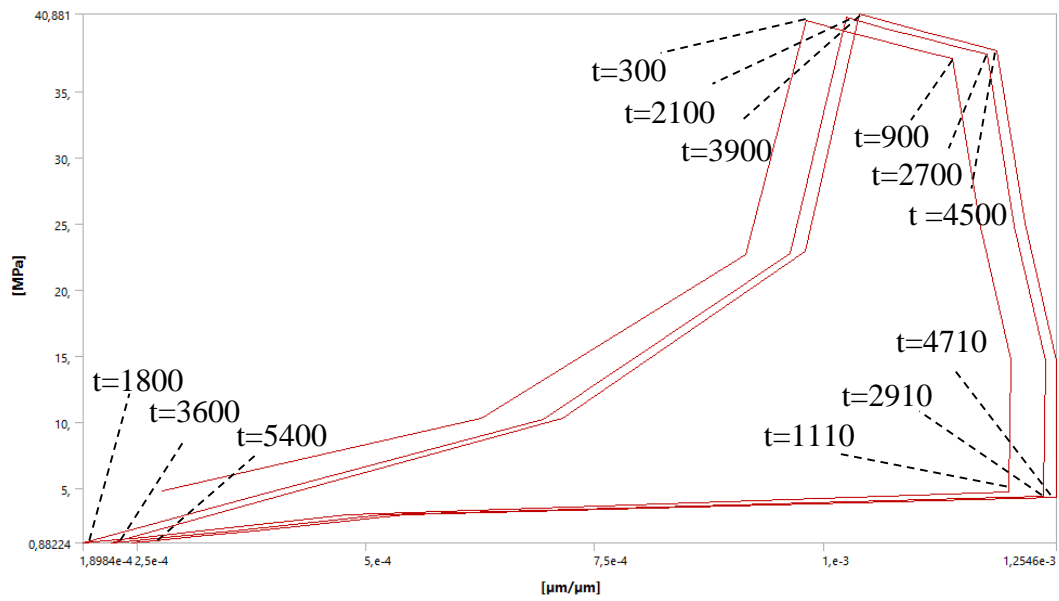
In Fig. 15, the stress-strain hysteresis loop at the same critical point was extracted and compared with the curve in the article. Fig. 15a and Fig 15b show the results of Model A and Model B, respectively. There is a shape difference between curve results of the Model A and Model B. Possible causes of this difference are explained later in this chapter.

Secondly, 96.5Sn3.5Ag material was chosen for the solder balls to compare the results. Similar to the 63Sn37Pb material type, the stress and strain values in the most critical solder ball were plotted and compared with the corresponding curves in the article [21].

Fig. 16, the time-dependent VM equivalent stress result at the most critical point was extracted and compared with the curve in the paper. Fig. 16a and Fig. 16b show the results of Model A and Model B, respectively.

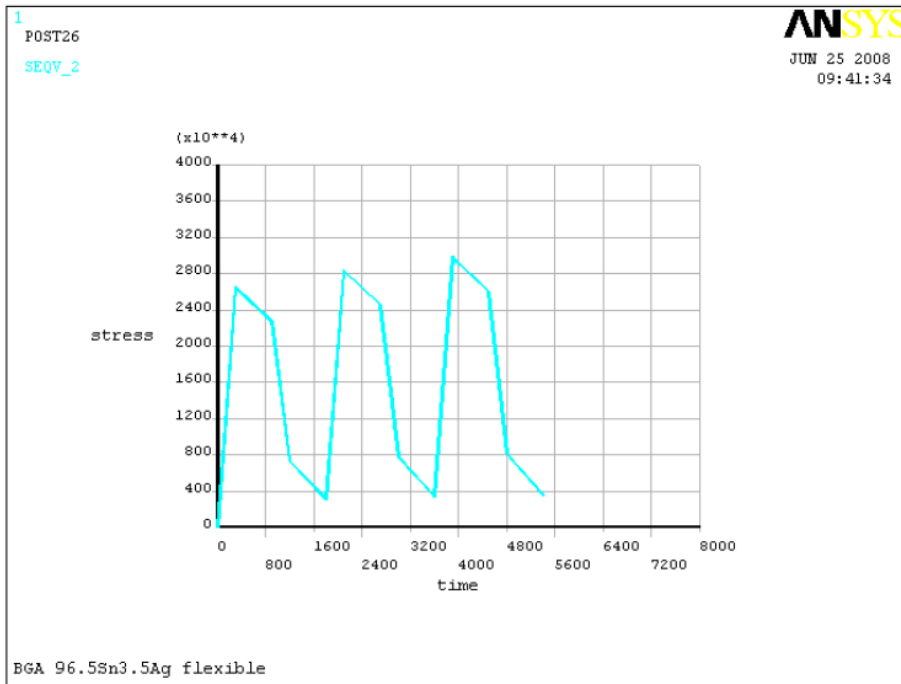


(a)

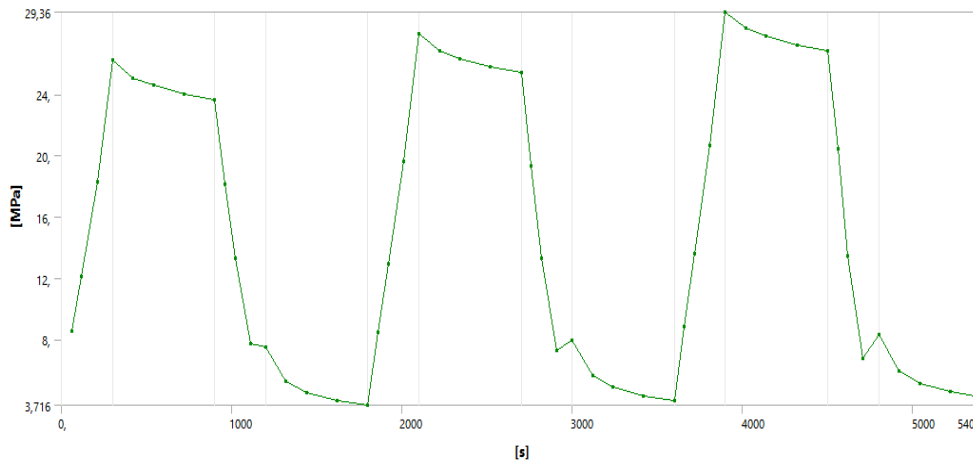


(b)

Figure 15. Equivalent stress vs equivalent strain hysteresis loop (63Sn37Pb); (a) Model A, (b) Model B



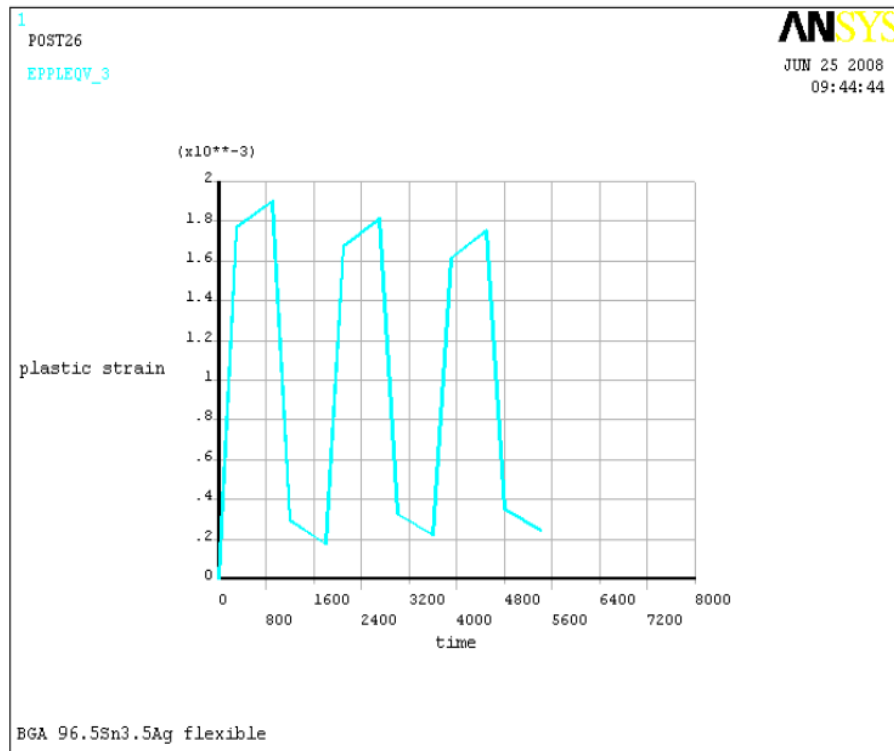
(a)



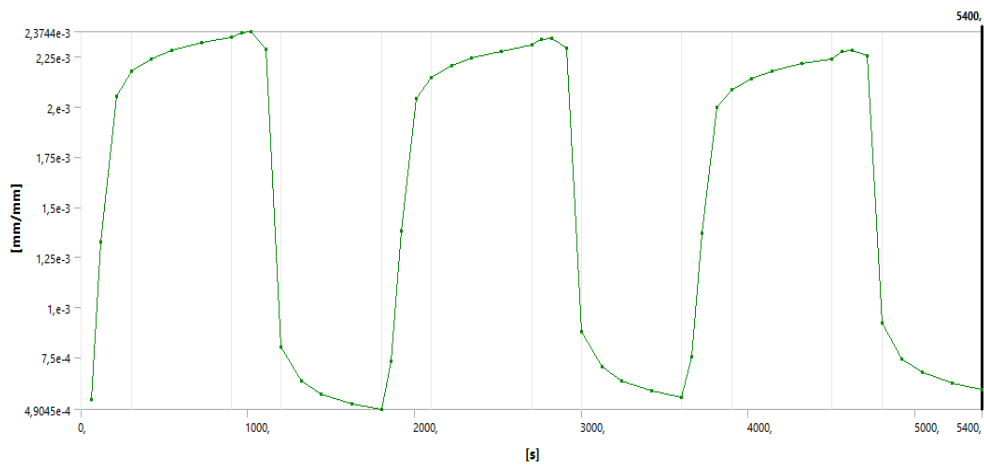
(b)

Figure 16. Equivalent stress at the critical point throughout the temperature cycles (96.5Sn3.5Ag); (a) Model A, (b) Model B

In Fig. 17, the time-dependent equivalent plastic strain result at the same critical point was extracted and compared with the curve in the article. Fig. 17a and Fig. 17b show the results of Model A and Model B, respectively.



(a)



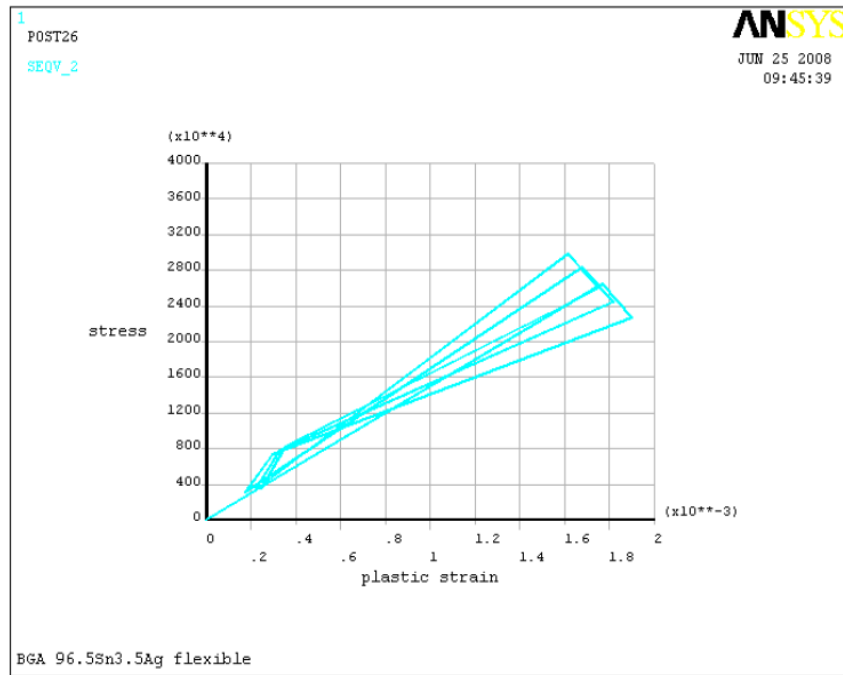
(b)

Figure 17. Equivalent strain at the critical point throughout the temperature cycles (96.5Sn3.5Ag); (a) Model A, (b) Model B

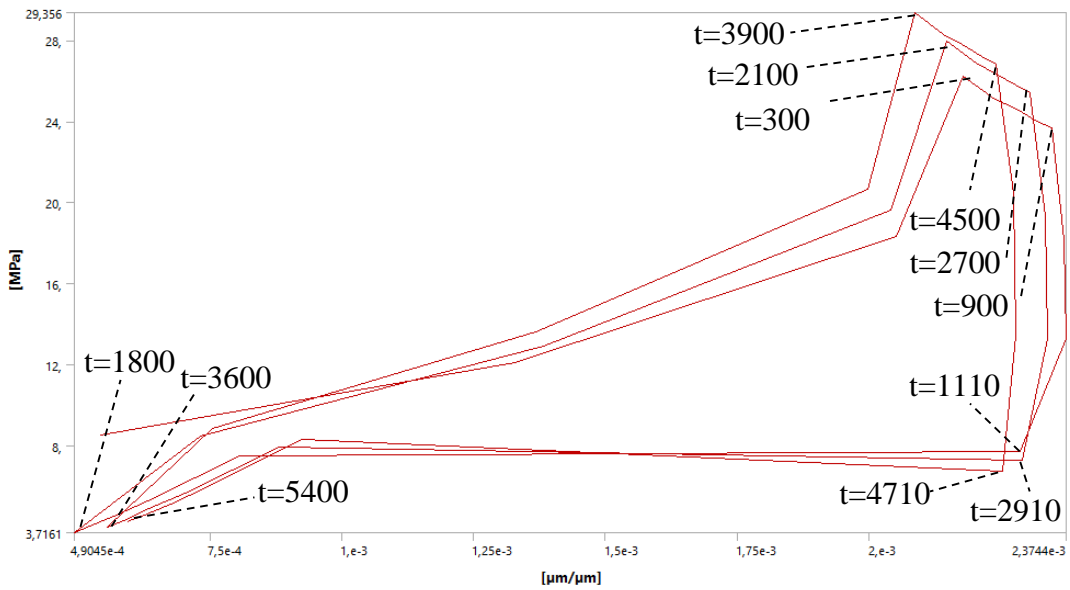
For material 96.5Sn3.5Ag after analyzing the results Fig. 16 and Fig. 17, it can be concluded that at low temperature dwell times, solder ball exhibits both creep and stress relaxation behavior. Stress is decreasing and plastic strain is increasing. However, for high temperature dwell times it only exhibits stress relaxation. Stress is decreasing and strain is also decreasing.

In Fig. 18, the stress-strain hysteresis loop at the same critical point was extracted and compared with the curve in the article. Fig. 18a and Fig. 18b show the results of Model A and Model B, respectively.

The hysteresis loops extracted for both material types were used to find the strain range values (Fig. 15b, 18b). These strain range values were then used in the ECM life prediction model (Eq. 2.4.1) as mentioned in the article. In the ECM equation the ϵ'_f value is taken the same as in the paper [21] (Table 7).



(a)



(b)

Figure 18. Equivalent stress vs equivalent strain hysteresis loop (96.5Sn3.5Ag); (a) Model A, (b) Model B

Table 7. Solder material type and associated fatigue ductility coefficient

Material Type	Parameter ϵ'_f
63Sn37Pb	0.323608
96.5Sn3.5Ag	0.323643

To find life cycles values of solder balls, Eq. 2.4.1 with Eq. 2.4.3 and material property ϵ'_f are used. For example, life calculation of critical solder ball with material 63Sn37Pb was made as follows. And the calculation for the other material was done in the same way.

Starting with Eq.2.4.3 to find value c .

$$c = -0.442 - 6 \times 10^{-4}T_m + 1.74 \times 10^{-2} \ln(1 + f) \quad (2.4.3)$$

$$T_m = \frac{T_{max} + T_{min}}{2} \quad (3.1.1)$$

$$T_m = \frac{125 - 55}{2} = 35 \quad (3.1.2)$$

Where T_m is the average of the temperature profile extreme values and f is the frequency of the temperature profile, $f = 48 \left(\frac{cycles}{day}\right)$, thus $c = -0.395$.

And using equation $\Delta\gamma = \sqrt{3}\Delta\epsilon$ to find plastic shear strain range to use in Eq.2.4.2.

$$\Delta\gamma = \sqrt{3}\Delta\epsilon = \sqrt{3} * 1.01 * 10^{-3} = 1,75 * 10^{-3} \quad (3.1.3)$$

And finally substituting all the generated and found values into Eq.2.4.1.

$$N_f = \frac{1}{2} \left(\frac{\Delta\gamma}{2\epsilon'_f} \right)^{\frac{1}{c}} = \frac{1}{2} \left(\frac{1.75 * 10^{-3}}{2 * 0.323608} \right)^{-\frac{1}{0.395}} = 1.58 * 10^6 \text{ cycles} \quad (3.1.4)$$

The strain range and life values specified for both material types in [21] are given in Table 7. At the same time, the values obtained from calculations in the current model are also added to the table.

Table 8. Comparison of values between Model A and Model B

Simulation	Solder Material	Strain Range ($\Delta\varepsilon$)		Life (Cycles)		
		Model A	Model B	Model A	Model B	Difference (%)
1	63Sn37 Pb	9.11E-04	1.01E-03	2.06E+06	1.58E+06	23.3
2	96.5Sn3.5Ag	1.53E-03	1.69E-03	5.50E+05	4.32E+05	21.5

From the results, it seems that the lifetimes for different solder ball materials are not very different from each other. For example, in simulations using 96.5Sn3.5Ag material, the life difference between our calculation and the one in the paper is 21.5%. Potential reasons for these differences are listed below:

- Planar dimensions of FPC component are not given, they are guessed,
- Thickness values of the components are not given, they are guessed,
- Mesh model quality and solver settings may be different, solver settings of Model A are not known.

As a result of this validation study, FE modeling and simulation capabilities were thought to be confirmed and further studies could be confidently undertaken.

In addition, when the hysteresis loop is examined after the solution was made with the Model B, it is seen that those result curves are similar to the results in a study in the literature [18] (Fig.19). With this referenced study [18], it is accepted that the curves similar to the hysteresis loop in the [21] did not appear, but the strain range values were close. Those shape differences were possibly taking place due to the three reasons mentioned above.

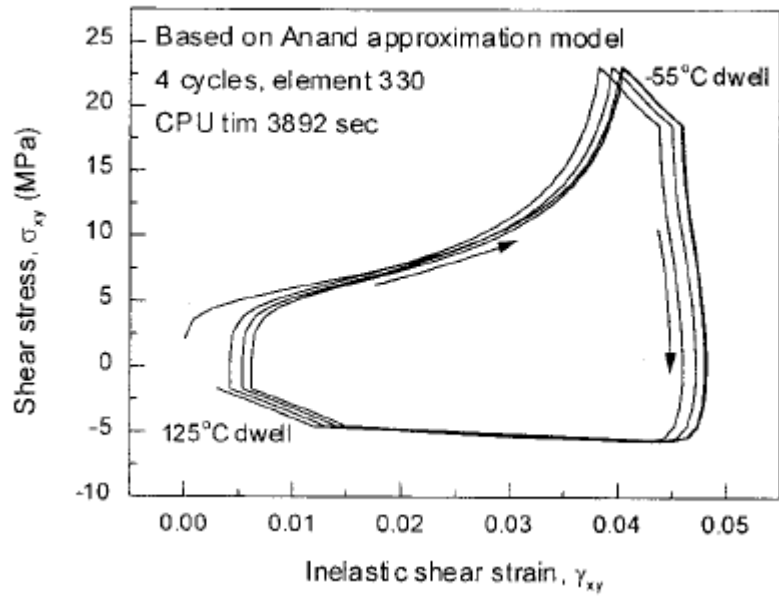


Figure 19. Stress vs strain hysteresis loop result using Anand viscoplastic model in [18].

CHAPTER 4

FINITE ELEMENT SIMULATION AND OPTIMIZATION WITH AV MATERIAL MODEL

After the completion of benchmark study and no major problems were observed in comparison between results of Model A and Model B, similar type of simulation and optimization study of the structure under consideration have been carried out by using AV material model.

The structure studied is an electronic package with 144 connection BGA (12x12). The CAD image of the related structure is shown in Fig. 20. Fig. 21a shows a cross-sectional view of the structure with the vertical plane passing through the center of one row of solder balls, Fig. 21b shows a close-up cross-sectional view of the solder ball and UBM components. The names of the components are indicated with arrows in Fig. 20 and Fig. 21b. The CAD of the structure was made in PTC Creo 9.0 program.

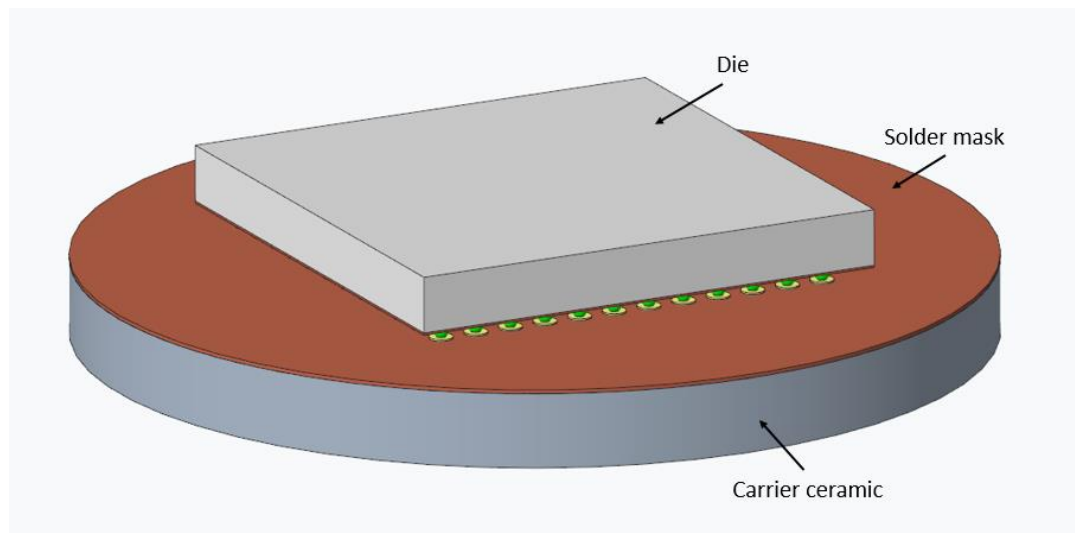
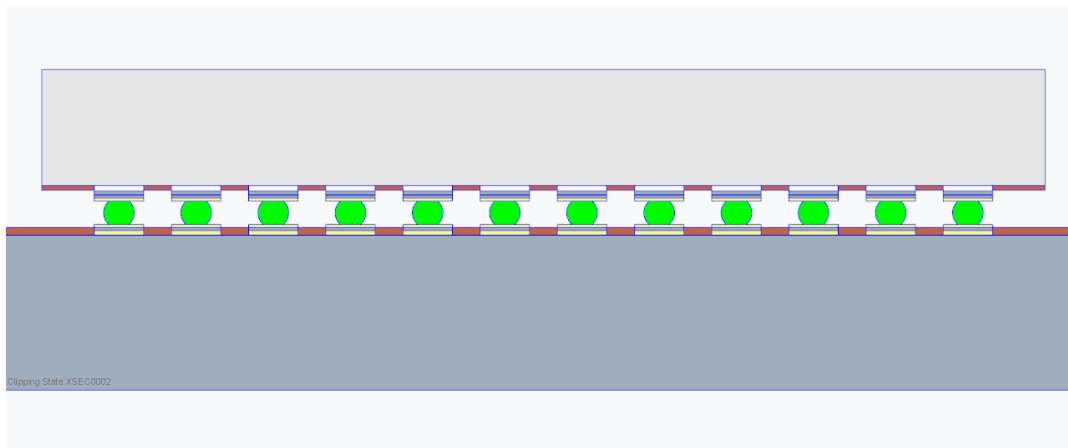
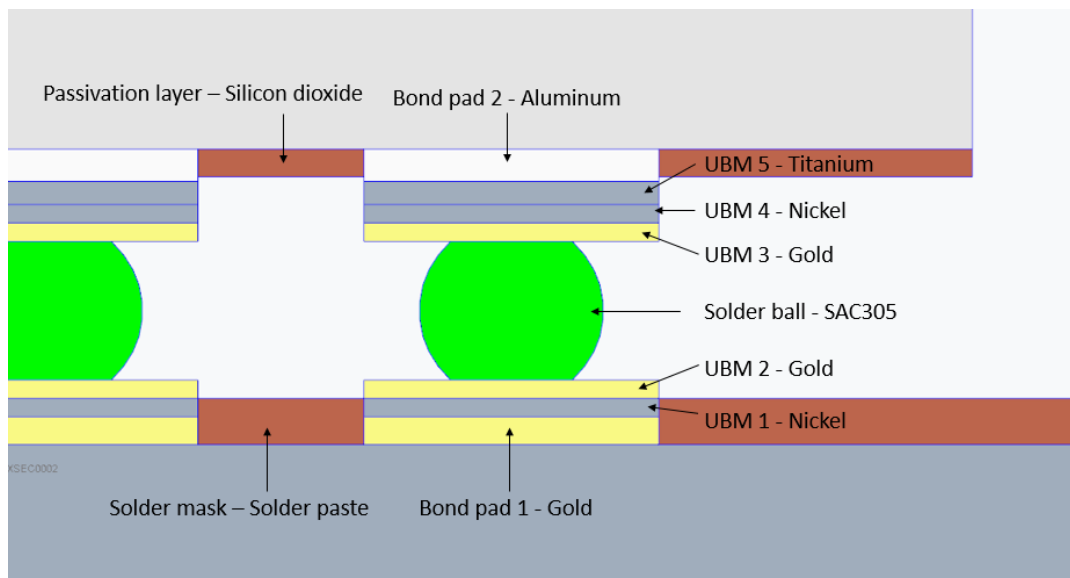


Figure 20. CAD image of electronic package with 144 connection BGA with names of components indicated.



(a)



(b)

Figure 21. (a) Cross-sectional view of structure under consideration, (b) close-up cross-sectional view of solder ball and UBM with names of components indicated

The name and associated material of the components that make up the structure are shown in Table 9.

Table 9. Names of the components in the interested structure and their associated materials

Component Name	Material
Carrier ceramic	Alumina (Al ₂ O ₃)
Bond pad 1	Gold
UBM 1	Nickel
UBM 2	Gold
Solder mask	Solder paste
Solder ball	SAC305
UBM 3	Gold
UBM 4	Nickel
UBM 5	Titanium
Bond pad 2	Aluminum
Passivation layer	Silicon dioxide
Die	Silicon

Firstly, AV material model was used for solder balls during the simulations. The other components were found to demonstrate linear elastic behavior by pre-analysis and only linear elastic material properties were defined for these components. The linear elastic and CTE properties of the materials are given in Table 10 and the AV material properties of SAC305 are given in Table 11.

Table 10. Elastic and thermophysical properties of used materials

Material	Elastic Modulus (GPa)	Poisson's Ratio	Coefficient of Thermal Expansion (ppm/°C)
Alumina [17]	310	0.27	5.5
Gold [38]	80	0.3	14
Nickel [39]	207	0.31	13.1
Solder paste [27]	3.1	0.3	16.3
SAC305 [12]	51	0.4	23.5

Table 10 (continued). Elastic and thermophysical properties of used materials

Material	Elastic Modulus (GPa)	Poisson's Ratio	Coefficient of Thermal Expansion (ppm/°C)
Titanium [39]	116	0.34	8.9
Aluminum [39]	68	0.36	24
Silicon dioxide [40]	70	0.16	6
Silicon [12]	110	0.24	2.6

Table 11. AV material model properties of SAC305 material

Anand Parameters	SAC305 [12]
s_0 (MPa)	45.9
Q/R (K)	7460
A (1/s)	5.86E06
ξ	2
m	0.0942
h_0 (MPa)	9350
\hat{s} (MPa)	58.3
n	0.015
a	1.5

Within the scope of this thesis, an optimization study was carried out according to the input parameters

- Solder ball diameter and height
- UBM thicknesses
- Bond pad thicknesses

and the design configuration with the highest lifetime was tried to be found. As it is known from the Eq. 2.4.1, lower strain range means higher lifetimes.

Dimension values of the components which are used in original electronic package are shown in Table 12 and 13. In Table 12, dimensions whose values will remain constant during optimization are shown. And in Table 13, dimensions whose values will be optimized are shown.

Table 12. Dimensions of the components whose values will remain constant during optimizations.

Component	Dimensions (mm)
Carrier ceramic	R6x1
Solder paste	R6x1
Solder ball pitch	0.5
Passivation layer	6.5x6.5x0.03
Die	6.5x6.5x0.03

Table 13. Original dimensions of the components whose values will be optimized

Dimension	Value (μm)
Bond pad 1 thickness	12.5
UBM 1 thickness	12.5
UBM 2 thickness	4
Solder ball radius	130
Solder ball height	140
UBM 3 thickness	4
UBM 4 thickness	12.5
UBM 5 thickness	10
Bond pad 2 thickness	17.5

A global-local FE model approach was applied in the optimization study. In the global model, the mesh quality metrics appears to be low due to the small sizes of the UBM parts and bond pads compared to the other components and their high diameter-to-thickness ratios. When it is desired to increase the mesh quality for these

parts, the total number of elements increases very much. This causes longer solution times and makes the optimization study inefficient. For this reason, it was decided that the optimization study could not be done with the global model, and it was decided to create a local model using the results from the global model.

4.1 Global Model Simulation

Before proceeding to the local model, the solution was obtained in the global model. The original structure was converted into a quarter model (Fig. 22) and then the mesh model was generated for numerical calculations (Fig. 23). Meshing is done with conformal mesh type for global and local models, thus contact application was not needed. The total number of elements becomes 329395. Table 14 shows the mesh element types used in the global model.

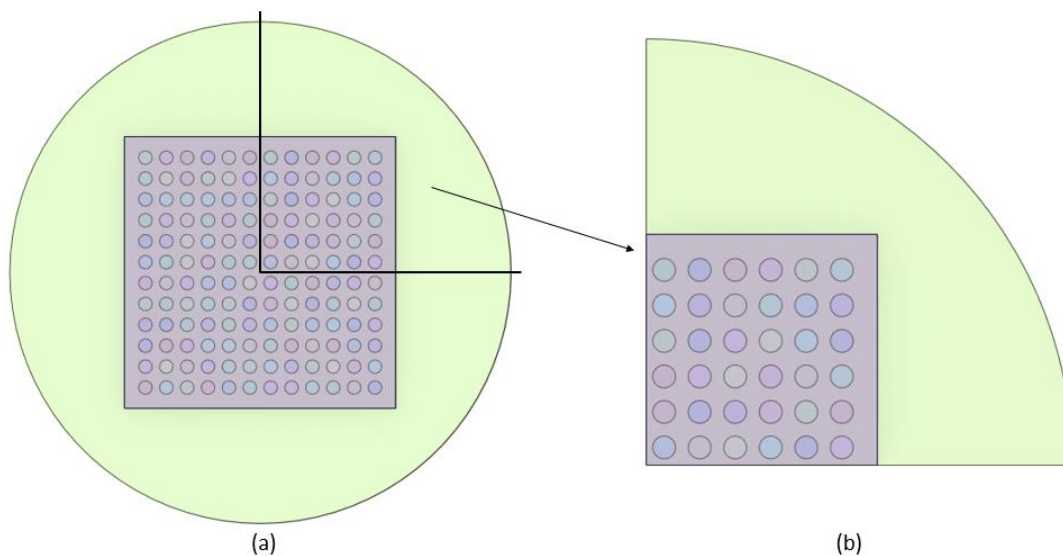


Figure 22. Converting original structure to quarter model to form global model (Die is transparent to show to solder balls), (a) original model (b) quarter model

Table 14. Element types used in the global model.

Element Type	Applied Components
SOLID186	UBM1-5, bond pad 1-2, solder ball, solder mask, passivation layer
SOLID187	Carrier ceramic, die

In simulation setup:

- MIL-STD-883 standard [41] was selected as the applied temperature profile (Fig. 11).
- Temperature profile are applied for all the bodies which means all the nodes in the global model has the same temperature value at the same time step.
- Symmetry surfaces are bounded with "frictionless support" boundary condition that allows them to move only in those surface planes (Fig. 24).
- In order to reduce the degree of freedom of the model in space, the lower intersection point of the symmetry surfaces is fixed with "fix support" boundary condition to fix that point in space (Fig. 24).
- The material properties of the components have been defined in accordance with the values in Tables 10 and 11.

Mesh
11.03.2024 22:08

Edge/Face Connectivity
Free
Single
Double
Triple
Multiple

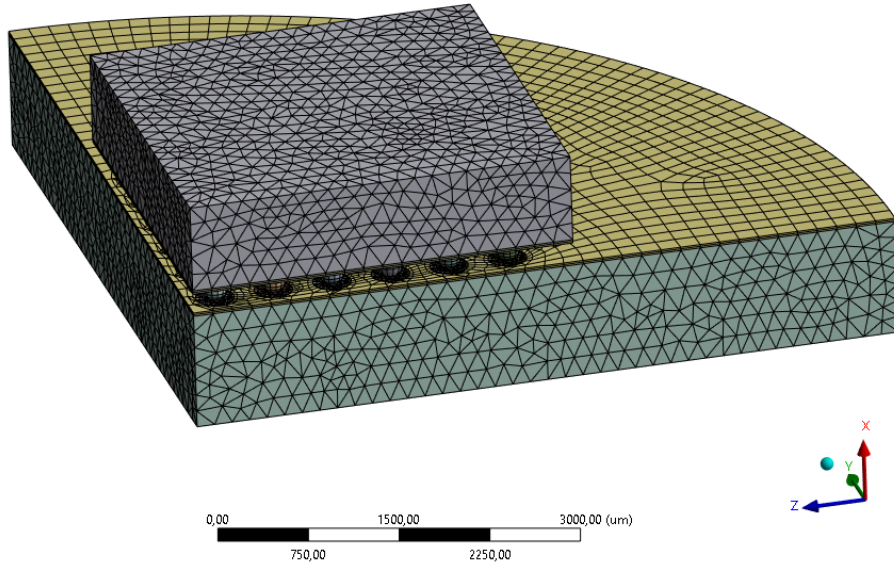


Figure 23. Mesh structure of the global model

C: Static Structural
Static Structural
Time: 1, s
12.03.2024 17:46

A Frictionless Support
B Fixed Support

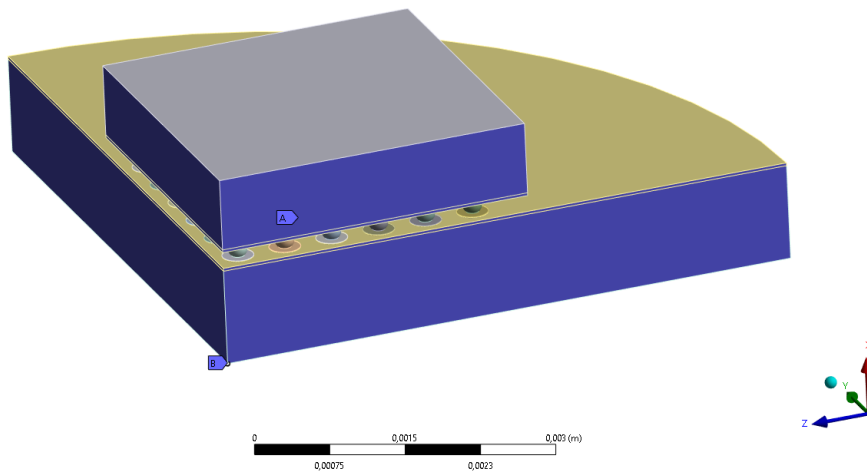


Figure 24. Boundary conditions of the global model

The global model was solved with the aforementioned setup. According to the result, the highest plastic strain values were found in the solder ball furthest from the center

(Fig. 25) through the temperature cycles. That is possibly because of the high displacement difference between upper and lower interfaces of the solder bump. Because at the location of the critical solder ball displacement difference between Die and Ceramic bodies are maximized due to different CTE's bodies have.

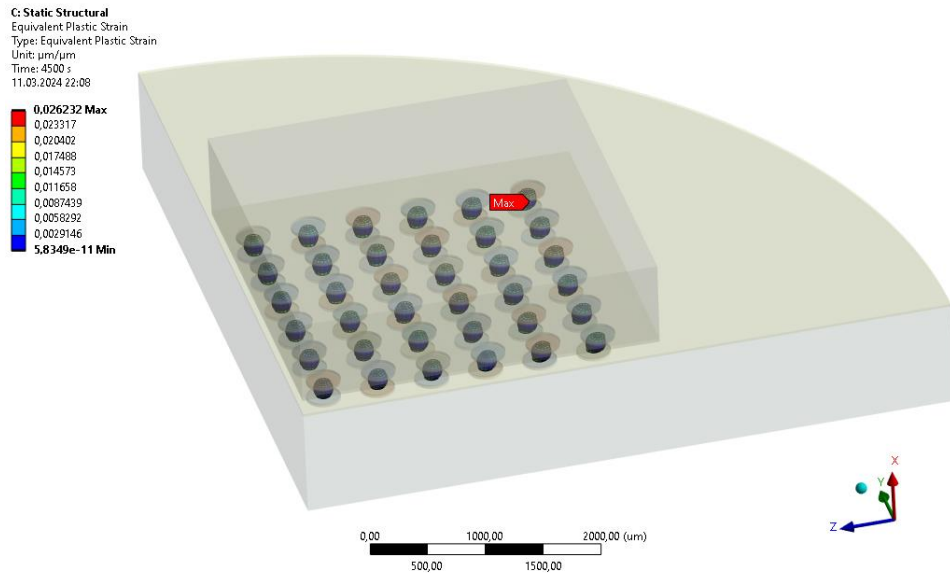


Figure 25. Critical solder ball in the global model during the temperature cycles

4.2 Local Model Preparation

After finding the most critical solder ball in the global model, a local model of this critical ball was created. When creating the local model, the cutting surfaces were chosen to be 0.25 mm away from the center of the critical solder ball [27]. This value is half of the pitch value of 0.5 mm. Fig. 26a and Fig. 26b shows the location of the cutting surfaces in the top view of global model and the generated local model of critical solder ball, respectively.

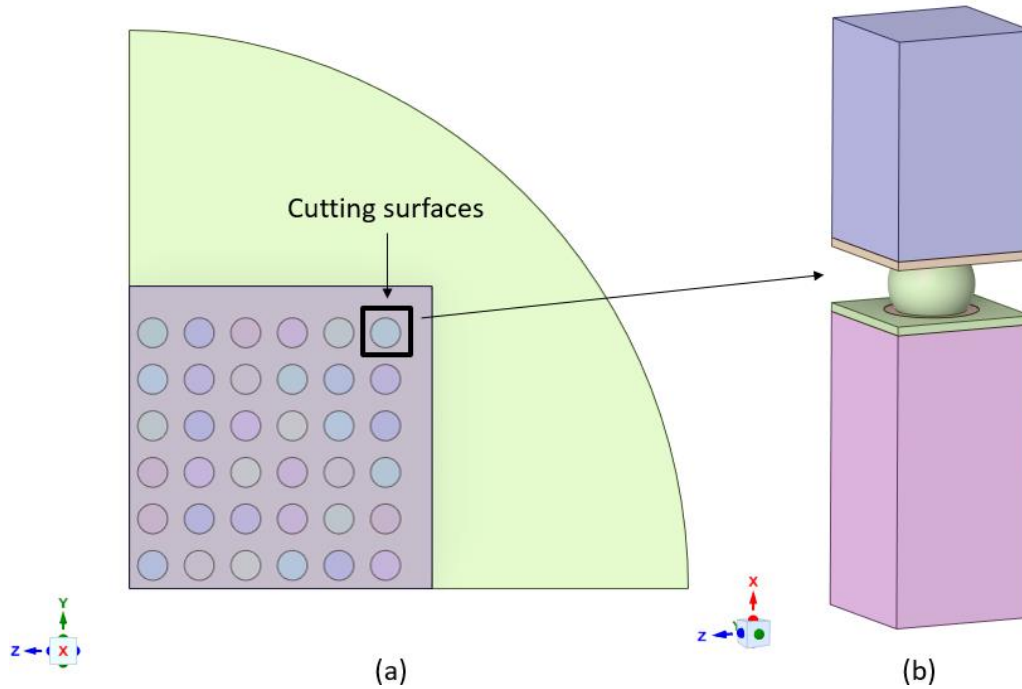
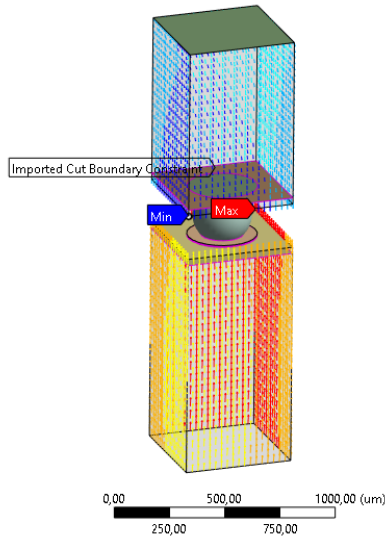
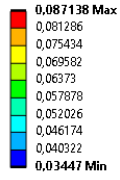


Figure 26. (a) Locations of cutting surfaces in the top view of global model (Die is transparent to show solder balls), (b) local model in isometric view

The displacement results in the global model are mapped to the cutting surfaces of the local model as boundary conditions. Therefore, no additional boundary condition is needed to be defined in the local model.

Also, the temperature load condition (Fig. 11) are defined to be consistent with the mapped displacement boundary conditions. For example, mapped displacement and temperature data at 5400 seconds of the local model are shown in Fig. 27a and Fig. 27b, respectively. In other words, for each second of the local model simulation, consistent boundary and load condition are applied which are originally applied in the global model.

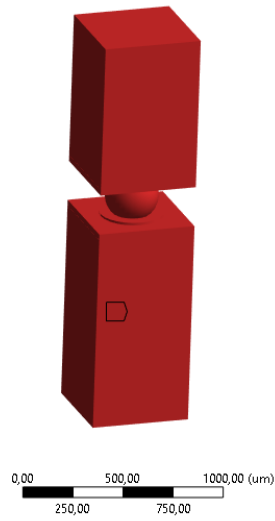
D: Static Structural
Imported Cut Boundary Constraint
Time: 5400, s
All
Unit: μm
14.03.2024 18:55



(a)

D: Static Structural
Imported Body Temperature
Time: 5400, s
14.03.2024 18:52

Imported Body Temperature: 125, °C



(b)

Figure 27. At 5400 seconds of the simulation, defined (a) displacement boundary condition and (b) temperature load condition

4.2.1 Mesh Dependency

Before proceeding to the optimization study, a mesh dependency study was performed in the local model. The maximum strain range value observed in the solder ball in the third cycle was taken as the control output [7,17,20,21].

In this study, it is aimed to avoid not only inaccurate results due to low element numbers but long computation times with unnecessarily high element numbers during optimization as well. Fig. 28 shows the variation of strain range value and solution time in seconds according to the total number of elements in the mesh.

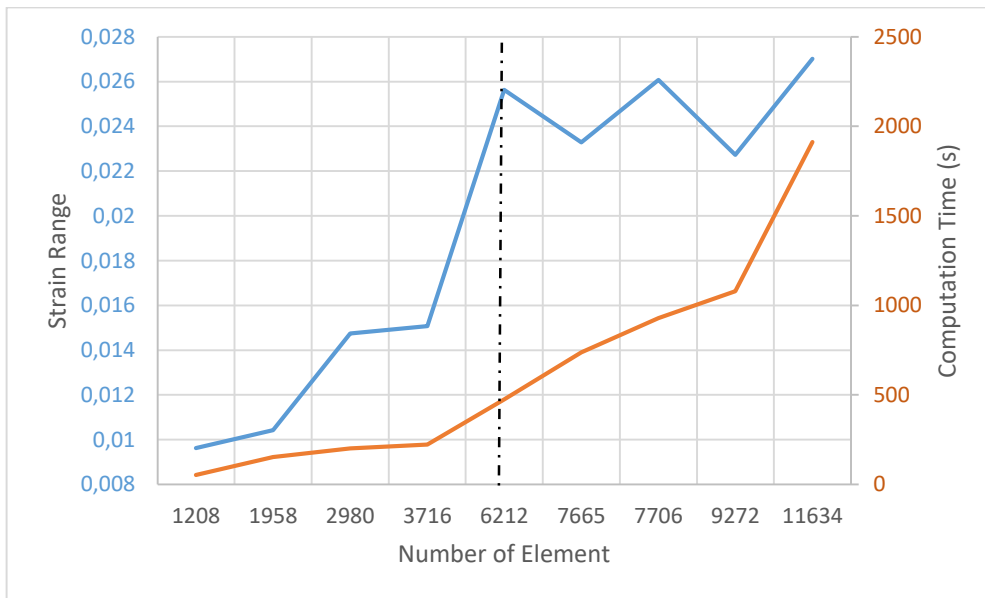
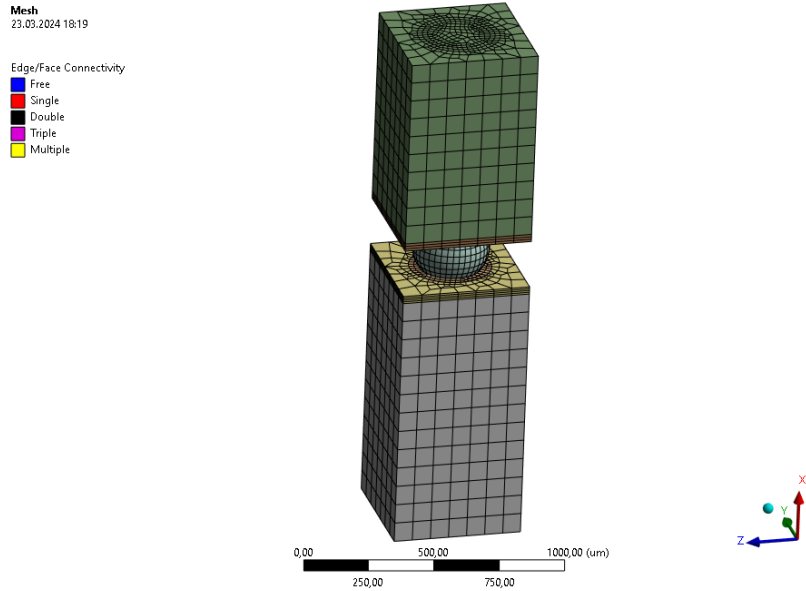


Figure 28. Variation of strain range and computation time values with respect to total number of elements of the local model mesh

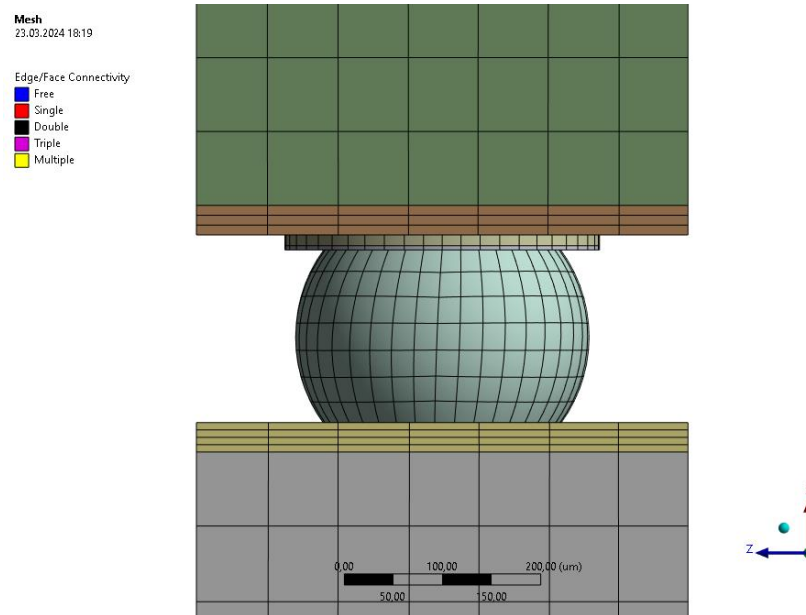
When the graphs are examined, the strain range value increases with the number of elements in the model, but there is no significant increase after a certain value. This value corresponds to approximately 6200 elements. On the other hand, the solution time increases significantly with the number of elements and there seems exponential relationship between them.

In the light of this information, the elements in the local model was chosen as 6212. Thus, the optimum point was found by considering the strain range value and

solution time. SOLID186 element type is used and in Fig. 29a and Fig. 29b mesh structure of the local model with 6212 elements can be seen.



(a)



(b)

Figure 29. Mesh structure of the local model, (a) in isometric view, (b) in close-up view

4.3 Design of Experiment

Design of Experiment with Response Surface approach was used in the optimization study. In Fig. 30, visual summary of response surface optimization sequence in ANSYS can be seen. Also, ANSYS WB project schematic of the applied study can be seen in Appendix A.

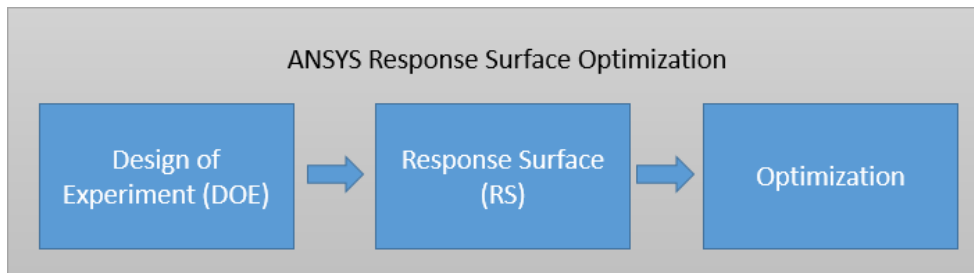


Figure 30. Workflow of response surface optimization study in ANSYS

DOE method is a tool used to define the relationship between design variables (input variable) and objectives (output variable) in processes or simulations where there is more than one design variable. With the DOE method, the design space is effectively scanned, and a statistical model can be established between design variables and objectives.

The DOE method is useful in situations where limited simulations or tests can be performed. The main idea is to minimize the number of points in the design space where solutions and results are obtained and generating a statistical model with low uncertainty. Then this model can be used to generate results at different design points.

The DOE method is embedded in the ANSYS Workbench program. Design points were generated using the ANSYS WB DOE tool. When generating design points, the value ranges of each design variable must be supplied. The definition and value ranges of the input parameters are listed in Table 15.

Table 15. Dimension ranges of parameters used in DOE/optimization study

Parameter Name	Definition	Range (μm)
P1	Bond pad 1 thickness	10-15
P2	UBM 1 thickness	10-15
P3	UBM 2 thickness	3-5
P4	Solder ball radius	110-150
P5	Solder ball height	100-180
P6	UBM 3 thickness	3-5
P7	UBM 4 thickness	10-15
P8	UBM 5 thickness	5-15
P9	Bond pad 2 thickness	10-25

Another setting to be determined is how the design points will be distributed in the design space. For this, the Optimal Space Filling option was selected with 147 design points. OSF with central composite design creates 160 design points as a raw data however 13 points are the same design points in the design space. In real, physical experiments these points are necessary to account for experimental errors and uncertainties. In simulations however, since corresponding simulations would give the same result these points are not necessary. Thus these 13 points eliminated and rest is processed. The generated and solved design points can be seen in Appendix B. Also, as a design type ANSYS use Face-centered option and with that Alfa value becomes 1.

The OSF option enables the design points in the design space to be placed equally distant from each other. For example, Fig. 31 shows the distribution of design points in a design space with two parameters (P1 & P2). In this way, the design space is scanned in general and the probability of not capturing global maximum and minimum objective values is reduced. Another advantage of this method is that the same parameter value only occurs in one design point. Two different design points do not share the same parameter value.

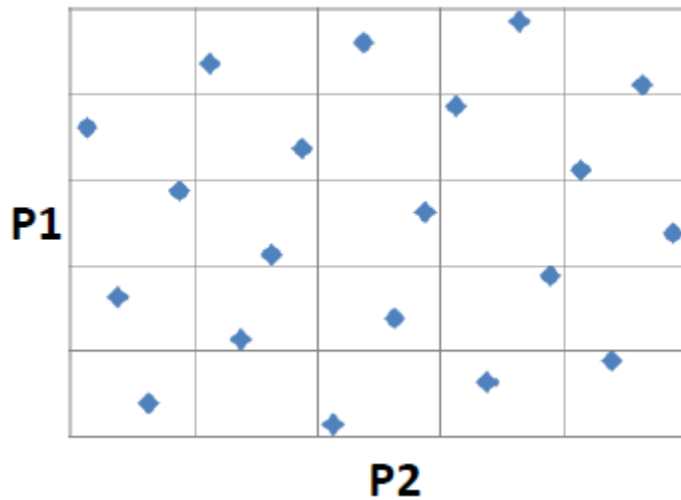


Figure 31. Two parameter design point distribution in design space with OSF method. Points are placed equally distant from each other [42]

4.4 Response Surface

After the results of design points were found by DOE, an RS was generated using these points. RS can basically be considered as a tool that covers the design space and construct a mathematical continuous model between design variables and objectives.

ANSYS Workbench includes a built-in RS generating tool. Non-parametric regression option was selected as the RS type to be created. This method creates a band with an error value of $\pm\varepsilon$ that contains the design points and generates the RS in the center of this band. Fig. 32 shows an example of the RS (redline) generated by the NPR method.

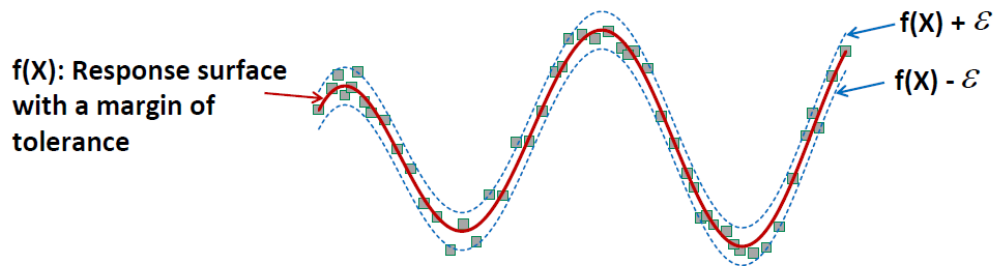


Figure 32. Generic figure to show how RS is generated with using NPR method [43]

The generated RS does not need to pass through each design point. For this reason, the generated RS should be checked for statistical accuracy. The goodness of fit values of the generated RS are shown in Fig. 33.

[-] Coefficient of Determination (Best Value = 1)	
Learning Points	★★★ 0,998
[-] Root Mean Square Error (Best Value = 0)	
Learning Points	5,2323E-05
[-] Relative Maximum Absolute Error (Best Value = 0%)	
Learning Points	★ 4,6966
[-] Relative Average Absolute Error (Best Value = 0%)	
Learning Points	★ 4,3588

Figure 33. Goodness of fit values of generated RS

When the metrics are analyzed, the following conclusions are obtained:

- Coefficient of determination value is close to 1 (Best value = 1).
- Root mean square error value is close to 0 (Best value = 0).
- Relative maximum absolute error value is close to 0 (Best value = 0).
- Relative average absolute error value is close to 0 (Best value = 0).

In addition, the real result values of the design points (see Appendix A) were compared with the result values obtained from RS using the same input parameters. Fig 34 shows the scatter plot generated by the comparison. The black solid curve with a slope value of 1 shows the ideal situation where the real solution value and the value found from RS are equal at each point. There seems distribution of green points is close to the ideal line.

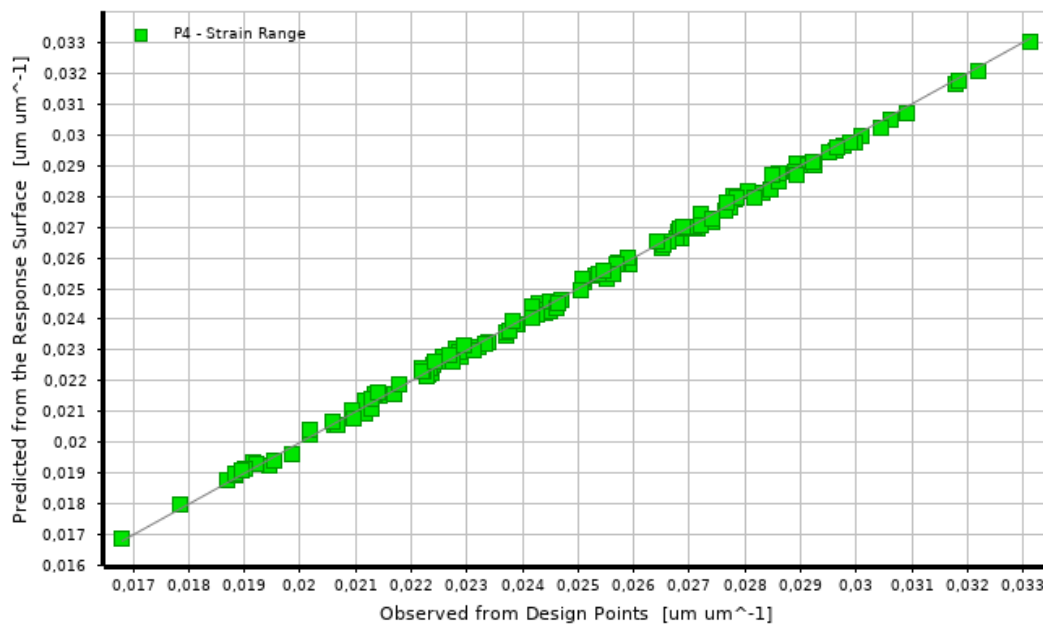


Figure 34. Distribution of the design points in the graph whose x axis shows the real solution values for the plastic strain range and y axis shows the values found from RS.

Another result that can be drawn is how much the change in each parameter affects the strain range output. Fig. 35 shows the sensitivity ratios of the parameters in the form of a pie chart. When the chart is analyzed, it is seen that the strain range output is most sensitive to solder ball height (P5).

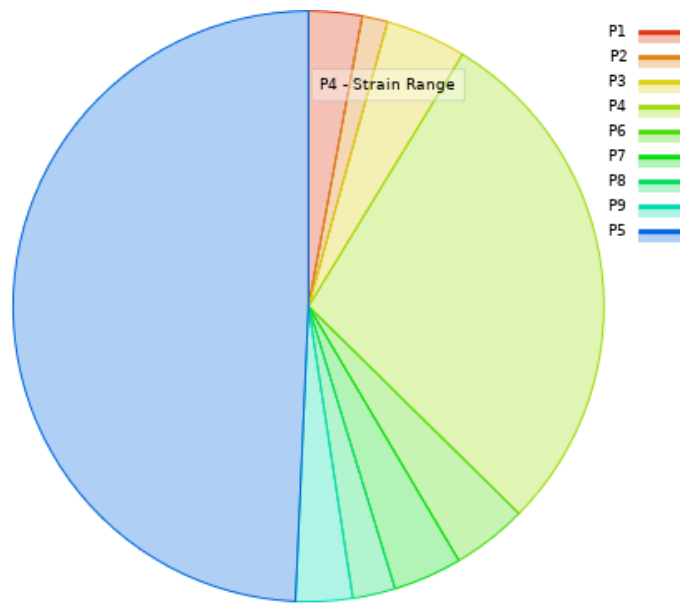


Figure 35. Pie chart that shows the sensitivity of the input parameters to strain range

After the statistical evaluation and having confidence in the generated RS the optimization part was started.

4.5 Optimization

The optimization tool is embedded in ANSYS WB. Multi-Objective Genetic Algorithm (MOGA) is chosen as the algorithm type. This method goes through many iterations trying to find the best result. In each iteration, it finds the best results and uses these results with the best ones from the previous iteration to determine where to go in the next iteration. In this way, it tries to achieve the best result repeatedly. This algorithm avoids focusing on local minimum and maximum, thus increases the chances of finding global minimum and maximum [44].

Also, to ensure the convenient manufacturability of the best configuration to be found, certain interval sizes are defined for parameter values in optimization. In Table 16 interval sizes can be seen for parameters. In other words, parameter values change with these defined values between optimization calculations.

Table 16. Specified interval sizes for parameter values changes accordingly between optimization calculations

Parameter Name	Interval Size (μm)
P1-3, P6-9	0.01
P4-5	0.1

After 20 iterations and 28413 evaluations, the geometric configuration with the lowest strain range value was obtained as a result of optimization. In Fig. 36, the relevant configuration appears as “Candidate Point 1”. According to the calculation, the resulting strain range value is 0.01516. In order to check the accuracy of the result, the analysis was run again at the relevant parameter values and the strain range value was found 0.016387. The difference between them is 7.7%. This difference was considered acceptable, and it was decided that the best configuration, considering the lowest strain range, was found.

Optimization Study					
Minimize P4	Goal, Minimize P4 (Default importance)				
Optimization Method					
MOGA	The MOGA method (Multi-Objective Genetic Algorithm) is a variant of the popular NSGA-II (Non-dominated Sorted Genetic Algorithm-II) based on controlled elitism concepts. It supports multiple objectives and constraints and aims at finding the global optimum.				
Configuration	Generate 9000 samples initially, 1800 samples per iteration and find 3 candidates in a maximum of 20 iterations				
Status	Converged after 28413 evaluations.				
Candidate Points					
	Candidate Point 1	Candidate Point 1 (verified) DP 143	Candidate Point 2	Candidate Point 3	
P5 - t_1 (um)		12,08	11,98	12,03	
P6 - t_2 (um)		12,62	12,61	12,53	
P7 - t_3 (um)		4,3	4,27	4,38	
P8 - radius (um)		149,4	149,7	149,3	
P10 - t_4 (um)		4,26	4,29	4,34	
P11 - t_5 (um)		12,7	12,77	12,83	
P12 - t_6 (um)		10,36	10,32	10,48	
P13 - t_7 (um)		17,94	17,93	17,88	
P14 - height (um)		176,7	179,3	177,7	
P4 - Strain Range (um um ⁻¹)	== 0,015216	== 0,016387	== 0,015232	== 0,015235	

Figure 36. ANSYS optimization result showing the best geometric configuration with name “Candidate Point 1”

The values of the parameters in the best configuration are shown in Table 17.

Table 17. Parameter values of the best configuration

Parameter Name	Definition	Value (μm)
P1	Bond pad 1 thickness	12.08
P2	UBM 1 thickness	12.62
P3	UBM 2 thickness	4.3
P4	Solder ball radius	149.4
P5	Solder ball height	4.26
P6	UBM 3 thickness	12.7
P7	UBM 4 thickness	10.36
P8	UBM 5 thickness	17.94
P9	Bond pad 2 thickness	176.7

Fig. 37 shows the change of strain range values during iterations.

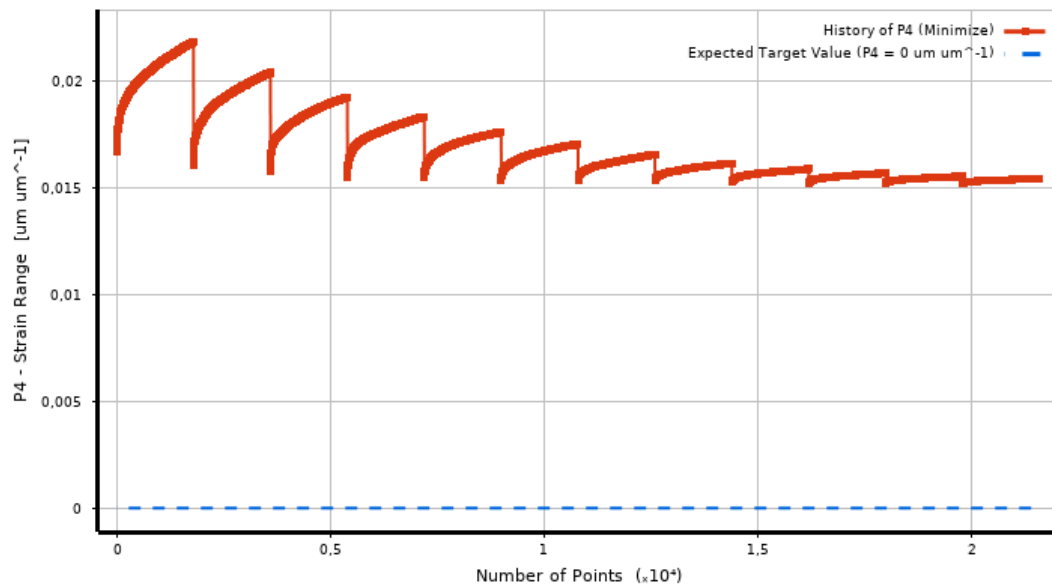
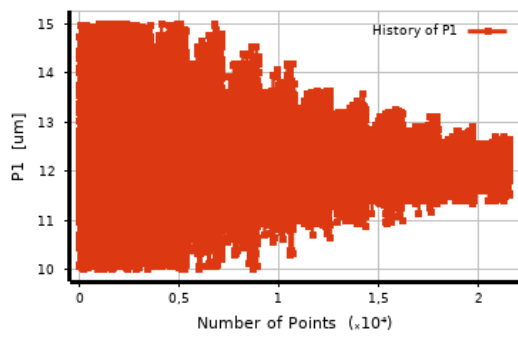
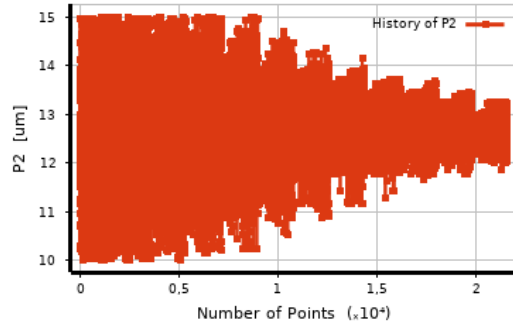


Figure 37. Change of strain range value during the optimization iterations

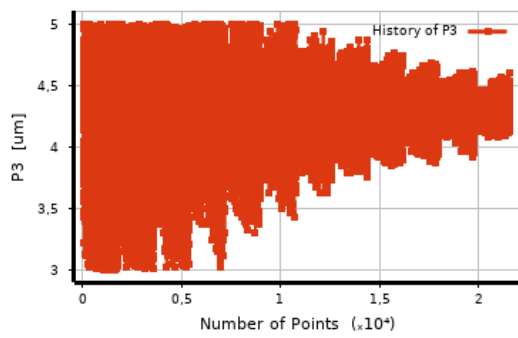
In addition, the change of each parameter value over the iterations is shown in Fig. 38a-i.



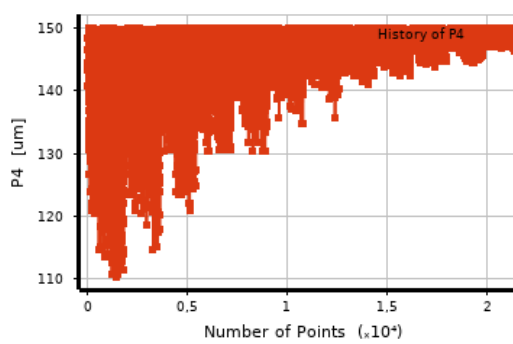
(a)



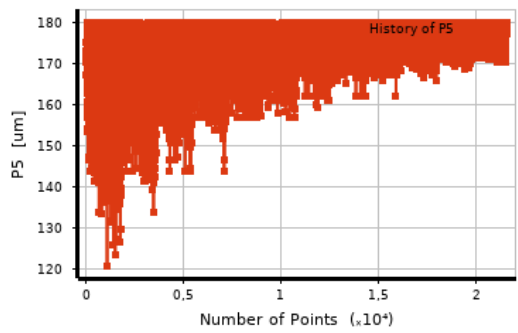
(b)



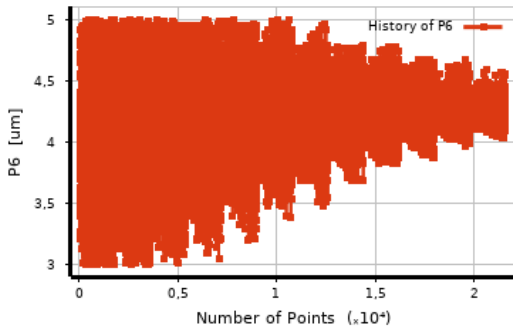
(c)



(d)

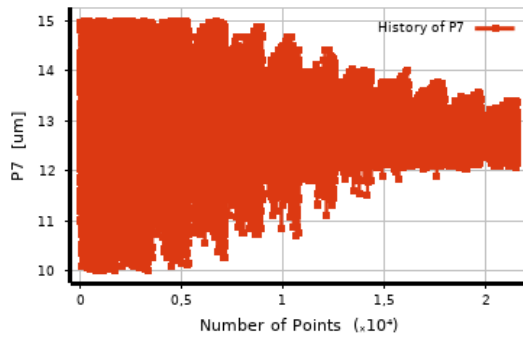


(e)

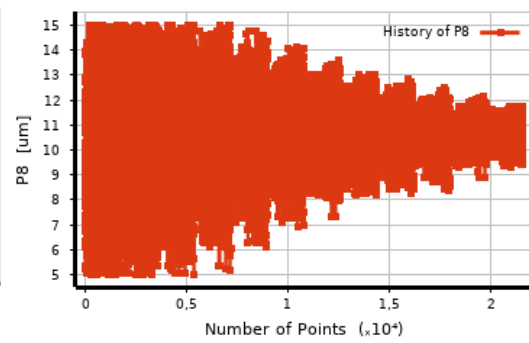


(f)

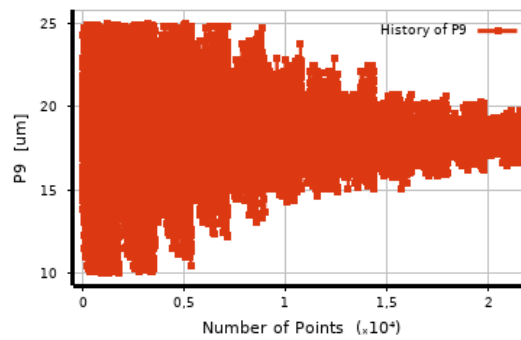
Figure 38. Change of each parameter value over the optimization iterations; (a) P1, (b) P2, (c) P3, (d) P4, (e) P5, (f) P6, (g) P7, (h) P8, (i) P9



(g)



(h)



(i)

Figure 38 (continued). Change of each parameter value over the optimization iterations; (a) P1, (b) P2, (c) P3, (d) P4, (e) P5, (f) P6, (g) P7, (h) P8, (i) P9

4.6 LifeTime Result of Solder Ball in the best Geometric Configuration

According to the results obtained with parameters in Table 17, it was seen that the most critical point on the solder ball is the outermost diameter of the surface where the ball comes into contact with UBM 3 (Gold) (Fig. 39).

D: Static Structural
 Equivalent Plastic Strain
 Type: Equivalent Plastic Strain
 Unit: $\mu\text{m}/\mu\text{m}$
 Time: 5400 s
 23.03.2024 18:02

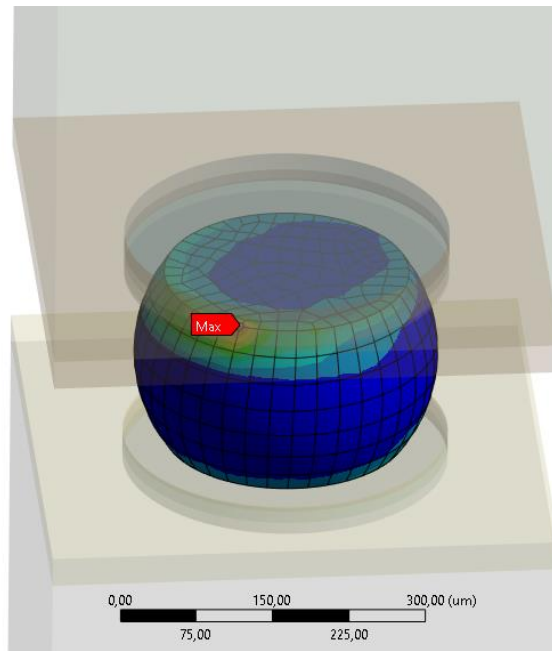
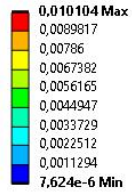


Figure 39. Most critical point appears in the upper contact surface and at the outermost diameter

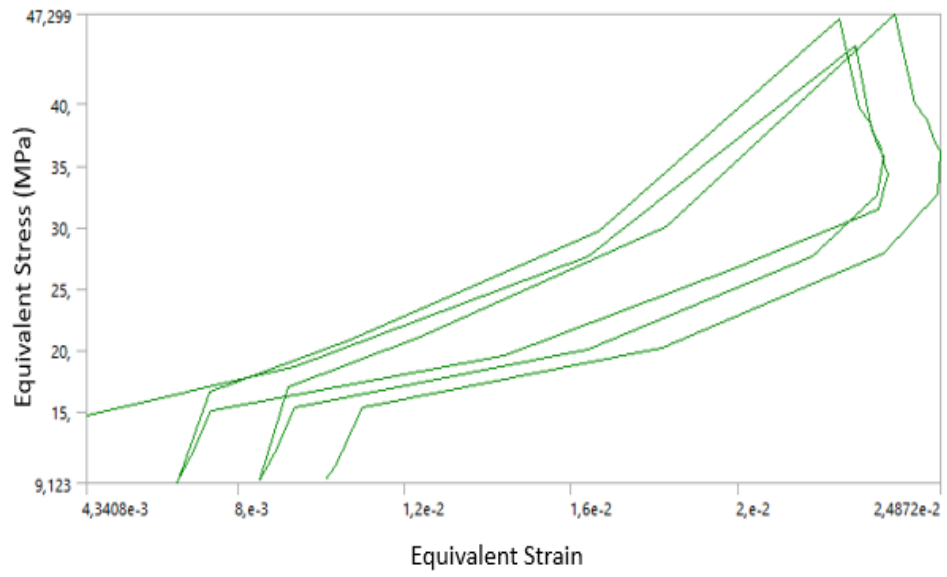
Fig. 39a shows the equivalent stress vs equivalent strain curve of critical point for 3 cycles in ANSYS WB environment. Fig. 40b shows the values in the third cycle plotted in isolation and the strain range value is shown.

This strain range value was then used for lifetime prediction. ECM was used as the lifetime prediction model (Eq. 2.4.1)

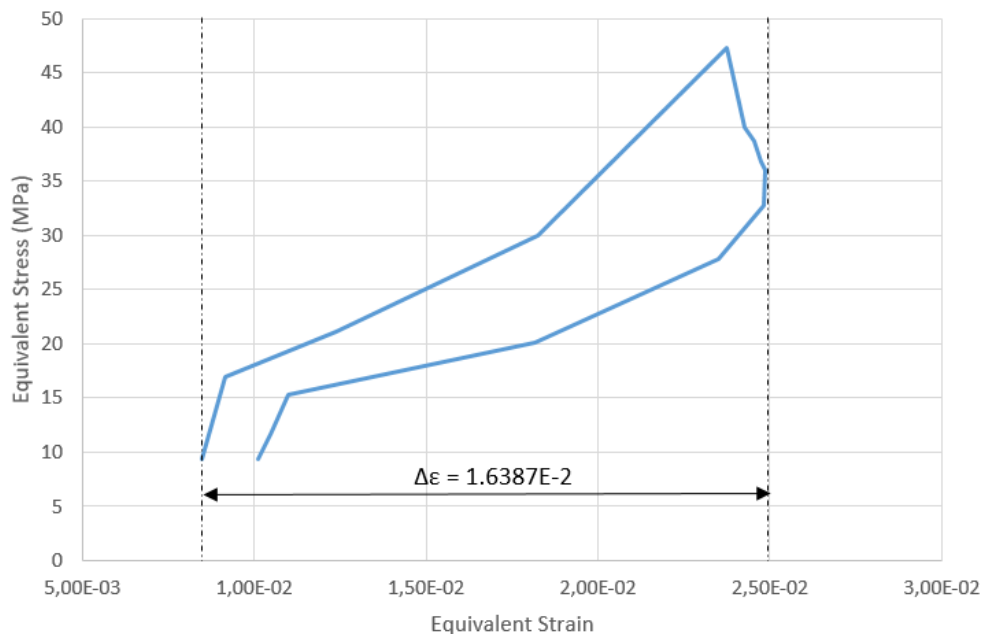
$$N_f = \frac{1}{2} \left(\frac{\Delta\gamma}{2\epsilon_f'} \right)^{\frac{1}{c}} \quad (2.4.1)$$

Equivalent strain range value was converted to equivalent shear strain range using Eq. 2.4.2.

$$\Delta\gamma = \sqrt{3}\Delta\epsilon = \sqrt{3} * 1.6387 * 10^{-2} = 2.8383 * 10^{-2} \quad (4.6.1)$$



(a)



(b)

Figure 40. Equivalent stress vs equivalent strain curves; (a) generated in ANSYS WB to show behavior of the 3 cycles, (b) third cycle isolated to show equivalent strain range

And with Eq. 2.4.3 value c can be found.

$$c = -0.442 - 6 \times 10^{-4}T_m + 1.74 \times 10^{-2} \ln(1 + f) \quad (2.4.3)$$

$$T_m = \frac{T_{max} + T_{min}}{2} \quad (4.6.2)$$

$$T_m = \frac{125 - 55}{2} = 35 \quad (4.6.3)$$

Where T_m is the average of the temperature profile extreme values and f is the frequency of the temperature profile, $f = 48 \left(\frac{cycles}{day}\right)$, thus $c = -0.395$.

And finally substituting all the generated and found ($2\epsilon'_f = 0.48$ [12]) values into Eq. 2.4.1.

$$N_f = \frac{1}{2} \left(\frac{\Delta\gamma}{2\epsilon'_f} \right)^{\frac{1}{c}} = \frac{1}{2} \left(\frac{2.8383 \times 10^{-2}}{0.48} \right)^{\frac{1}{-0.395}} \cong 643 \text{ cycles} \quad (4.6.4)$$

As a result, it was concluded that in the best geometrical configuration, the solder ball has a lifetime of 643 cycles.

CHAPTER 5

FINITE ELEMENT SIMULATION AND OPTIMIZATION WITH EP MATERIAL MODEL

In Chapter 4, AV material model was defined for SAC305 material and optimization study was performed. In this chapter of the thesis, the EP material model for SAC305 material is defined and the optimization study is repeated.

The material test data of the SAC305 solder ball was obtained from the literature [45]. Fig. 41 shows the true stress-strain curve of SAC305 and other solder material types in compressive test.

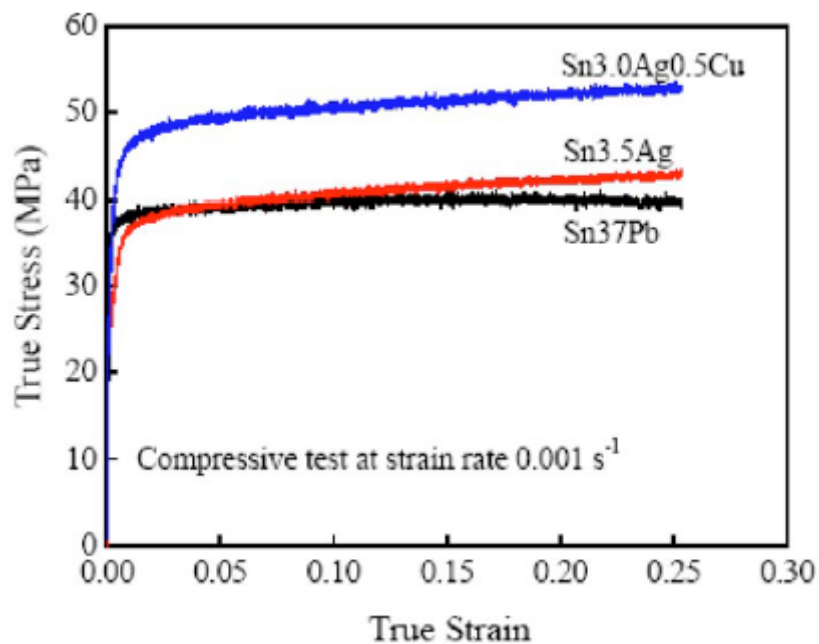


Figure 41. Curves of SAC305 and other solder material types in compressive test [45]

The EP material model is embedded in ANSYS WB. It is sufficient to provide parameter values for the relevant material. Multilinear kinematic hardening was

selected as hardening type [28]. Table 18 shows the multilinear kinematic hardening values specified for SAC305 material. The values in Table 10 were used for elastic linear properties of SAC305.

Table 18. Multilinear kinematic hardening material model properties of SAC305

Plastic Strain	Stress (MPa)
0	41.2
8.32E-03	45.1
1.64E-02	47.6
2.91E-02	49.4
4.44E-02	50.4
5.83E-02	51.0
7.28E-02	51.1

In order to compare the results of the study with both material models with maintaining consistency, no changes were made in the settings of loading, global-local approach, mesh, parameter and optimization. The procedure followed in this chapter are listed and explained below.

1. The same material properties were used for all other materials except SAC305 (Table 10).
2. Temperature loading profile is kept the same (Fig. 11).
3. The same mesh models are used in the global and local model (Fig. 23,29, Table 14).
4. The analysis of the global model shows that the most critical solder ball is the outermost one (Fig. 43). For this reason, the cutting surfaces and data transfers in the global local transitions were made to be the same as in the previous chapter.
5. Same WB project schematic is used (Appendix C)

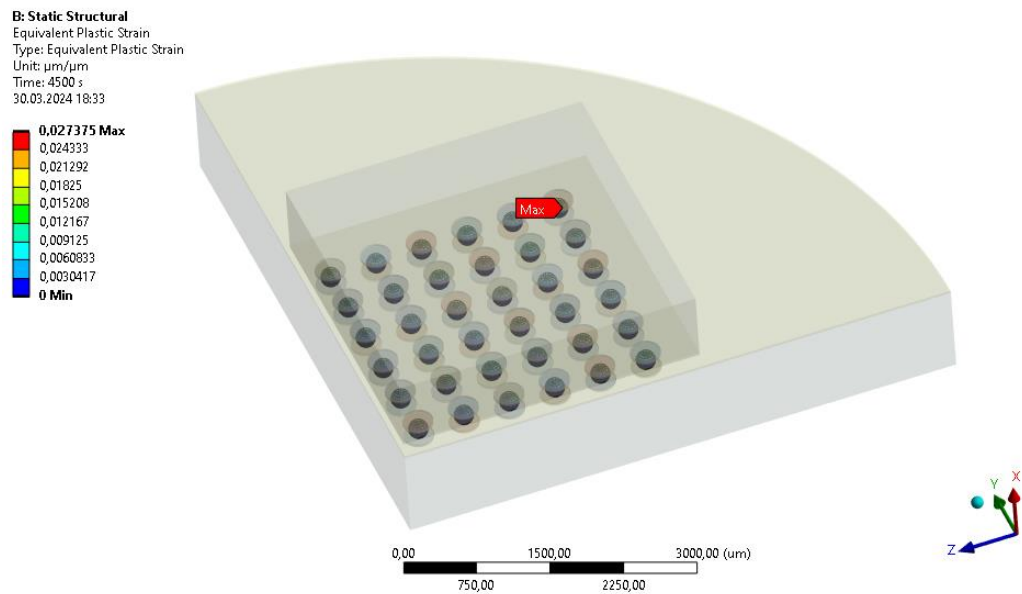


Figure 42. Critical solder joint in the simulation of the global model

5.1 Design of Experiment

After finding the most critical solder ball in the global model and creating a local model accordingly, a DOE study was performed. In the DOE study, the settings were kept the same as in Chapter 4.

- The value ranges of 9 different input parameters are given in Table 15.
- 147 design points were created with OSF method (Appendix C).

5.2 Response Surface

The design point results obtained with DOE were used to generate the RS. The NPR method was used, and the accuracy of the generated RS was checked with statistical metrics (Fig. 43, 44).

[-] Coefficient of Determination (Best Value = 1)	
Learning Points	☆☆☆ 0,99809
[-] Root Mean Square Error (Best Value = 0)	
Learning Points	0,00011951
[-] Relative Maximum Absolute Error (Best Value = 0%)	
Learning Points	☆ 4,617
[-] Relative Average Absolute Error (Best Value = 0%)	
Learning Points	☆ 4,2973

Figure 43. Goodness of fit values of generated RS

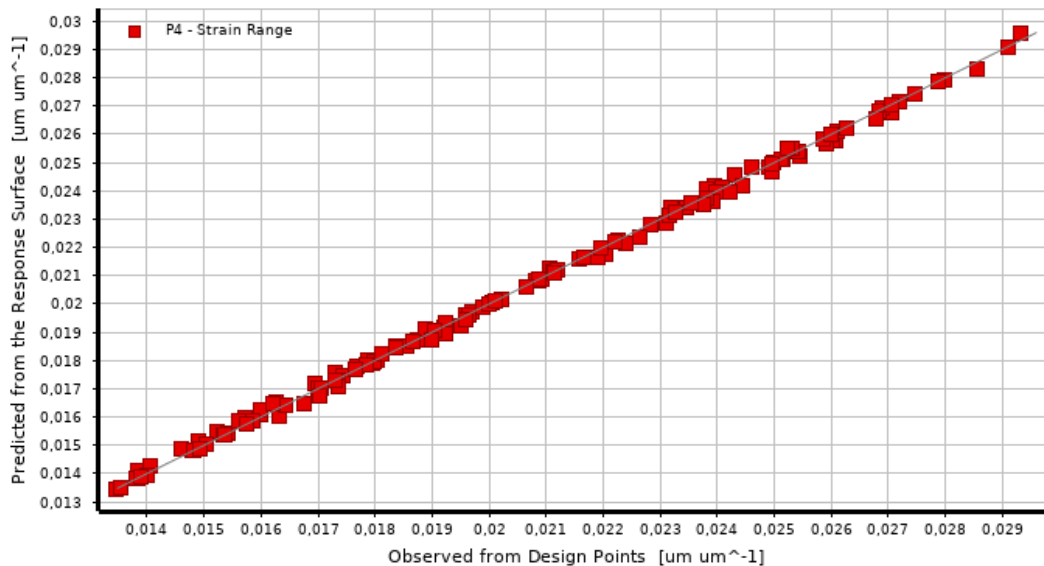


Figure 44. Distribution of the design points in the graph whose x axis shows the real solution values for the plastic strain range and y axis shows the values found from RS.

Another result that can be drawn is how much the change in each parameter affects the strain range output. Fig. 45 shows the sensitivity ratios of the parameters in the form of a pie chart. When the chart is analyzed, it is seen that the strain range output is most sensitive to solder ball height (P5).

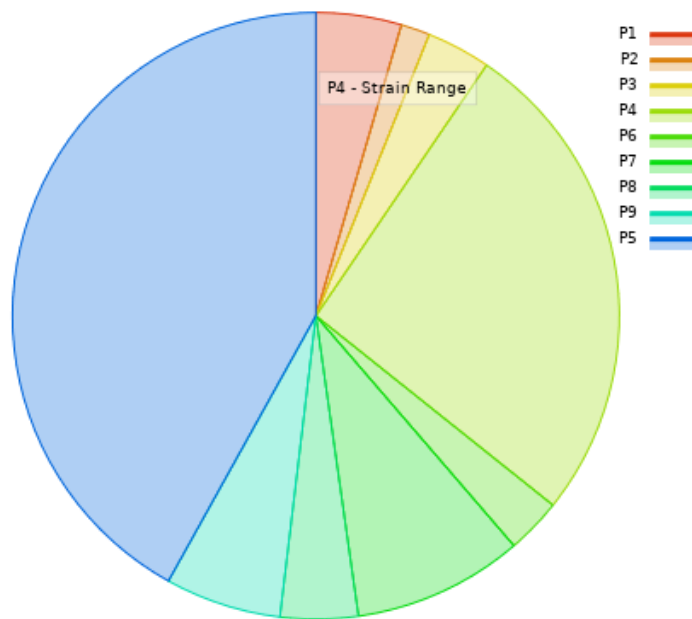


Figure 45. Pie chart that shows the sensitivity of the input parameters to strain range

After the statistical evaluation, confidence in the generated RS was ensured and the optimization part was started.

5.3 Optimization

After the RS was created, an optimization study was performed and the geometric configuration with the lowest strain range value was tried to be found. MOGA was selected as the optimization method. The values in Table 16 were selected as the interval sizes of the parameters in the optimization.

Fig. 46 shows the optimization result "Candidate Point 1". As a result of the calculation with RS, the strain range value was found to be 0.012316. The model was run again with the same parameter values and the actual value appeared as 0.01339. The difference between them is 8.7%. This difference was considered acceptable, and it was decided that the best configuration, considering the lowest strain range, was found.

Optimization Study				
Minimize P4	Goal, Minimize P4 (Default importance)			
Optimization Method				
MOGA	The MOGA method (Multi-Objective Genetic Algorithm) is a variant of the popular NSGA-II (Non-dominated Sorted Genetic Algorithm-II) based on controlled elitism concepts. It supports multiple objectives and constraints and aims at finding the global optimum.			
Configuration	Generate 9000 samples initially, 1800 samples per iteration and find 3 candidates in a maximum of 20 iterations.			
Status	Converged after 37230 evaluations.			
Candidate Points				
	Candidate Point 1	Candidate Point 1 (verified) DP 149	Candidate Point 2	Candidate Point 3
P5 - t_1 (um)		11,42	11,41	11,38
P6 - t_2 (um)		11,02	10,97	10,72
P7 - t_3 (um)		3,88	3,86	3,85
P8 - radius (um)		150	149,9	149,5
P10 - t_4 (um)		4,37	4,21	4,31
P11 - t_5 (um)		11,68	11,89	11,19
P12 - t_6 (um)		12,69	12,44	13,45
P13 - t_7 (um)		23,01	22,81	21,78
P14 - height (um)		160,5	159,1	164,4
P4 - Strain Range (um um^-1)	★ 0,012316	★ 0,01339	★ 0,012338	★ 0,012354

Figure 46 ANSYS optimization result showing the best geometric configuration with name “Candidate Point 1”

Table 19 shows the parameter values in the best configuration found.

Table 19. Parameter values of the best configuration

Parameter Name	Definition	Value (µm)
P1	Bond pad 1 thickness	11.42
P2	UBM 1 thickness	11.02
P3	UBM 2 thickness	3.88
P4	Solder ball radius	150
P5	Solder ball height	4.37
P6	UBM 3 thickness	11.68
P7	UBM 4 thickness	12.69
P8	UBM 5 thickness	23.01
P9	Bond pad 2 thickness	160.5

Fig. 47 shows the change of strain range values during iterations.

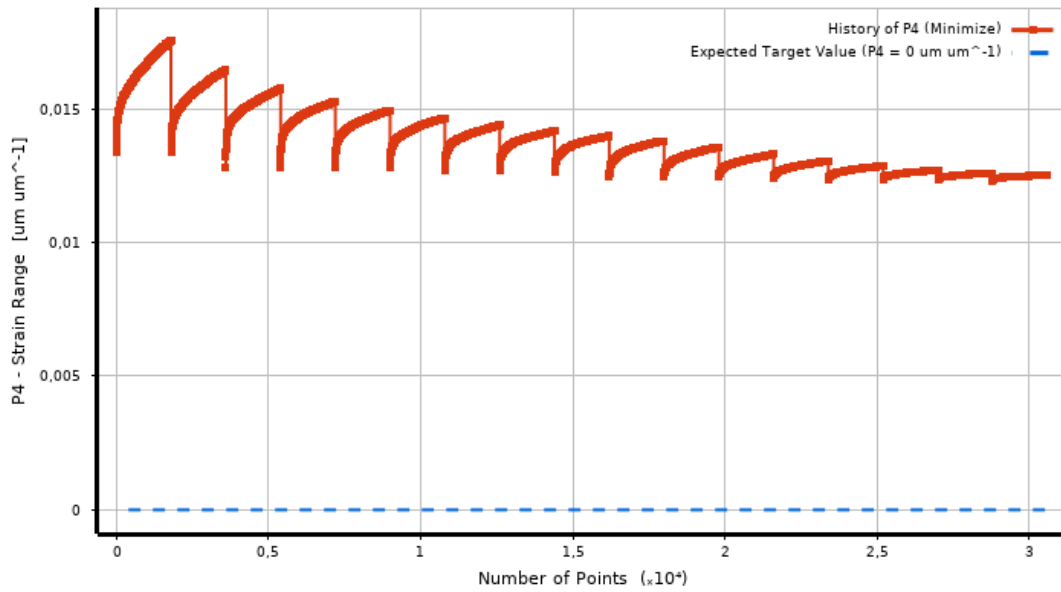
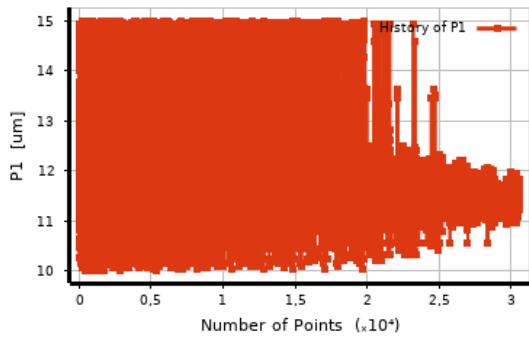
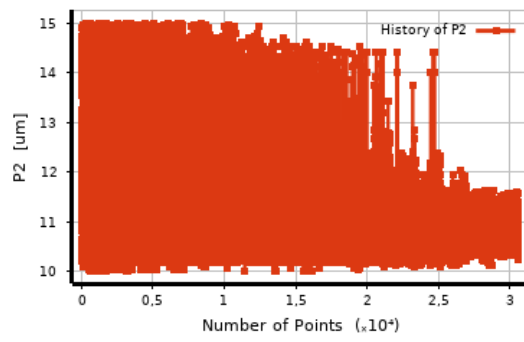


Figure 47. Change of strain range value during the optimization iterations

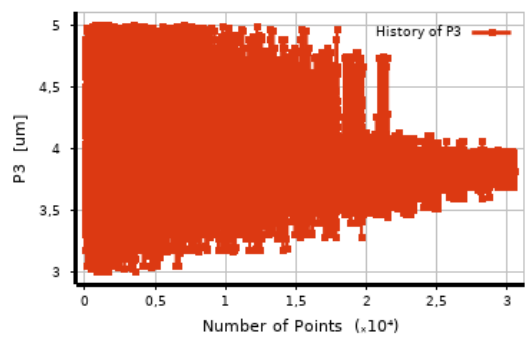
In addition, the variation of each parameter value over the iterations is shown in Fig. 47a-i.



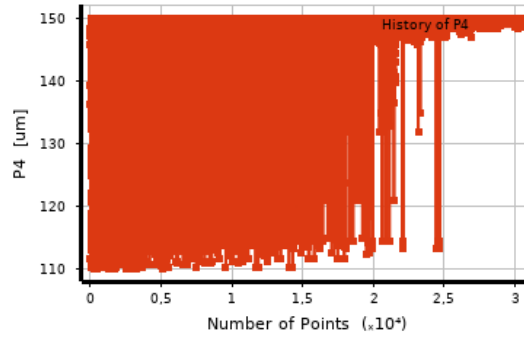
(a)



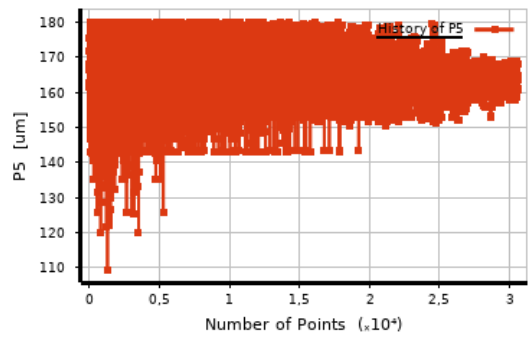
(b)



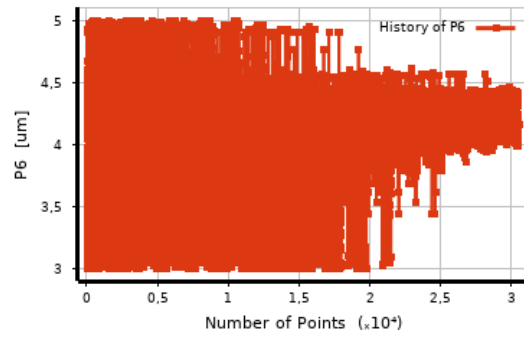
(c)



(d)

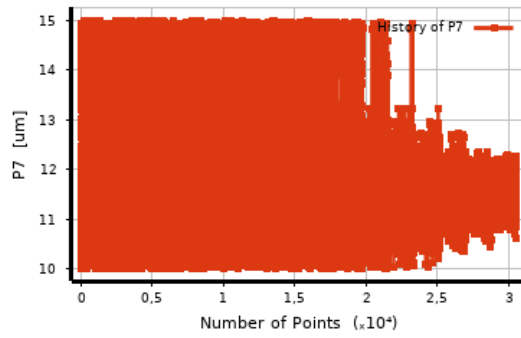


(e)

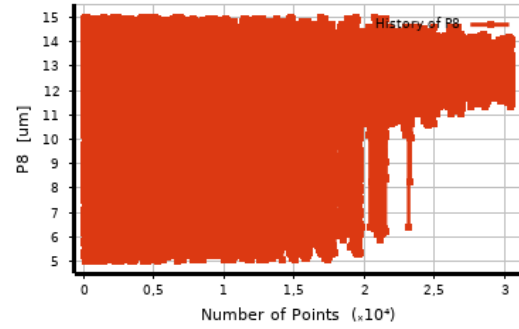


(f)

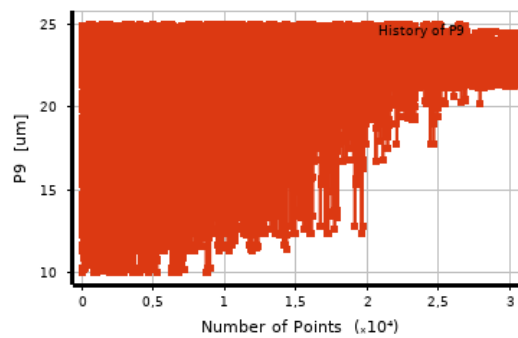
Figure 48. Change of each parameter value over the optimization iterations; (a) P1, (b) P2, (c) P3, (d) P4, (e) P5, (f) P6, (g) P7, (h) P8, (i) P9



(g)



(h)



(i)

Figure 48 (continued). Change of each parameter value over the optimization iterations; (a) P1, (b) P2, (c) P3, (d) P4, (e) P5, (f) P6, (g) P7, (h) P8, (i) P9

5.4 Lifetime Result of Solder Ball the best Geometric Configuration

According to the results obtained with parameters in Table 19, it was seen that the most critical point on the solder ball is the outermost diameter of the surface where the ball contacts UBM 3 (Gold) (Fig. 49).

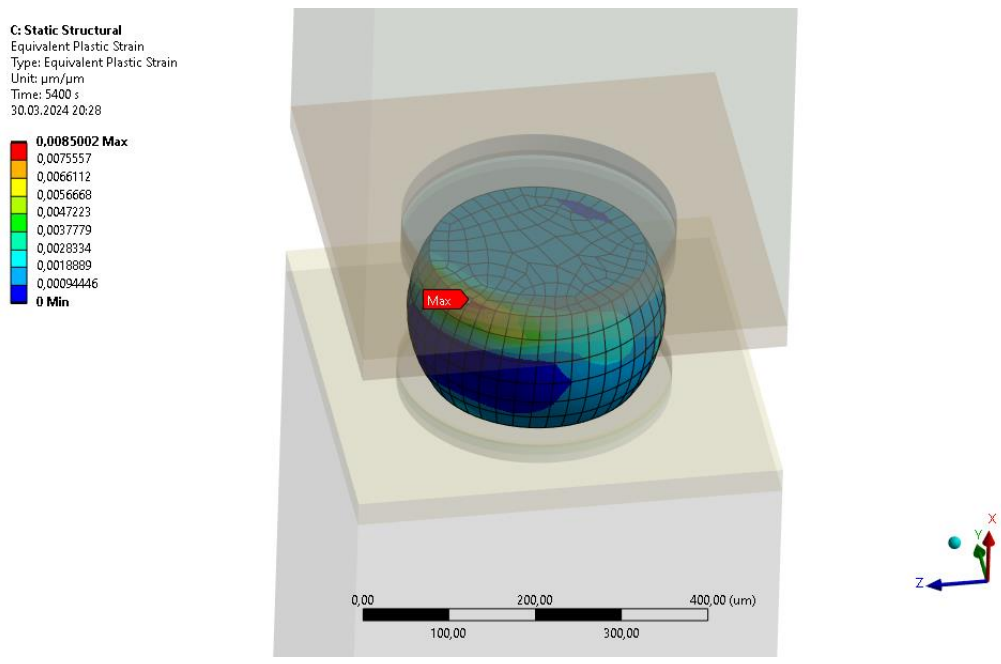


Figure 49. Most critical point appears in the upper contact surface and at the outermost diameter

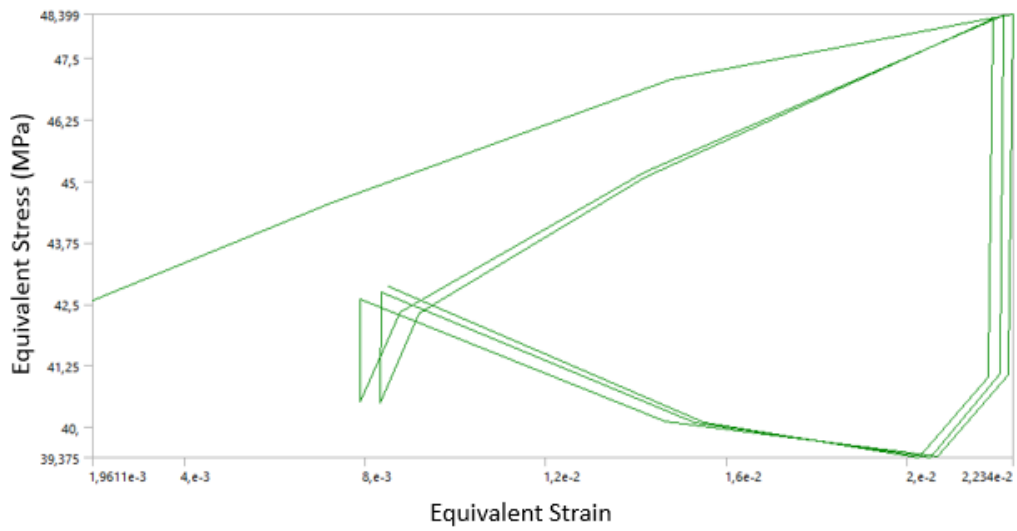
Fig. 50a shows the equivalent stress vs equivalent strain curve of critical point for 3 cycles in ANSYS WB environment. Fig. 50b shows the values in the third cycle plotted in isolation and the strain range value is shown.

This strain range value was then used for lifetime prediction. ECM was used as the lifetime prediction model (Eq. 2.4.1)

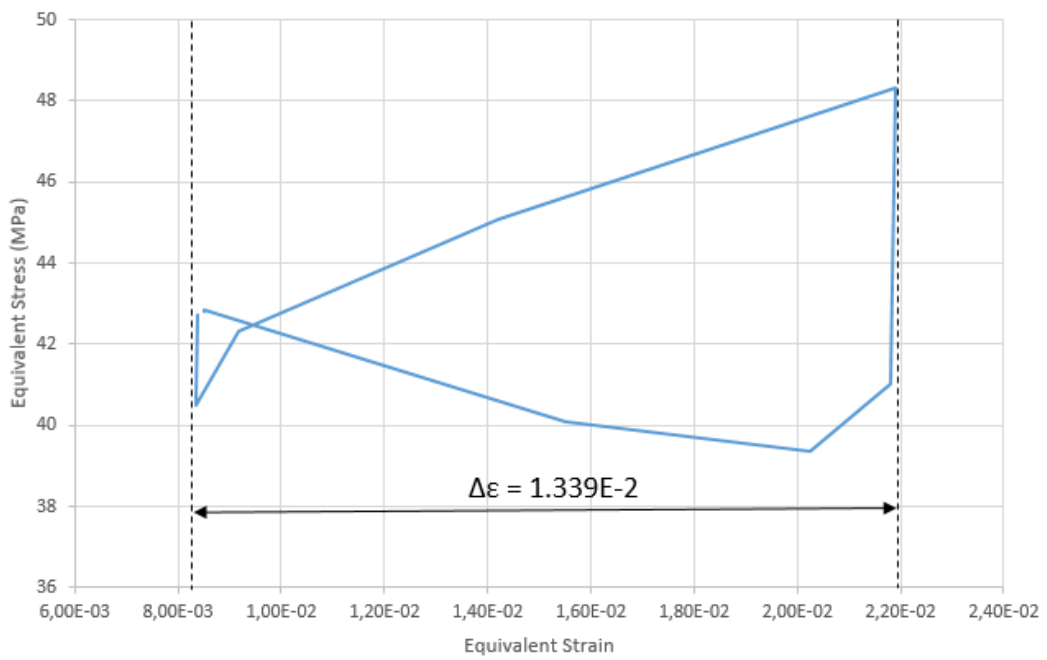
$$N_f = \frac{1}{2} \left(\frac{\Delta\gamma}{2\epsilon_f} \right)^{\frac{1}{c}} \tag{2.4.1}$$

Equivalent strain range value was converted to equivalent shear strain range using Eq. 2.4.2.

$$\Delta\gamma = \sqrt{3}\Delta\epsilon = \sqrt{3} * 1.339 * 10^{-2} = 2.3192 * 10^{-2} \tag{5.1}$$



(a)



(b)

Figure 50. Equivalent stress vs equivalent strain curves; (a) generated in ANSYS WB to show behavior of the 3 cycles, (b) third cycle isolated to show equivalent strain range

And with Eq. 2.4.3 value c can be found.

$$c = -0.442 - 6 \times 10^{-4}T_m + 1.74 \times 10^{-2} \ln(1 + f) \quad (2.4.3)$$

$$T_m = \frac{T_{max} + T_{min}}{2} \quad (4.6.2)$$

$$T_m = \frac{125 - 55}{2} = 35 \quad (4.6.3)$$

Where T_m is the average of the temperature profile extreme values and f is the frequency of the temperature profile, $f = 48 \left(\frac{cycles}{day}\right)$, thus $c = -0.395$.

And finally substituting all the generated and found ($2\epsilon'_f = 0.48$ [12]) values into Eq. 2.4.1.

$$N_f = \frac{1}{2} \left(\frac{\Delta\gamma}{2\epsilon'_f} \right)^{\frac{1}{c}} = \frac{1}{2} \left(\frac{2.3192 \times 10^{-2}}{0.48} \right)^{\frac{1}{-0.395}} \cong 1072 \text{ cycles} \quad (4.6.4)$$

As a result, it was concluded that in the best geometrical configuration, the solder ball has a lifetime of 1072 cycles according to EP material model.

CHAPTER 6

CONCLUSION

After optimization studies using AV and EP material models for SAC305 material, the geometric configurations with the highest lifetime were found. Table 20 shows the values of the parameters in the best result found with both material models.

Table 20. Comparison of optimized parameter values and life cycle obtained using two different material models

Parameter Name	Definition	AV Model	EP Model	Percent Difference with Respect to AV Model (%)
		Value (μm)	Value (μm)	
P1	Bond pad 1 thickness	12.08	11.42	-5.46
P2	UBM 1 thickness	12.62	11.02	-12.67
P3	UBM 2 thickness	4.3	3.88	-9.76
P4	Solder ball radius	149.4	150	0.4
P5	Solder ball height	4.26	4.37	2.58
P6	UBM 3 thickness	12.7	11.68	-8.03
P7	UBM 4 thickness	10.36	12.69	22.49
P8	UBM 5 thickness	17.94	23.01	28.26
P9	Bond pad 2 thickness	176.7	160.5	-9.16
Life cycle		643	1072	66.71

According to the simulation and optimization results in the same condition, it is concluded that the AV model leads to higher strain range values and therefore calculates lower life values. There is approximately 66.71% difference between the

lifetime results of the best geometric configurations found using both material models. According to these results, it is seen that the AV model is more conservative.

In addition, from Table 20 it can be concluded that values of parameters P4 and P5 are close to each other for both material models. And for the other parameters, it shows that values are not close to each other and there are percentage differences.

6.1 Lifetime Improvements of the Optimized Configurations

After the optimization results were obtained, it was desired to find out how much improvement in lifetime was achieved compared to the original structure geometry for both material models separately. Table 13 shows the values of the parameters in the original structure.

6.1.1 AV Material Model

In this section, the original structure given in Table 13 is simulated with the AV model and the life of the most critical solder ball in the original structure is calculated. Fig. 51 shows that the most critical region is again at the largest diameter of the surface in contact with UBM 3.

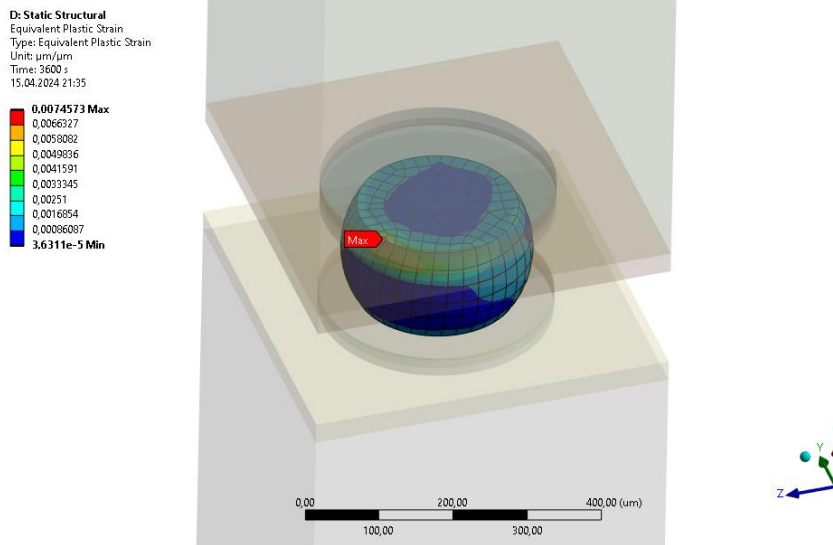
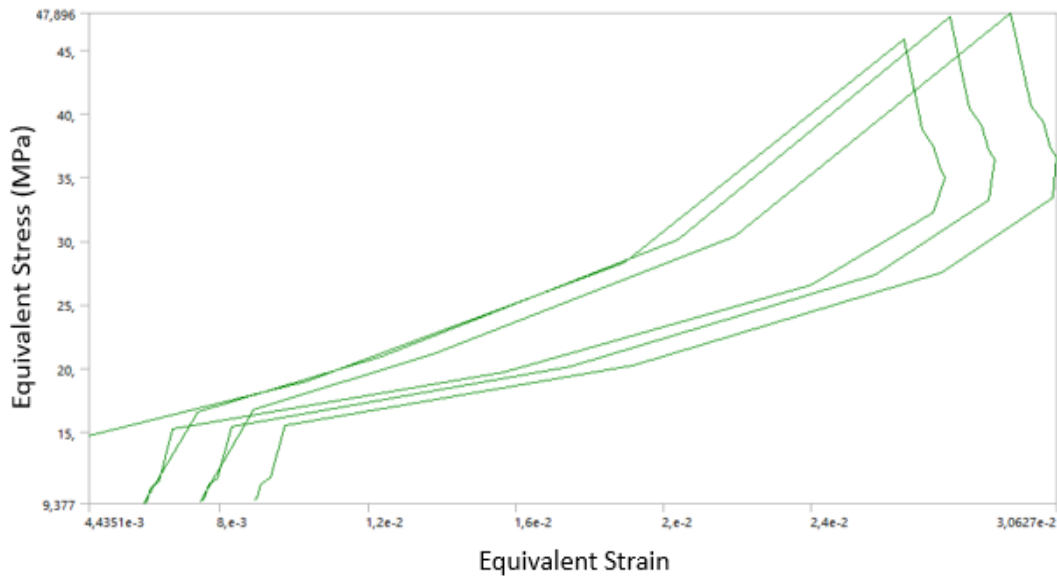
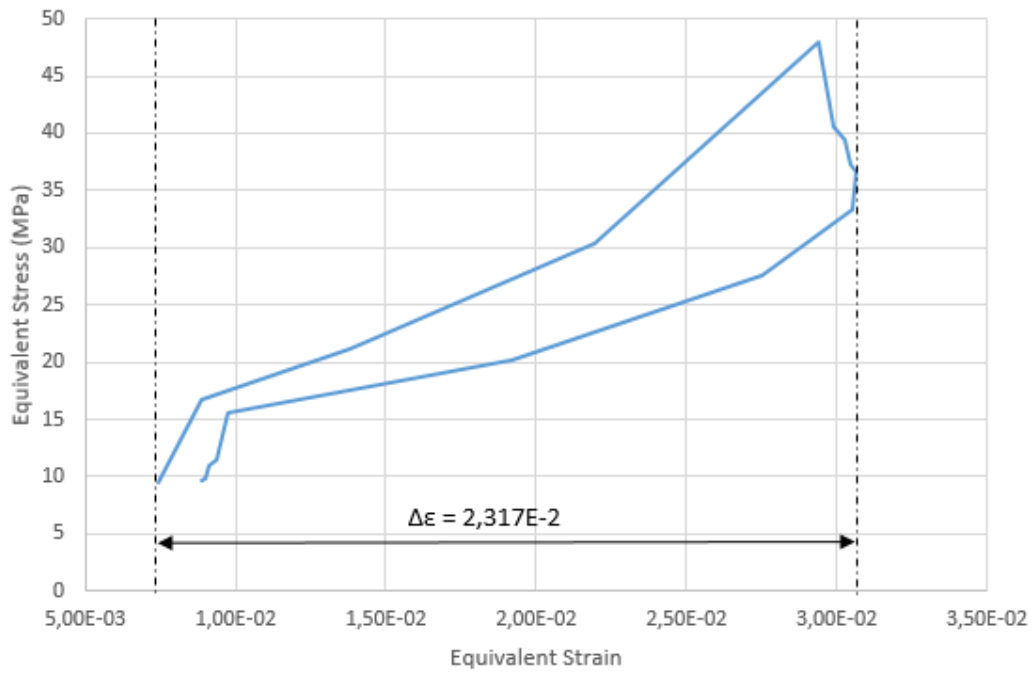


Figure 51. Most critical point appears in the upper contact surface and at the outermost diameter

The strain range value of the most critical solder ball in the original structure is 0.02317 (Fig. 52b). Using this value and Eq. 2.4.1, the lifetime of the original structure was found to be 267 cycles. Compared to the optimized structure (643 cycles), there is a 140% improvement in the lifetime of the critical solder ball.



(a)



(b)

Figure 52. Equivalent stress vs equivalent strain curves of original structure with AV model; (a) generated in ANSYS WB to show behavior of the 3 cycles, (b) third cycle isolated to show equivalent strain range

6.1.2 EP Material Model

In this section, the original structure given in Table 13 is simulated with the EP model and the life of the most critical solder ball in the original structure is calculated. Fig. 53 shows that the most critical region is again at the largest diameter of the surface in contact with UBM 3.

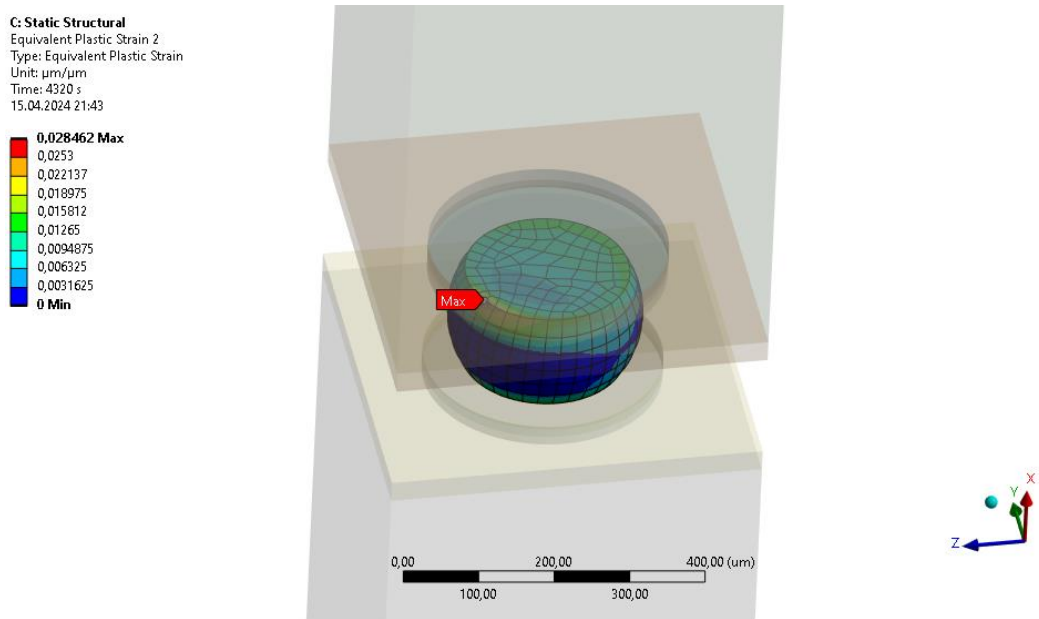
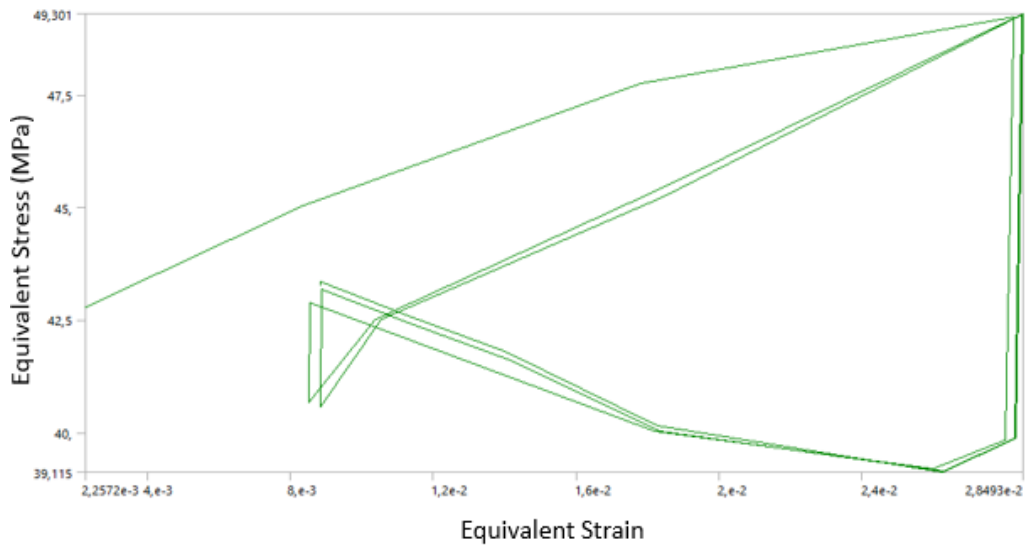


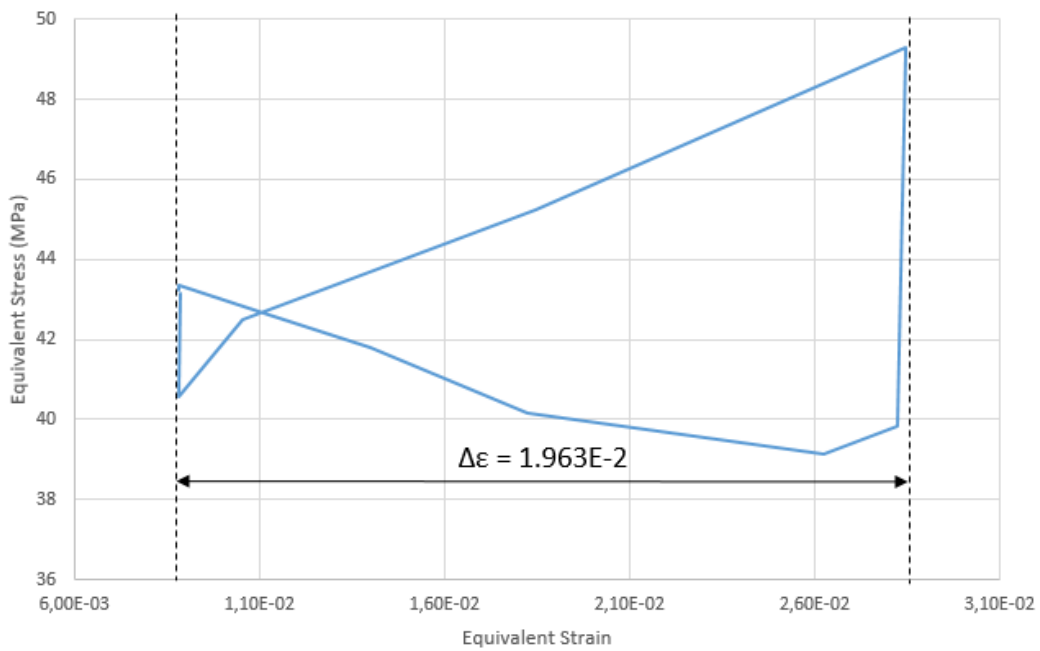
Figure 53. Most critical point appears in the upper contact surface and at the outermost diameter

The strain range value of the most critical solder ball in the original structure is 0.019632 (Fig. 54b). Using this value and Eq. 2.4.1, the lifetime of the original structure was found to be 407 cycles. Compared to the optimized structure (1072 cycles), there is a 163% improvement in the lifetime of the critical solder ball.

These results show that substantial improvement in lifetimes of solder balls could be achieved by optimizing the geometric parameters of the structure.



(a)



(b)

Figure 54. Equivalent stress vs equivalent strain curves of original structure with EP model; (a) generated in ANSYS WB to show behavior of the 3 cycles, (b) third cycle isolated to show equivalent strain range

6.1.3 Discussion

In this study, main desired motivation is to find the best geometric configuration whose have highest thermal fatigue life. Thus any other trade-off output parameter (weight, shape difference etc.) has not been taken into account in the optimizations.

As a result of the optimization study with the AV material model, a geometric configuration with a 140% increase in lifetime compared to the structure in the original dimensions was obtained. Here, the original structure was also analyzed with the AV model.

As a result of the optimization study with the EP material model, a geometric configuration with a 163% increase in lifetime compared to the structure in the original dimensions was obtained. Here, the original structure was also analyzed with the EP model.

As a result of the optimizations, lifetime results of critical solder ball were significantly increased for both material models.

6.2 Contribution to the Literature

In this study, the effect of thermomechanical loading due to temperature cycling on solder ball life in an electronic package with multiple UBM materials was investigated.

Results from this study shows that life values are similar to the values found in the literature [10] (Table 2).

9 different parameters were specified in the structure and an optimization study was carried out using these parameters. Optimization was performed separately using AV and EP material models for the solder balls.

The study carried out within the scope of this thesis is novel and contributes to the literature in terms of

- Unique and producible geometric dimensions of the working structure
- Optimization study with different material models
- And their comparison

6.3 Future Work

Response surface optimization method was used in the thesis using both material models (AV & EP). Instead of the OSF, NPR and MOGA methods used in this method, studies with different fit and optimization methods can be repeated using the same electronic package structure.

Within the scope of this thesis, physical samples of the resulting structures can be created for both material models and experimental cycle tests can be performed to see which material model gives results closer to simulations.

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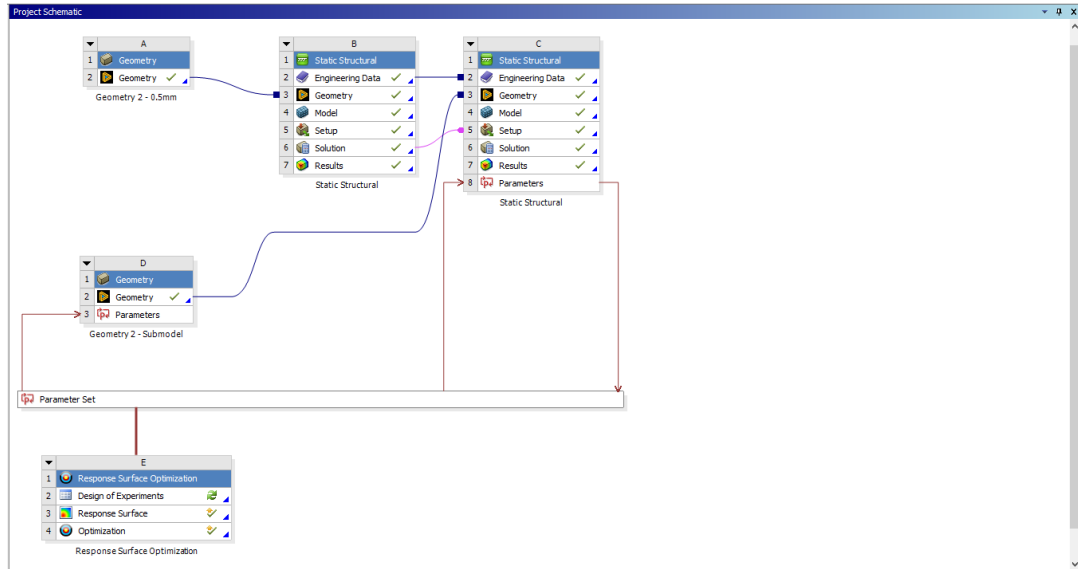
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APPENDICES

A. ANSYS Workbench Project Schematic



B. DOE Design Points with AV Material Model

Na me	P1	P2	P3	P4	P5	P6	P7	P8	P9	Strain Range
1	12.56 803	10.01 701	4.435 374	135.1 701	139.4 558	3.496 599	14.40 476	9.795 918	10.05 102	0.0239 34686
2	12.12 585	12.60 204	3.714 286	124.5 578	150.8 844	4.857 143	10.01 701	14.76 19	17.5	0.0243 06138
3	10.05 102	12.73 81	3.945 578	122.3 81	144.8 98	3.020 408	13.92 857	12.72 109	19.64 286	0.0251 30488
4	11.78 571	10.25 51	4.612 245	118.5 714	134.0 136	4.544 218	13.21 429	8.503 401	24.94 898	0.0283 36591
5	12.19 388	11.27 551	4.204 082	128.3 673	179.7 279	3.891 156	10.05 102	7.006 803	21.78 571	0.0229 06264
6	14.71 088	12.5	3.836 735	144.4 218	155.2 381	3.673 469	14.74 49	12.92 517	13.41 837	0.0201 8047
7	10.15 306	11.78 571	4.884 354	123.7 415	124.2 177	3.442 177	11.10 544	7.551 02	20.05 102	0.0271 75163
8	13.35 034	11.03 741	3.020 408	121.2 925	171.0 204	4.489 796	12.12 585	6.870 748	15.86 735	0.0214 35717
9	10.59 524	10.52 721	4.353 741	115.3 061	132.3 81	4.816 327	11.27 551	7.959 184	13.82 653	0.0280 72337
10	11.92 177	12.39 796	4	114.2 177	153.6 054	4.952 381	11.98 98	5.442 177	22.70 408	0.0267 97383
11	10.79 932	10.83 333	3.768 707	111.4 966	137.2 789	3.605 442	11.71 769	13.80 952	22.09 184	0.0297 85829
12	14.81 293	13.45 238	4.176 871	121.0 204	108.4 354	4.040 816	10.66 327	6.190 476	19.74 49	0.0284 62381
13	10.96 939	10.73 129	3.591 837	110.4 082	134.5 578	4.258 503	14.43 878	10.13 605	13.01 02	0.0278 28502
14	13.18 027	11.88 776	4.639 456	126.1 905	165.5 782	3.265 306	11.41 156	14.48 98	23.52 041	0.0223 0716
15	13.72 449	10.66 327	4.925 17	120.7 483	160.6 803	3.537 415	11.95 578	8.299 32	22.90 816	0.0244 28276
16	13.04 422	13.72 449	4.340 136	112.0 408	163.4 014	3.646 259	13.52 041	5.306 122	19.84 694	0.0239 02785
17	12.29 592	10.05 102	4.217 687	118.0 272	119.3 197	3.659 864	14.54 082	6.802 721	18.52 041	0.0277 52712
18	10.22 109	11.81 973	3.537 415	116.1 224	166.1 224	4.666 667	13.62 245	10.95 238	21.47 959	0.0225 91236
19	14.94 898	10.32 313	4.721 088	130.2 721	156.3 265	4.326 531	14.13 265	9.387 755	17.70 408	0.0255 30644
20	10.69 728	13.55 442	4.870 748	132.1 769	102.4 49	4.653 061	13.65 646	10	16.37 755	0.0253 18276

21	14.47 279	11.10 544	4.857 143	132.7 211	147.6 19	3.102 041	10.83 333	10.74 83	14.74 49	0.0222 58289
22	13.65 646	13.28 231	3.510 204	135.9 864	130.2 041	4.761 905	11.37 755	14.21 769	24.13 265	0.0268 74155
23	10.35 714	13.99 66	3.700 68	115.8 503	106.8 027	3.578 231	13.72 449	8.775 51	15.45 918	0.0318 00501
24	13.52 041	12.32 993	3.115 646	115.0 34	141.6 327	3.238 095	13.48 639	7.346 939	23.11 224	0.0259 47188
25	11.54 762	13.96 259	3.918 367	139.2 517	153.0 612	3.360 544	14.91 497	13.87 755	14.13 265	0.0226 5119
26	11.58 163	11.37 755	4.272 109	140.3 401	179.1 837	3.034 014	11.85 374	11.97 279	14.43 878	0.0223 33371
27	13.01 02	14.67 687	3.891 156	116.6 667	171.5 646	3.823 129	10.49 32	12.38 095	20.45 918	0.0213 688
28	14.84 694	12.26 19	4.598 639	124.2 857	114.9 66	4.843 537	13.24 83	6.530 612	18.72 449	0.0278 14731
29	10.56 122	11.92 177	4.299 32	144.1 497	162.3 129	4.639 456	10.52 721	12.24 49	21.37 755	0.0194 65442
30	14.06 463	12.56 803	4.027 211	146.5 986	173.1 973	3.850 34	10.73 129	13.94 558	19.03 061	0.0191 619
31	13.11 224	11.44 558	3.156 463	133.8 095	161.7 687	3.714 286	10.28 912	11.56 463	11.17 347	0.0237 30022
32	13.86 054	14.77 891	4.530 612	149.3 197	141.0 884	4.217 687	12.46 599	11.29 252	22.19 388	0.0223 749
33	11.75 17	10.28 912	4.911 565	141.7 007	154.6 939	3.387 755	13.45 238	6.666 667	19.94 898	0.0187 0519
34	10.83 333	11.41 156	3.401 361	130.8 163	166.6 667	3.836 735	13.55 442	13.40 136	10.56 122	0.0237 28832
35	14.20 068	10.39 116	4.040 816	129.1 837	142.1 769	4.693 878	10.42 517	6.734 694	13.11 224	0.0245 07956
36	14.26 871	13.04 422	3.346 939	119.6 599	152.5 17	3.428 571	14.16 667	14.08 163	20.86 735	0.0217 14581
37	14.67 687	11.24 15	3.795 918	146.3 265	100.2 721	4.176 871	13.31 633	8.095 238	16.07 143	0.0286 32974
38	13.55 442	13.82 653	4.653 061	148.5 034	155.7 823	3.768 707	12.73 81	5.034 014	18.41 837	0.0211 75765
39	11.64 966	14.57 483	3.292 517	120.4 762	119.8 639	3.687 075	11.92 177	13.06 122	11.37 755	0.0272 10031
40	14.16 667	13.58 844	3.074 83	116.9 388	110.6 122	3.619 048	13.58 844	9.659 864	13.31 633	0.0296 28786
41	10.66 327	11.68 367	3.687 075	125.6 463	108.9 796	4.408 163	10.79 932	13.12 925	12.29 592	0.0306 3563
42	13.21 429	12.36 395	4.666 667	132.9 932	111.7 007	4.108 844	13.99 66	14.42 177	24.33 673	0.0295 36228
43	14.74 49	12.80 612	4.408 163	119.1 156	146.5 306	4.503 401	11.81 973	14.62 585	11.98 98	0.0255 35979

44	13.14 626	12.70 408	4.585 034	140.6 122	125.3 061	3.877 551	10.69 728	6.462 585	24.84 694	0.0224 03943
45	14.03 061	13.38 435	4.571 429	112.5 85	121.4 966	4.163 265	14.98 299	9.115 646	20.25 51	0.0286 31211
46	10.32 313	13.86 054	3.183 673	133.5 374	138.3 673	3.551 02	10.32 313	11.36 054	20.15 306	0.0250 87
47	12.02 381	14.98 299	3.306 122	144.6 939	172.1 088	4.394 558	12.05 782	8.231 293	20.35 714	0.0206 21352
48	13.41 837	10.86 735	3.578 231	124.0 136	122.0 408	4.870 748	13.38 435	14.89 796	17.90 816	0.0278 58898
49	14.23 469	13.14 626	3.850 34	125.3 741	145.9 864	4.829 932	14.77 891	10.20 408	11.27 551	0.0267 78456
50	12.60 204	12.12 585	4.095 238	118.2 993	116.5 986	3.564 626	14.64 286	14.14 966	11.78 571	0.0289 40095
51	14.60 884	10.15 306	3.741 497	139.7 959	150.3 401	3.374 15	13.41 837	11.63 265	22.5 22.5	0.0211 7562
52	11.10 544	11.51 361	3.551 02	124.8 299	118.7 755	4.136 054	14.84 694	11.90 476	24.64 286	0.0253 88606
53	14.37 075	10.90 136	3.959 184	133.2 653	175.9 184	4.557 823	12.26 19	11.70 068	23.82 653	0.0233 86722
54	13.31 633	10.49 32	3.564 626	132.4 49	129.6 599	4.802 721	14.88 095	8.843 537	20.56 122	0.0271 17224
55	11.41 156	14.20 068	3.414 966	111.2 245	123.6 735	4.598 639	12.87 415	13.53 741	18.11 224	0.0309 32833
56	13.79 252	14.26 871	3.374 15	130.5 442	169.3 878	3.156 463	11.88 776	10.27 211	23.01 02	0.0213 06335
57	13.38 435	10.35 714	4.054 422	149.5 918	107.3 469	3.414 966	11.44 558	10.68 027	20.76 531	0.0304 61282
58	12.80 612	10.11 905	4.285 714	148.7 755	151.4 286	4.612 245	13.04 422	13.19 728	15.66 327	0.0188 60779
59	13.48 639	12.84 014	3.333 333	116.3 946	104.6 259	4.530 612	11.64 966	10.47 619	23.62 245	0.0331 48913
60	12.22 789	11.75 17	3.142 857	142.2 449	177.5 51	3.931 973	12.94 218	5.986 395	21.07 143	0.0198 77091
61	10.49 32	13.69 048	4.503 401	147.9 592	113.3 333	3.755 102	11.58 163	12.51 701	15.76 531	0.0242 42086
62	11.81 973	11.54 762	4.993 197	128.6 395	165.0 34	4.312 925	14.60 884	12.78 912	20.66 327	0.0232 24826
63	12.70 408	11.61 565	4.979 592	114.4 898	167.2 109	4.775 51	11.68 367	11.49 66	16.88 776	0.0228 24493
64	11.88 776	11.00 34	3.170 068	128.9 116	116.0 544	3.863 946	10.62 925	5.578 231	15.56 122	0.0268 36366
65	14.64 286	12.94 218	4.748 299	138.7 075	129.1 156	3.945 578	11.03 741	7.142 857	11.07 143	0.0223 35175
66	13.24 83	14.16 667	3.523 81	135.7 143	147.0 748	3.129 252	14.57 483	6.326 531	16.17 347	0.0222 07303

67	11.07 143	10.22 109	4.149 66	134.8 98	120.4 082	3.741 497	10.56 122	12.99 32	23.31 633	0.0257 38361
68	12.87 415	14.09 864	3.319 728	140.8 844	110.0 68	3.727 891	14.20 068	6.938 776	22.39 796	0.0291 5917
69	12.39 796	11.34 354	3.809 524	129.7 279	176.4 626	4.435 374	14.94 898	5.646 259	15.05 102	0.0212 95394
70	10.39 116	12.29 592	4.231 293	137.8 912	127.4 83	3.115 646	10.86 735	8.163 265	11.88 776	0.0228 654
71	10.01 701	11.85 374	4.394 558	134.3 537	140 140	4.884 354	14.26 871	12.10 884	12.90 816	0.0242 7736
72	11.24 15	10.59 524	3.972 789	142.7 891	113.8 776	3.455 782	12.53 401	14.69 388	14.64 286	0.0276 4398
73	11.03 741	11.20 748	3.129 252	134.0 816	128.5 714	4.462 585	11.24 15	9.047 619	24.43 878	0.0274 18496
74	11.61 565	12.46 599	4.163 265	118.8 435	112.7 891	4.204 082	12.5 12.5	5.170 068	10.15 306	0.0289 15035
75	13.82 653	12.09 184	3.387 755	123.1 973	109.5 238	3.047 619	11.07 143	12.85 714	18.01 02	0.0278 6836
76	14.40 476	14.30 272	3.238 095	129.4 558	143.8 095	4.013 605	10.11 905	9.591 837	12.60 204	0.0225 31299
77	13.96 259	12.22 789	4.136 054	127.8 231	169.9 32	3.170 068	11.17 347	6.258 503	12.09 184	0.0201 88475
78	12.90 816	13.24 83	3.605 442	144.9 66	103.5 374	3.482 993	10.08 503	8.367 347	18.31 633	0.0318 5717
79	13.99 66	14.74 49	4.816 327	110.6 803	137.8 231	3.959 184	12.32 993	8.707 483	14.94 898	0.0272 08521
80	10.86 735	13.92 857	4.544 218	113.9 456	160.1 361	4.707 483	13.75 85	12.17 687	14.54 082	0.0241 9418
81	11.85 374	13.11 224	3.061 224	138.4 354	135.6 463	4.353 741	14.71 088	7.687 075	10.96 939	0.0245 01951
82	10.25 51	13.21 429	4.380 952	149.8 639	149.7 959	3.346 939	13.79 252	9.183 673	16.58 163	0.0195 40447
83	14.54 082	14.03 061	3.755 102	131.3 605	174.2 857	4.734 694	11.61 565	7.210 884	14.23 469	0.0223 0345
84	12.15 986	14.71 088	4.122 449	125.9 184	102.9 932	3.523 81	12.36 395	10.81 633	24.74 49	0.0322 14475
85	14.57 483	10.69 728	3.102 041	143.3 333	148.1 633	4.272 109	12.02 381	12.44 898	16.47 959	0.0222 36476
86	14.30 272	13.65 646	3.047 619	117.4 83	159.0 476	4.625 85	13.18 027	11.02 041	21.68 367	0.0214 15881
87	11.47 959	14.84 694	4.068 027	131.9 048	177.0 068	4.244 898	14.67 687	7.619 048	15.96 939	0.0206 83235
88	12.97 619	10.08 503	3.428 571	115.5 782	128.0 272	3.401 361	11.47 959	11.42 857	12.70 408	0.0289 30869
89	10.90 136	12.05 782	4.421 769	117.2 109	144.3 537	3.306 122	10.18 707	13.67 347	14.84 694	0.0258 99966

90	13.28 231	14.47 279	4.734 694	119.9 32	154.1 497	4.380 952	10.76 531	9.727 891	24.23 469	0.0265 2312
91	12.5	14.40 476	4.680 272	137.6 19	131.8 367	3.224 49	13.96 259	7.891 156	11.68 367	0.0246 2138
92	12.67 007	12.67 007	4.952 381	147.1 429	135.1 02	4.190 476	10.39 116	11.76 871	12.19 388	0.0233 39651
93	12.46 599	12.15 986	4.761 905	143.6 054	114.4 218	4.476 19	13.86 054	5.714 286	13.52 041	0.0274 19111
94	14.09 864	10.56 122	3.278 912	111.7 687	126.9 388	3.918 367	12.29 592	6.598 639	17.60 204	0.0292 5963
95	10.73 129	12.97 619	3.442 177	121.5 646	178.6 395	3.700 68	12.77 211	5.238 095	13.72 449	0.0227 43755
96	13.07 823	11.17 347	4.789 116	119.3 878	111.1 565	4.517 007	11.00 34	11.83 673	23.41 837	0.0301 04704
97	11.27 551	11.30 952	4.312 925	114.7 619	162.8 571	3.278 912	13.01 02	7.278 912	11.58 163	0.0231 27747
98	10.11 905	11.71 769	3.469 388	138.1 633	142.7 211	4.367 347	11.75 17	6.122 449	10.76 531	0.0237 71053
99	11.44 558	11.58 163	3.034 014	136.2 585	117.1 429	3.183 673	13.82 653	9.931 973	12.5	0.0257 0008
100	11.68 367	12.90 816	4.938 776	120.2 041	104.0 816	3.251 701	14.50 68	11.22 449	17.80 612	0.0299 83644
101	14.33 673	14.54 082	4.517 007	141.9 728	125.8 503	4.081 633	12.90 816	13.33 333	11.47 959	0.0247 10205
102	12.36 395	14.88 095	3.986 395	113.1 293	133.4 694	3.074 83	11.20 748	7.414 966	19.13 265	0.0269 02496
103	11.98 98	13.52 041	4.843 537	147.6 871	178.0 952	4.285 714	12.43 197	9.251 701	13.92 857	0.0167 998
104	13.58 844	14.13 265	4.448 98	136.5 306	157.9 592	4.938 776	14.30 272	13.26 531	18.62 245	0.0192 22618
105	14.98 299	14.06 463	3.673 469	125.1 02	122.5 85	4.027 211	13.07 823	7.074 83	10.45 918	0.0238 30509
106	11.30 952	11.07 143	3.455 782	147.4 15	167.7 551	3.591 837	10.45 918	9.455 782	21.27 551	0.0178 68586
107	14.13 265	14.33 673	4.707 483	121.8 367	140.5 442	3.061 224	12.56 803	13.46 939	17.39 796	0.0250 63573
108	14.88 095	13.48 639	3.904 762	136.8 027	168.8 435	3.972 789	14.33 673	8.571 429	22.60 204	0.0190 36363
109	13.62 245	13.41 837	4.489 796	143.0 612	151.9 728	4.965 986	10.35 714	9.863 946	19.43 878	0.0209 40221
110	13.45 238	12.19 388	4.802 721	122.9 252	173.7 415	3.510 204	14.81 293	10.61 224	13.21 429	0.0188 44668
111	12.84 014	10.76 531	4.476 19	128.0 952	100.8 163	4.448 98	12.63 605	12.65 306	10.86 735	0.0299 01681
112	12.53 401	13.35 034	3.646 259	146.0 544	132.9 252	3.088 435	12.15 986	14.55 782	22.29 592	0.0256 46542

113	10.08503	14.23469	4.367347	131.0884	159.5918	4.095238	11.13946	14.82993	13.62245	0.0242001
114	10.62925	14.43878	4.462585	122.6531	124.7619	4.979592	11.34354	8.435374	17.29592	0.02923254
115	10.93537	11.95578	3.088435	142.517	105.7143	4.571429	12.84014	12.04082	17.19388	0.026638899
116	11.51361	14.91497	4.897959	122.1088	143.2653	3.333333	10.96939	10.06803	14.03061	0.022689794
117	12.09184	13.79252	3.659864	110.9524	161.2245	4.122449	10.15306	8.911565	12.80612	0.024655695
118	10.42517	12.87415	4.829932	126.7347	138.9116	4.054422	12.39796	14.96599	23.21429	0.026561783
119	11.37755	10.62925	4.190476	127.551	174.8299	4.340136	10.93537	10.40816	10.2551	0.02180121
120	11.17347	10.79932	4.244898	145.7823	118.2313	4.911565	11.54762	7.823129	18.21429	0.022200445
121	13.69048	10.18707	3.823129	113.6735	168.2993	4.068027	13.11224	14.01361	15.35714	0.022961071
122	10.18707	13.07823	4.557823	137.3469	156.8707	4.748299	12.97619	5.85034	21.88776	0.02059612
123	14.91497	11.47959	3.931973	127.2789	117.6871	3.142857	14.06463	9.52381	21.58163	0.025479577
124	13.92857	10.96939	3.251701	145.2381	136.7347	3.319728	12.70408	6.394558	14.33673	0.022447183
125	10.52721	10.42517	4.081633	145.5102	149.2517	4.231293	14.23469	7.755102	20.96939	0.020972936
126	11.0034	12.43197	3.632653	146.8707	158.5034	4.421769	13.89456	12.31293	23.92857	0.01897855
127	13.89456	11.13946	3.782313	112.8571	130.7483	4.993197	10.90136	11.15646	16.68367	0.028515073
128	10.28912	14.94898	3.360544	134.6259	126.3946	4.680272	12.22789	7.482993	16.9898	0.028192333
129	14.43878	13.31633	4.326531	127.0068	107.8912	4	10.22109	14.28571	18.82653	0.029683055
130	12.77211	10.45918	4.77551	112.3129	112.2449	3.469388	11.51361	8.979592	15.15306	0.02768588
131	12.63605	13.18027	3.727891	139.5238	115.5102	4.721088	10.2551	9.319728	10.66327	0.02644601
132	11.34354	10.93537	3.197279	131.6327	172.6531	3.006803	13.28231	10.88435	19.23469	0.023273651
133	13.7585	11.64966	4.965986	141.1565	106.2585	3.292517	13.35034	11.08844	16.78571	0.027076635
134	11.20748	11.9898	4.108844	130	148.7075	3.210884	12.67007	5.102041	24.54082	0.021145319
135	14.5068	12.02381	3.496599	141.4286	131.2925	4.585034	11.30952	5.918367	21.9898	0.024227801

13 6	11.13 946	12.53 401	3.863 946	117.7 551	101.9 048	3.986 395	12.09 184	5.782 313	24.03 061	0.0201 18442
13 7	10.76 531	12.63 605	4.258 503	149.0 476	101.3 605	3.782 313	13.14 626	8.027 211	22.80 612	0.0206 51781
13 8	12.43 197	12.77 211	4.693 878	123.4 694	170.4 762	4.789 116	12.60 204	6.054 422	12.39 796	0.0258 61365
13 9	10.45 918	13.01 02	3.006 803	113.4 014	157.4 15	3.809 524	11.78 571	8.639 456	21.17 347	0.0238 21607
14 0	12.73 81	13.62 245	3.877 551	148.2 313	105.1 701	4.897 959	14.03 061	10.34 014	17.09 184	0.0260 00726
14 1	12.94 218	13.75 85	3.210 884	126.4 626	136.1 905	4.925 17	14.09 864	5.510 204	19.54 082	0.0249 794
14 2	12.26 19	13.89 456	4.625 85	135.4 422	175.3 741	3.197 279	13.69 048	10.54 422	23.72 449	0.0249 79423
14 3	14.77 891	14.37 075	3.265 306	143.8 776	123.1 293	3.904 762	12.19 388	13.74 15	16.27 551	0.0252 40437
14 4	12.05 782	14.50 68	4.013 605	110.1 361	164.4 898	3.795 918	14.47 279	12.58 503	19.33 673	0.0235 57611
14 5	12.32 993	14.60 884	3.224 49	137.0 748	163.9 456	4.149 66	12.80 612	13.60 544	10.35 714	0.0244 47436
14 6	11.71 769	14.64 286	3.619 048	138.9 796	145.4 422	3.632 653	10.59 524	5.374 15	15.25 51	0.0178 87727
14 7	11.95 578	14.81 293	3.482 993	140.0 68	120.9 524	4.299 32	14.37 075	14.35 374	18.92 857	0.0211 35081

C. DOE Design Points with EP Material Model

Na me	P1	P2	P3	P4	P5	P6	P7	P8	P9	Strain Range
1	12.56 803	10.01 701	4.435 374	135.1 701	139.4 558	3.496 599	14.40 476	9.795 918	10.05 102	0.0200 74435
2	12.12 585	12.60 204	3.714 286	124.5 578	150.8 844	4.857 143	10.01 701	14.76 19	17.5	0.0208 37242
3	10.05 102	12.73 81	3.945 578	122.3 81	144.8 98	3.020 408	13.92 857	12.72 109	19.64 286	0.0222 68571
4	11.78 571	10.25 51	4.612 245	118.5 714	134.0 136	4.544 218	13.21 429	8.503 401	24.94 898	0.0260 75925
5	12.19 388	11.27 551	4.204 082	128.3 673	179.7 279	3.891 156	10.05 102	7.006 803	21.78 571	0.0179 52817
6	14.71 088	12.5	3.836 735	144.4 218	155.2 381	3.673 469	14.74 49	12.92 517	13.41 837	0.0152 42203
7	10.15 306	11.78 571	4.884 354	123.7 415	124.2 177	3.442 177	11.10 544	7.551 02	20.05 102	0.0242 33647
8	13.35 034	11.03 741	3.020 408	121.2 925	171.0 204	4.489 796	12.12 585	6.870 748	15.86 735	0.0173 15517
9	10.59 524	10.52 721	4.353 741	115.3 061	132.3 81	4.816 327	11.27 551	7.959 184	13.82 653	0.0260 22075
10	11.92 177	12.39 796	4	114.2 177	153.6 054	4.952 381	11.98 98	5.442 177	22.70 408	0.0231 26874
11	10.79 932	10.83 333	3.768 707	111.4 966	137.2 789	3.605 442	11.71 769	13.80 952	22.09 184	0.0279 81976
12	14.81 293	13.45 238	4.176 871	121.0 204	108.4 354	4.040 816	10.66 327	6.190 476	19.74 49	0.0259 27385
13	10.96 939	10.73 129	3.591 837	110.4 082	134.5 578	4.258 503	14.43 878	10.13 605	13.01 02	0.0254 61458
14	13.18 027	11.88 776	4.639 456	126.1 905	165.5 782	3.265 306	11.41 156	14.48 98	23.52 041	0.0174 51553
15	13.72 449	10.66 327	4.925 17	120.7 483	160.6 803	3.537 415	11.95 578	8.299 32	22.90 816	0.0208 87711
16	13.04 422	13.72 449	4.340 136	112.0 408	163.4 014	3.646 259	13.52 041	5.306 122	19.84 694	0.0169 53865
17	12.29 592	10.05 102	4.217 687	118.0 272	119.3 197	3.659 864	14.54 082	6.802 721	18.52 041	0.0239 4953
18	10.22 109	11.81 973	3.537 415	116.1 224	166.1 224	4.666 667	13.62 245	10.95 238	21.47 959	0.0162 82878
19	14.94 898	10.32 313	4.721 088	130.2 721	156.3 265	4.326 531	14.13 265	9.387 755	17.70 408	0.0220 43155
20	10.69 728	13.55 442	4.870 748	132.1 769	102.4 49	4.653 061	13.65 646	10	16.37 755	0.0215 99832

21	14.47 279	11.10 544	4.857 143	132.7 211	147.6 19	3.102 041	10.83 333	10.74 83	14.74 49	0.0192 32647
22	13.65 646	13.28 231	3.510 204	135.9 864	130.2 041	4.761 905	11.37 755	14.21 769	24.13 265	0.0228 38621
23	10.35 714	13.99 66	3.700 68	115.8 503	106.8 027	3.578 231	13.72 449	8.775 51	15.45 918	0.0291 1545
24	13.52 041	12.32 993	3.115 646	115.0 34	141.6 327	3.238 095	13.48 639	7.346 939	23.11 224	0.0231 08731
25	11.54 762	13.96 259	3.918 367	139.2 517	153.0 612	3.360 544	14.91 497	13.87 755	14.13 265	0.0195 31402
26	11.58 163	11.37 755	4.272 109	140.3 401	179.1 837	3.034 014	11.85 374	11.97 279	14.43 878	0.0180 58758
27	13.01 02	14.67 687	3.891 156	116.6 667	171.5 646	3.823 129	10.49 32	12.38 095	20.45 918	0.0138 64829
28	14.84 694	12.26 19	4.598 639	124.2 857	114.9 66	4.843 537	13.24 83	6.530 612	18.72 449	0.0243 28581
29	10.56 122	11.92 177	4.299 32	144.1 497	162.3 129	4.639 456	10.52 721	12.24 49	21.37 755	0.0153 55979
30	14.06 463	12.56 803	4.027 211	146.5 986	173.1 973	3.850 34	10.73 129	13.94 558	19.03 061	0.0140 60046
31	13.11 224	11.44 558	3.156 463	133.8 095	161.7 687	3.714 286	10.28 912	11.56 463	11.17 347	0.0209 24381
32	13.86 054	14.77 891	4.530 612	149.3 197	141.0 884	4.217 687	12.46 599	11.29 252	22.19 388	0.0173 6165
33	11.75 17	10.28 912	4.911 565	141.7 007	154.6 939	3.387 755	13.45 238	6.666 667	19.94 898	0.0148 10327
34	10.83 333	11.41 156	3.401 361	130.8 163	166.6 667	3.836 735	13.55 442	13.40 136	10.56 122	0.0197 12772
35	14.20 068	10.39 116	4.040 816	129.1 837	142.1 769	4.693 878	10.42 517	6.734 694	13.11 224	0.0224 10209
36	14.26 871	13.04 422	3.346 939	119.6 599	152.5 17	3.428 571	14.16 667	14.08 163	20.86 735	0.0170 16158
37	14.67 687	11.24 15	3.795 918	146.3 265	100.2 721	4.176 871	13.31 633	8.095 238	16.07 143	0.0231 94053
38	13.55 442	13.82 653	4.653 061	148.5 034	155.7 823	3.768 707	12.73 81	5.034 014	18.41 837	0.0173 64646
39	11.64 966	14.57 483	3.292 517	120.4 762	119.8 639	3.687 075	11.92 177	13.06 122	11.37 755	0.0238 30327
40	14.16 667	13.58 844	3.074 83	116.9 388	110.6 122	3.619 048	13.58 844	9.659 864	13.31 633	0.0271 96752
41	10.66 327	11.68 367	3.687 075	125.6 463	108.9 796	4.408 163	10.79 932	13.12 925	12.29 592	0.0278 9073
42	13.21 429	12.36 395	4.666 667	132.9 932	111.7 007	4.108 844	13.99 66	14.42 177	24.33 673	0.0251 58694
43	14.74 49	12.80 612	4.408 163	119.1 156	146.5 306	4.503 401	11.81 973	14.62 585	11.98 98	0.0220 67437

44	13.14 626	12.70 408	4.585 034	140.6 122	125.3 061	3.877 551	10.69 728	6.462 585	24.84 694	0.0192 51908
45	14.03 061	13.38 435	4.571 429	112.5 85	121.4 966	4.163 265	14.98 299	9.115 646	20.25 51	0.0250 04611
46	10.32 313	13.86 054	3.183 673	133.5 374	138.3 673	3.551 02	10.32 313	11.36 054	20.15 306	0.0210 79392
47	12.02 381	14.98 299	3.306 122	144.6 939	172.1 088	4.394 558	12.05 782	8.231 293	20.35 714	0.0160 09747
48	13.41 837	10.86 735	3.578 231	124.0 136	122.0 408	4.870 748	13.38 435	14.89 796	17.90 816	0.0241 10576
49	14.23 469	13.14 626	3.850 34	125.3 741	145.9 864	4.829 932	14.77 891	10.20 408	11.27 551	0.0239 91413
50	12.60 204	12.12 585	4.095 238	118.2 993	116.5 986	3.564 626	14.64 286	14.14 966	11.78 571	0.0254 27082
51	14.60 884	10.15 306	3.741 497	139.7 959	150.3 401	3.374 15	13.41 837	11.63 265	22.5	0.0159 98891
52	11.10 544	11.51 361	3.551 02	124.8 299	118.7 755	4.136 054	14.84 694	11.90 476	24.64 286	0.0216 64059
53	14.37 075	10.90 136	3.959 184	133.2 653	175.9 184	4.557 823	12.26 19	11.70 068	23.82 653	0.0187 51429
54	13.31 633	10.49 32	3.564 626	132.4 49	129.6 599	4.802 721	14.88 095	8.843 537	20.56 122	0.0231 69691
55	11.41 156	14.20 068	3.414 966	111.2 245	123.6 735	4.598 639	12.87 415	13.53 741	18.11 224	0.0285 79599
56	13.79 252	14.26 871	3.374 15	130.5 442	169.3 878	3.156 463	11.88 776	10.27 211	23.01 02	0.0163 28349
57	13.38 435	10.35 714	4.054 422	149.5 918	107.3 469	3.414 966	11.44 558	10.68 027	20.76 531	0.0270 77317
58	12.80 612	10.11 905	4.285 714	148.7 755	151.4 286	4.612 245	13.04 422	13.19 728	15.66 327	0.0146 12331
59	13.48 639	12.84 014	3.333 333	116.3 946	104.6 259	4.530 612	11.64 966	10.47 619	23.62 245	0.0293 30474
60	12.22 789	11.75 17	3.142 857	142.2 449	177.5 51	3.931 973	12.94 218	5.986 395	21.07 143	0.0154 4223
61	10.49 32	13.69 048	4.503 401	147.9 592	113.3 333	3.755 102	11.58 163	12.51 701	15.76 531	0.0197 15677
62	11.81 973	11.54 762	4.993 197	128.6 395	165.0 34	4.312 925	14.60 884	12.78 912	20.66 327	0.0192 58354
63	12.70 408	11.61 565	4.979 592	114.4 898	167.2 109	4.775 51	11.68 367	11.49 66	16.88 776	0.0156 22807
64	11.88 776	11.00 34	3.170 068	128.9 116	116.0 544	3.863 946	10.62 925	5.578 231	15.56 122	0.0246 09003
65	14.64 286	12.94 218	4.748 299	138.7 075	129.1 156	3.945 578	11.03 741	7.142 857	11.07 143	0.0188 80126
66	13.24 83	14.16 667	3.523 81	135.7 143	147.0 748	3.129 252	14.57 483	6.326 531	16.17 347	0.0190 60004

67	11.07 143	10.22 109	4.149 66	134.8 98	120.4 082	3.741 497	10.56 122	12.99 32	23.31 633	0.0222 23589
68	12.87 415	14.09 864	3.319 728	140.8 844	110.0 68	3.727 891	14.20 068	6.938 776	22.39 796	0.0249 02644
69	12.39 796	11.34 354	3.809 524	129.7 279	176.4 626	4.435 374	14.94 898	5.646 259	15.05 102	0.0164 48309
70	10.39 116	12.29 592	4.231 293	137.8 912	127.4 83	3.115 646	10.86 735	8.163 265	11.88 776	0.0199 01648
71	10.01 701	11.85 374	4.394 558	134.3 537	140 140	4.884 354	14.26 871	12.10 884	12.90 816	0.0202 19015
72	11.24 15	10.59 524	3.972 789	142.7 891	113.8 776	3.455 782	12.53 401	14.69 388	14.64 286	0.0234 59539
73	11.03 741	11.20 748	3.129 252	134.0 816	128.5 714	4.462 585	11.24 15	9.047 619	24.43 878	0.0239 38608
74	11.61 565	12.46 599	4.163 265	118.8 435	112.7 891	4.204 082	12.5 12.5	5.170 068	10.15 306	0.0261 27897
75	13.82 653	12.09 184	3.387 755	123.1 973	109.5 238	3.047 619	11.07 143	12.85 714	18.01 02	0.0253 30912
76	14.40 476	14.30 272	3.238 095	129.4 558	143.8 095	4.013 605	10.11 905	9.591 837	12.60 204	0.0196 11211
77	13.96 259	12.22 789	4.136 054	127.8 231	169.9 32	3.170 068	11.17 347	6.258 503	12.09 184	0.0153 66174
78	12.90 816	13.24 83	3.605 442	144.9 66	103.5 374	3.482 993	10.08 503	8.367 347	18.31 633	0.0274 77153
79	13.99 66	14.74 49	4.816 327	110.6 803	137.8 231	3.959 184	12.32 993	8.707 483	14.94 898	0.0244 47436
80	10.86 735	13.92 857	4.544 218	113.9 456	160.1 361	4.707 483	13.75 85	12.17 687	14.54 082	0.0178 87727
81	11.85 374	13.11 224	3.061 224	138.4 354	135.6 463	4.353 741	14.71 088	7.687 075	10.96 939	0.0211 35081
82	10.25 51	13.21 429	4.380 952	149.8 639	149.7 959	3.346 939	13.79 252	9.183 673	16.58 163	0.0149 07504
83	14.54 082	14.03 061	3.755 102	131.3 605	174.2 857	4.734 694	11.61 565	7.210 884	14.23 469	0.0179 80509
84	12.15 986	14.71 088	4.122 449	125.9 184	102.9 932	3.523 81	12.36 395	10.81 633	24.74 49	0.0269 1661
85	14.57 483	10.69 728	3.102 041	143.3 333	148.1 633	4.272 109	12.02 381	12.44 898	16.47 959	0.0200 02239
86	14.30 272	13.65 646	3.047 619	117.4 83	159.0 476	4.625 85	13.18 027	11.02 041	21.68 367	0.0176 88423
87	11.47 959	14.84 694	4.068 027	131.9 048	177.0 068	4.244 898	14.67 687	7.619 048	15.96 939	0.0157 33819
88	12.97 619	10.08 503	3.428 571	115.5 782	128.0 272	3.401 361	11.47 959	11.42 857	12.70 408	0.0268 38425
89	10.90 136	12.05 782	4.421 769	117.2 109	144.3 537	3.306 122	10.18 707	13.67 347	14.84 694	0.0212 06824

90	13.28 231	14.47 279	4.734 694	119.9 32	154.1 497	4.380 952	10.76 531	9.727 891	24.23 469	0.0226 56139
91	12.5	14.40 476	4.680 272	137.6 19	131.8 367	3.224 49	13.96 259	7.891 156	11.68 367	0.0219 10029
92	12.67 007	12.67 007	4.952 381	147.1 429	135.1 02	4.190 476	10.39 116	11.76 871	12.19 388	0.0196 48714
93	12.46 599	12.15 986	4.761 905	143.6 054	114.4 218	4.476 19	13.86 054	5.714 286	13.52 041	0.0251 38917
94	14.09 864	10.56 122	3.278 912	111.7 687	126.9 388	3.918 367	12.29 592	6.598 639	17.60 204	0.0268 06751
95	10.73 129	12.97 619	3.442 177	121.5 646	178.6 395	3.700 68	12.77 211	5.238 095	13.72 449	0.0178 66927
96	13.07 823	11.17 347	4.789 116	119.3 878	111.1 565	4.517 007	11.00 34	11.83 673	23.41 837	0.0262 8188
97	11.27 551	11.30 952	4.312 925	114.7 619	162.8 571	3.278 912	13.01 02	7.278 912	11.58 163	0.0173 17328
98	10.11 905	11.71 769	3.469 388	138.1 633	142.7 211	4.367 347	11.75 17	6.122 449	10.76 531	0.0185 71103
99	11.44 558	11.58 163	3.034 014	136.2 585	117.1 429	3.183 673	13.82 653	9.931 973	12.5	0.0232 73651
100	11.68 367	12.90 816	4.938 776	120.2 041	104.0 816	3.251 701	14.50 68	11.22 449	17.80 612	0.0270 76635
101	14.33 673	14.54 082	4.517 007	141.9 728	125.8 503	4.081 633	12.90 816	13.33 333	11.47 959	0.0211 45319
102	12.36 395	14.88 095	3.986 395	113.1 293	133.4 694	3.074 83	11.20 748	7.414 966	19.13 265	0.0242 27801
103	11.98 98	13.52 041	4.843 537	147.6 871	178.0 952	4.285 714	12.43 197	9.251 701	13.92 857	0.0138 83937
104	13.58 844	14.13 265	4.448 98	136.5 306	157.9 592	4.938 776	14.30 272	13.26 531	18.62 245	0.0150 61437
105	14.98 299	14.06 463	3.673 469	125.1 02	122.5 85	4.027 211	13.07 823	7.074 83	10.45 918	0.0208 9191
106	11.30 952	11.07 143	3.455 782	147.4 15	167.7 551	3.591 837	10.45 918	9.455 782	21.27 551	0.0138 39452
107	14.13 265	14.33 673	4.707 483	121.8 367	140.5 442	3.061 224	12.56 803	13.46 939	17.39 796	0.0202 26115
108	14.88 095	13.48 639	3.904 762	136.8 027	168.8 435	3.972 789	14.33 673	8.571 429	22.60 204	0.0134 78746
109	13.62 245	13.41 837	4.489 796	143.0 612	151.9 728	4.965 986	10.35 714	9.863 946	19.43 878	0.0162 19002
110	13.45 238	12.19 388	4.802 721	122.9 252	173.7 415	3.510 204	14.81 293	10.61 224	13.21 429	0.0138 27852
111	12.53 401	13.35 034	3.646 259	146.0 544	132.9 252	3.088 435	12.15 986	14.55 782	22.29 592	0.0201 18442
112	10.08 503	14.23 469	4.367 347	131.0 884	159.5 918	4.095 238	11.13 946	14.82 993	13.62 245	0.0206 51781

11 3	10.62 925	14.43 878	4.462 585	122.6 531	124.7 619	4.979 592	11.34 354	8.435 374	17.29 592	0.0258 61365
11 4	10.93 537	11.95 578	3.088 435	142.5 17	105.7 143	4.571 429	12.84 014	12.04 082	17.19 388	0.0238 21607
11 5	11.51 361	14.91 497	4.897 959	122.1 088	143.2 653	3.333 333	10.96 939	10.06 803	14.03 061	0.0183 80899
11 6	12.09 184	13.79 252	3.659 864	110.9 524	161.2 245	4.122 449	10.15 306	8.911 565	12.80 612	0.0183 86065
11 7	10.42 517	12.87 415	4.829 932	126.7 347	138.9 116	4.054 422	12.39 796	14.96 599	23.21 429	0.0237 83643
11 8	11.37 755	10.62 925	4.190 476	127.5 51	174.8 299	4.340 136	10.93 537	10.40 816	10.25 51	0.0170 53803
11 9	11.17 347	10.79 932	4.244 898	145.7 823	118.2 313	4.911 565	11.54 762	7.823 129	18.21 429	0.0181 39159
12 0	13.69 048	10.18 707	3.823 129	113.6 735	168.2 993	4.068 027	13.11 224	14.01 361	15.35 714	0.0156 20676
12 1	10.18 707	13.07 823	4.557 823	137.3 469	156.8 707	4.748 299	12.97 619	5.850 34	21.88 776	0.0176 76285
12 2	14.91 497	11.47 959	3.931 973	127.2 789	117.6 871	3.142 857	14.06 463	9.523 81	21.58 163	0.0219 80566
12 3	13.92 857	10.96 939	3.251 701	145.2 381	136.7 347	3.319 728	12.70 408	6.394 558	14.33 673	0.0186 87831
12 4	10.52 721	10.42 517	4.081 633	145.5 102	149.2 517	4.231 293	14.23 469	7.755 102	20.96 939	0.0167 80294
12 5	11.00 34	12.43 197	3.632 653	146.8 707	158.5 034	4.421 769	13.89 456	12.31 293	23.92 857	0.0139 08584
12 6	13.89 456	11.13 946	3.782 313	112.8 571	130.7 483	4.993 197	10.90 136	11.15 646	16.68 367	0.0260 00726
12 7	10.28 912	14.94 898	3.360 544	134.6 259	126.3 946	4.680 272	12.22 789	7.482 993	16.98 98	0.0249 794
12 8	14.43 878	13.31 633	4.326 531	127.0 068	107.8 912	4	10.22 109	14.28 571	18.82 653	0.0249 79423
12 9	12.77 211	10.45 918	4.775 51	112.3 129	112.2 449	3.469 388	11.51 361	8.979 592	15.15 306	0.0252 40437
13 0	12.63 605	13.18 027	3.727 891	139.5 238	115.5 102	4.721 088	10.25 51	9.319 728	10.66 327	0.0235 57611
13 1	12.84 014	10.76 531	4.476 19	128.0 952	100.8 163	4.448 98	12.63 605	12.65 306	10.86 735	0.0170 35068
13 2	11.34 354	10.93 537	3.197 279	131.6 327	172.6 531	3.006 803	13.28 231	10.88 435	19.23 469	0.0158 71617
13 3	13.75 85	11.64 966	4.965 986	141.1 565	106.2 585	3.292 517	13.35 034	11.08 844	16.78 571	0.0148 3236
13 4	11.20 748	11.98 98	4.108 844	130	148.7 075	3.210 884	12.67 007	5.102 041	24.54 082	0.0157 68878
13 5	14.50 68	12.02 381	3.496 599	141.4 286	131.2 925	4.585 034	11.30 952	5.918 367	21.98 98	0.0140 05776

13 6	11.13 946	12.53 401	3.863 946	117.7 551	101.9 048	3.986 395	12.09 184	5.782 313	24.03 061	0.0190 03309
13 7	10.76 531	12.63 605	4.258 503	149.0 476	101.3 605	3.782 313	13.14 626	8.027 211	22.80 612	0.0149 33294
13 8	12.43 197	12.77 211	4.693 878	123.4 694	170.4 762	4.789 116	12.60 204	6.054 422	12.39 796	0.0139 03989
13 9	10.45 918	13.01 02	3.006 803	113.4 014	157.4 15	3.809 524	11.78 571	8.639 456	21.17 347	0.0196 08033
14 0	12.73 81	13.62 245	3.877 551	148.2 313	105.1 701	4.897 959	14.03 061	10.34 014	17.09 184	0.0135 503
14 1	12.94 218	13.75 85	3.210 884	126.4 626	136.1 905	4.925 17	14.09 864	5.510 204	19.54 082	0.0143 90179
14 2	12.26 19	13.89 456	4.625 85	135.4 422	175.3 741	3.197 279	13.69 048	10.54 422	23.72 449	0.0153 05212
14 3	14.77 891	14.37 075	3.265 306	143.8 776	123.1 293	3.904 762	12.19 388	13.74 15	16.27 551	0.0168 016
14 4	12.05 782	14.50 68	4.013 605	110.1 361	164.4 898	3.795 918	14.47 279	12.58 503	19.33 673	0.0152 45085
14 5	12.32 993	14.60 884	3.224 49	137.0 748	163.9 456	4.149 66	12.80 612	13.60 544	10.35 714	0.0158 94743
14 6	11.71 769	14.64 286	3.619 048	138.9 796	145.4 422	3.632 653	10.59 524	5.374 15	15.25 51	0.0149 34279
14 7	11.95 578	14.81 293	3.482 993	140.0 68	120.9 524	4.299 32	14.37 075	14.35 374	18.92 857	0.0137 24683